1	Modeling the 3D dynamic rupture of
2	microearthquakes induced by fluid
3	injection
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## 18 Key Points:

- 19 3D dynamic rupture simulations of microearthquakes on a pressurized fault, with pore • 20 pressure profiles determined from poroelastic models. 21 Modest variations of dynamic stress drop determine the rupture mode, distinguishing • 22 self-arresting from run-away ruptures. 23 Runaway ruptures can dissipate more energy than self-arresting ones which display • 24 cracks transition into pulses upon arrest. 25
- Keywords: induced earthquake, self-arresting rupture, runaway rupture, pore pressure changes, dynamic rupture propagation.
- 28

# 29 Abstract

30 Understanding the dynamics of microearthquakes is a timely challenge with the potential to

address current paradoxes in earthquake mechanics, and to better understand earthquake
 ruptures induced by fluid injection. We perform fully 3D dynamic rupture simulations caused

33 by fluid injection on a target fault for FEAR experiments generating  $M_w \le 1$  earthquakes. We

34 investigate the dynamics of rupture propagation with spatially variable stress drop caused by 35 pore pressure changes and assuming different constitutive parameters. We show that the 36 spontaneous arrest of propagating ruptures is possible by assuming a high fault strength 37 parameter S, that is, a high ratio between strength excess and dynamic stress drop. In faults 38 with high S values (low rupturing potential), even minor variations in D<sub>c</sub> (from 0.45 to 0.6 mm) 39 have a substantial effect on the rupture propagation and the ultimate earthquake size. Our 40 results show that modest spatial variations of dynamic stress drop determine the rupture mode, 41 distinguishing self-arresting from run-away ruptures. Our results suggest that several 42 characteristics inferred for accelerating dynamic ruptures differ from those observed during 43 rupture deceleration of a self-arresting earthquake. During deceleration, a decrease of peak slip 44 velocity is associated with a nearly constant cohesive zone size. Moreover, the residual slip 45 velocity value (asymptotic value for a crack-like rupture) decreases to nearly zero. This means 46 that an initially crack-like rupture becomes a pulse-like rupture during spontaneous arrest. In 47 summary, our findings highlight the complex dynamics of small earthquakes, which are 48 partially contrasting with established crack-like models of earthquake rupture.

49

# 50 Plain language

51 Understanding small earthquakes, especially those induced by underground fluid injection, is 52 crucial in earthquake science. In our study, we reproduce these events using computer 53 simulations on a 50 meter wide fault, aiming to understand how fluid-induced stress changes 54 affect the earthquake behavior. We find that earthquakes can stop under specific conditions, 55 specifically when fault strength largely exceeds the difference between on-fault stress before 56 and after the earthquake. Minor changes in rock properties, like static to dynamic friction 57 transitions, significantly impact earthquake size. Our research also shows that stress variations 58 on faults can determine if the earthquake is growing or arresting. We observe a significant 59 spatial extension of the earthquake arrest phase, noting differences in features compared to 60 earthquakes that exhibit accelerating rupture propagation. This distinct behavior is linked to 61 the stress heterogeneity due to pore pressure gradient within the fault. Overall, our findings 62 reveal the complex dynamics of small earthquakes, which is partially contrasting with the 63 conventional crack theory.

## 64 1. Introduction

65 The study of earthquake mechanics and the analysis of source properties has been mainly 66 focused on moderate to large seismic events (Kanamori, 2003; Schmedes et al., 2010; Harris, 67 2017; Abercrombie, 2021). The investigation of the rupture process in micro-earthquakes, with 68 magnitudes ranging between -4 and 2, has so far been carried out by spectral analysis of 69 recorded data to derive source parameters such as seismic moment, source radius, stress drop 70 and corner frequency (Imanishi and Ellsworth, 2006; Allmann et al., 2007, 2009; Selvadurai, 71 2019; Abercrombie, 1995, 2021; Abercrombie and Rice, 2005; Cocco et al., 2016; 2023). 72 These studies have been largely motivated by the need to constrain the scaling of earthquake 73 source parameters – such as stress drop, radiated energy, source radius, and fracture energy – 74 with seismic moment or total coseismic slip, laying the groundwork for our current 75 understanding.

76 More recently, the emerging focus on induced seismicity and its related hazards has provided 77 an opportunity to analyze faults more closely, improving our understanding of the dynamics 78 that govern rupture initiation (Ellsworth, 2013; Grigoli et al., 2017; Moein et al., 2023; Galis 79 et al., 2017). This was further promoted by the numerous laboratory experiments designed and 80 performed to study the onset of dynamic instabilities in response to fluid injection on the rock 81 sample, which provided relevant observations on induced laboratory earthquakes under 82 controlled conditions (Scuderi and Collettini, 2016, Cappa et al., 2019; Hunfeld et al., 2021; 83 Bolton et al., 2023; Volpe et al., 2023). While numerous studies on source complexity have 84 concentrated on large earthquakes due to their associated severe damage and hazards, a 85 persistent, unresolved, question in earthquake mechanics concerns the degree of heterogeneity 86 and complexity influencing the rupture processes of microearthquakes. Furthermore, to the best 87 of our knowledge, no studies have investigated the 3D rupture propagation and arrest of 88 induced microearthquakes — an essential aspect in bridging the knowledge gap concerning 89 induced seismicity and its relationship with microearthquakes.

Investigating the dynamics of microearthquakes necessitates the precise determination of constitutive parameters such as stress, friction, and critical slip at small spatial scales (millimeters to centimeters), which are crucial for understanding rupture propagation over meter-scale distances (1-100 m). Given the challenges in constraining source parameters using surface or near-surface data, innovative approaches have been proposed and adopted to collect near-source data and observations. These approaches include utilizing deep boreholes that intersect fault surfaces (Zoback et al., 2011; Tobin et al., 2022, among several others) as well

97 as underground laboratories providing access to fault zones at depths ranging between a few 98 hundreds and a kilometer (Guglielmi et al. 2015; Lesko; 2015; among many others). Within 99 this array of monitoring systems (deep borehole, underground labs and deep mines), the 100 Bedretto Underground Laboratory for Geosciences and Geoenergies (BULGG) in the Swiss 101 Alps provides access to a volume of crystalline faulted rocks at depth of 1000-1500 m (Ma et 102 al., 2022; Achtziger et al., 2024). BULGG hosts the FEAR (Fault Activation and Earthquake 103 Ruptures) ERC-Synergy project (Meier et al.; 2024) that aims at reactivating a natural fault 104 under controlled conditions by stimulating the nucleation of a target earthquake of magnitude 105  $M_w = 1$ . This event will be recorded with a dense multi-disciplinary on-fault monitoring system. 106 Among several faults classified along the whole tunnel, the target fault for FEAR experiments, 107 named hereinafter MC fault, has been identified (Achtziger et al., 2024; Volpe et al., 2023). The information required to constrain dynamic rupture simulations (e.g., Harris et al., 2018), 108 109 including the fault geometry and stress state (slip tendency, stress orientation) as well as its 110 frictional properties (Volpe et al., 2023) is available. Planned stimulation experiments within 111 this fault zone, spanning 50-100 meters, will adhere to a precise injection protocol (Meier et 112 al., 2024). The dedicated on-fault monitoring system is designed to capture microseismicity 113 across a wide magnitude range (Mw -6 to 1), offering an unparalleled opportunity to examine 114 the complex dynamics of rupture nucleation and propagation during microearthquakes within 115 the magnitude range between 0 to 1.

116 The role of fluids in earthquake mechanics is well-documented in in natural tectonic settings, 117 anthropogenic activities, and laboratory experiments (Rice, 1992; Cocco and Rice, 2002; 118 Miller et al., 2004; Ellsworth, 2013; Guglielmi et al., 2015; Viesca and Garagash, 2015; 119 Martinez Garzon et al., 2016; De Barros et al., 2018; Cappa et al., 2019; Wang et al., 2024, and 120 reference therein). Fault reactivation can result from an increase in the pore pressure P<sub>f</sub> 121 (Hubbert and Rubey, 1959; Scholz, 1990), leading to a reduction in the effective normal stress  $(\sigma'_n = \sigma_n - P_f)$  thereby influencing the frictional strength of the fault. In recent years, the 122 growing energy demand, both fossil and renewable, has led to an increase in the activities 123 124 related to the underground fluid injection. This requires to pose more attention on the hazard 125 of the induced and triggered seismicity, in the context of oil and gas reservoir, underground 126 carbon dioxide sequestration and geothermal energy (Ellsworth, 2013; Candela et al., 2018, 127 Moein et al., 2023). Some examples of notable earthquakes associated to fluid injection are the 2011 M<sub>w</sub> 5.7 and 5.0 earthquakes near Prague in Oklahoma, United States (Keranen et al., 128 2013), the  $M_w$  5.8 Pawnee, Oklahoma, in 2016 (Yeck et al., 2017) and the 2017  $M_w$  5.5 129

130 earthquake near an enhanced geothermal site in Pohang, South Korea (Grigoli et al., 2018; Kim

131 et al., 2018; Lee et al., 2019, Palgunadi et al., 2020).

132 Numerous studies analyzed fault slip reactivation under elevated pore pressure, and both fluid-133 driven seismic and aseismic slip has been observed within a complex spectrum of fault-slip 134 behavior (Garagash and Germanovich, 2012; Cappa et al., 2019; Larochelle et al., 2021; Dal 135 Zilio et al., 2022; Ciardo and Rinaldi, 2022; Bolton et al., 2023). Experimental studies across 136 various scales have highlighted the emergence of a zone characterized by aseismic slip, or 137 creeping, adjacent to the injection point (Cornet, 2012, 2016; Garagash and Germanovich, 138 2012; Guglielmi et al., 2015; Scuderi and Collettini, 2016). The nature of the stress state in the 139 stimulated fault zone influences this aseismic slip, leading to strain-energy accumulation 140 outside the slipping area. This process continues until a critical nucleation length is reached, at which point a dynamic instability can propagate (Uenishi and Rice, 2003; Cebry et al., 2022). 141 142 Upon nucleation, the rupture propagates dynamically, characterized by high slip velocities and 143 rupture speeds, generating seismic waves. The arrest of the rupture occurs when the rupture 144 front does not possess enough energy to continue propagating. While the mechanisms of 145 natural earthquake arrest are still debated (Kame and Yamashita, 1999; Galis et al., 2019; Ke 146 et al., 2022; among several others), dynamic rupture models typically assume locally low-stress 147 or high frictional strength, for example by prescribing spatial heterogeneities of the shear stress or static friction coefficient (Das & Aki, 1977; Harris et al., 2018; Ramos et al., 2021). 148

149 The study of rupture propagation and arrest in induced earthquakes allows the differentiation 150 between self-arrested and runaway ruptures. The former refers to ruptures that spontaneously 151 stop at a finite distance from the nucleation zone often remaining within the pressurized patch, 152 while the latter describes ruptures that extend across the entire fault, ceasing only at fault 153 boundaries due to geometrical complexities, stress or strength heterogeneities (Galis et al., 154 2017; Ke et al., 2018, 2022). This classification elucidates the rupture dynamics without 155 necessarily invoking heterogeneous stress patches. Galis et al., (2017) pointed out that, while 156 injection-induced earthquakes may cause severe seismic hazard, they also represent an 157 opportunity to gain insights in earthquake physics. They used a linear slip weakening law to 158 model an induced rupture and Linear Elastic Fracture Mechanics (LEFM) to interpret the 159 transition between self-arresting and runaway induced earthquakes. They found that this 160 transition is mainly controlled by frictional parameters and stress heterogeneity. Additionally, 161 these authors corroborate the dependence of the expected magnitude of the induced earthquake 162 on the radius of the pressurized area and on the injected fluid volume (Mc Garr, 2014; Galis et al., 2017; De Barros et al., 2019; Moein et al., 2023). However, a fundamental physical
explanation of why dynamic rupture arrests or can continue propagating is still elusive.

In this study, we concentrate on the spontaneous dynamic simulation of rupture processes for induced earthquakes with a maximum magnitude of less than 1 (Mw < 1). Our simulations encompass the full dynamics of earthquake rupture and seismic wave propagation within a 3D volume, based on a linear slip-weakening model to describe shear stress evolution at the rupture front and initiated by pore fluid pressurization. We apply our model to the target fault within the Bedretto Underground Laboratory for Geosciences and Geo-energies (BULGG) at an approximate depth of 1500 meters.

172 The aim of this study is to simulate the propagation and the arrest of dynamic ruptures on the 173 pressurized fault selected for FEAR experiments. The fault is characterized by initially uniform 174 frictional parameters and is subjected to uniform prestress. This simplified initial stress 175 condition is adopted to emphasize the role of pore pressure changes on spontaneous dynamic 176 rupture propagation. A realistic pore pressure profile caused by fluid injection in a nucleation 177 patch is simulated considering the poroelastic response of the fault zone. The rupture process 178 during induced microearthquakes is investigated to shed light on the key features of dynamic 179 propagation as well as the constitutive parameters influencing the extent of the rupture before 180 its arrest, determining the magnitude of the induced earthquake.

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# 182 2. Methods and Source Parameterization

We utilize the open-source software SeisSol (www.seissol.org) to model the 3D spontaneous 183 184 rupture propagation of micro-earthquakes on a 3D fault plane. SeisSol is based on the arbitrary 185 high-order derivative discontinuous Galerkin (ADER-DG) method (Dumbser and Käser, 186 2006), and solves the 3D elastodynamic equation for spontaneous frictional failure on a 187 prescribed fault surface, whereas for the seismic wave propagation it computes the elastic wave 188 equation in heterogeneous media (Pelties et al., 2012). The applicability of SeisSol has been 189 verified in various earthquake scenarios, ranging from models including a simple planar fault 190 to more complex fault geometries involving geometric discontinuities, non-planarity, fault 191 roughness, and multiple intersecting adjacent fault branches (Harris et al., 2018; Ulrich et al., 192 2019; Tinti et al., 2021; Taufigurrahman et al., 2022; Biemiller et al., 2023, Gabriel et al., 193 2023). This study presents the first dynamic rupture simulation for an induced micro194 earthquake on a decametric-scale planar fault (50 m length), under stress conditions determined
195 by fluid injection and pore-pressure changes.

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## 197 2.1. Linear slip-weakening friction law

Dynamic earthquake modeling requires the use of a fault constitutive law which describes shear 198 199 traction evolution in each point on the fault characterizing the breakdown stage and dynamic 200 weakening near the rupture front. Different constitutive laws analytically describe the shear 201 stress as a function of diverse constitutive variables, such as slip, slip velocity, state, and 202 temperature. Here, we adopt the linear slip-weakening (LSW) constitutive law (Ida, 1972) 203 because it is simple and allows the clear definition of fracture energy and a direct control on 204 different key parameters such as fault strength and dynamic stress drop during the rupture 205 propagation.

This constitutive relation is characterized by the peak stress value on the fault  $\tau_p = \mu_s \sigma'_n$ , the dynamic residual (i.e., frictional) stress level  $\tau_d = \mu_d \sigma'_n$ , and the critical slip distance D<sub>c</sub>, as

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$$\tau = \begin{cases} \left[ \mu_s - (\mu_s - \mu_d) \frac{\delta}{D_c} \right] \sigma'_n, & \delta < D_c \\ \mu_d \sigma'_n, & \delta > D_c \end{cases}$$
(1)

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where  $\mu_s$  and  $\mu_d$  are the static and dynamic friction coefficients, respectively,  $\sigma'_n$  is the effective 211 212 normal stress and  $\delta$  the slip. When the shear stress reaches its peak value the fault starts 213 slipping and the shear stress decreases linearly from the peak to the residual stress value over a critical slip distance D<sub>c</sub>. This breakdown stress drop ( $\Delta \tau_p = \tau_p - \tau_d$ ) corresponds to a friction 214 215 decrease from the static to the dynamic friction coefficient. Once the slip exceeds the critical 216 slip distance (D<sub>c</sub>), the shear traction becomes independent of slip and equal to the residual 217 dynamic stress level  $\tau_d = \mu_d \sigma'_n$ . The final stress is equal to the residual stress level, and stress 218 overshoot or undershoot are not considered. The energy dissipated to sustain the rupture 219 propagation, namely the fracture energy, depends on the values of the breakdown stress drop 220 and the critical slip weakening distance D<sub>c</sub>.

According to equation (1), the strength excess  $(\tau_p - \tau_0)$  is defined as the difference in shear stress between its peak and initial values, with the peak stress being equal to the yield strength of the fault. The strength excess occurs with no slip and is associated with a linear elastic and reversible process. The dynamic stress drop ( $\Delta \tau_d = \tau_0 - \tau_d$ ), is the stress released during the dynamic weakening. Because the final stress is equal to the residual dynamic stress level ( $\tau_d$ ), the dynamic and static stress drop are the same. The ratio between the stress excess and the dynamic stress drop is the strength parameter S, as defined by the pioneering paper of Andrews (1976):

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$$S = \frac{(\tau_p - \tau_0)}{(\tau_0 - \tau_r)}$$
(2)

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231 Previous studies dealing with modeling earthquake ruptures have emphasized the importance 232 of computing the non-dimensional strength parameter S that allows us to describe the potential 233 of the fault to develop a rupture (Andrews, 1976; Das & Aki, 1977; Geubelle & Kubair, 2001; 234 Liu & Lapusta, 2008; Barras et al., 2023). Andrews (1976) found that the parameter S controls 235 the transition of a crack from sub-shear rupture to supershear rupture propagation. More recent 236 studies have also demonstrated its significance in influencing rupture style (Gabriel et al., 2012; 237 Bai and Ampuero, 2017) or its role in the context of induced seismicity (Galis et al., 2017). 238 The parameter S measures the material strength (strength excess) relative to the stress release 239 during dynamic rupture (dynamic stress drop). The strength excess quantifies the necessary 240 stress to be concentrated at the rupture front, from the initial to the peak shear stress, needed 241 for the propagation. On the other hand, the dynamic stress drop encompasses the stress released 242 during the dynamic breakdown referred to the initial shear stress, characterizing the tectonic 243 loading of the fault before the initiation of a dynamic rupture.

The LSW constitutive law allows the interpretation of key features of the dynamic rupture propagation in terms of a few parameters, even in a very sensitive condition such as an induced earthquake. The advantage of working in a well constrained in-situ boundary condition, as provided by the Bedretto Lab, helps to decrease the a-priori assumptions and to investigate the dynamics of microearthquakes focusing on the less poorly constrained constitutive parameters (such as the critical slip distance  $D_c$ ).

### 250 2.2. Fault model and input parameters

We simulate a dynamic rupture scenario, for an induced earthquake, on a 60° dipping normal fault, embedded in a 3D elastic medium, with a P-wave speed of 2621 m/s, S-wave speed of 1531 m/s and a density of 2620 kg/m<sup>3</sup>. To accurately define the fault geometry, we leverage in-situ geological and geophysical characterizations of the target fault, conducted as part of the FEAR project in the Bedretto Tunnel. These characterizations, detailed in Achtziger et al. (2024), reveal that the target fault exhibits an approximately planar geometry, extending 257 laterally for about 250 meters. In our model we consider a volume of  $200 \ge 200 \ge 200$  m and 258 a fault dimension of 50 x 50 m, representing the fluid pressurized portion of the larger MC fault 259 zone (Figure 1a). The computational domain is discretized using an unstructured mesh, with a 260 total number of ~69 million tetrahedral elements. The elements in the volume change in size,

transitioning from 12 cm length close to the fault to a maximum value of 15 m at the volume

edge, in order to maintain both computational efficiency and high resolution, simultaneously.

The well-constrained in-situ boundary conditions of the Bedretto Tunnel allow us to include a realistic on-fault stress state with negligible spatial variations due to the small fault dimension here considered. Therefore, we impose a constant normal and shear stress on the fault prior to fluid injection, with the former prescribed at  $\sigma_n = 22.7$  MPa and the latter to  $\tau_0 = 4.7$  MPa.

The static ( $\mu_s$ ) and dynamic ( $\mu_d$ ) friction coefficients are considered homogeneous and constant over the fault. The static friction is  $\mu_s = 0.58$ , while the dynamic friction is assumed to be  $\mu_d =$ 0.21 for the first set of Models A and  $\mu_d = 0.15$  for the second set of Models B that will be discussed in the paper. The initial resulting stress conditions after the stress perturbation due the injection of fluid within each specific set of models will be described more in detail in the subsequent Section 3.

A crucial parameter in dynamic rupture simulations is the on-fault resolution to capture the stress dissipation in the cohesive zone, i.e. the spatial dimension along fault where the shear stress weakening occurs, evolving from the peak value to the residual level. Based on the extended analysis conducted by Wollherr et al. (2018) to achieve a well resolved cohesive zone we adopt a spatial discretization with an on fault mesh element size of 12 cm with a mean cohesive zone dimension of 0.34m (detailes in Supplementary material)

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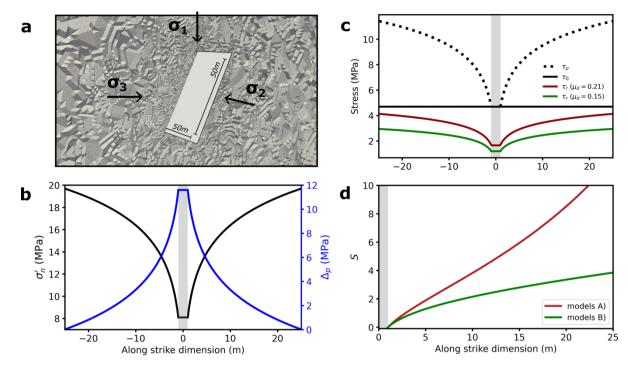
# 280 3. Stress changes from fluid injection

The main goal of this work is to investigate the characteristics of a dynamic rupture resulting from on-fault fluid pressurization, exploring various scenarios to understand the conditions leading to a self-arresting rupture with  $M_w < 1$ , as opposed to a runaway earthquake that ruptures the entire fault surface, resulting in a  $M_w > 1$ .

### 285 3.1. Pore pressure changes profile

In order to create realistic pressure conditions on the fault zone, we employ the software TOUGH3-FLAC3D, that allows the simulation of coupled fluid flow and geomechanics

- (Rinaldi et al., 2022). This approach aims at simulating complex non-linear behavior
  potentially occurring in the vicinity of the injection point, as well effects of a packed interval.
  The coupled approach allows us to account for full poroelasticity via porosity evolution as well
- as variation of permeability as function of geomechanical parameters (e.g. stress or strain). We
- develop a first-order model (50 m X 50 m X 50 m) with a fault zone dipping 60°, 20 cm thick,
- and cutting through an homogenous medium.
- 294 Initial conditions follow the state of stress found at the BedrettoLab (Bröker & Ma, 2022, 295 Bröker et al., 2023), with minimum horizontal stress at 20 MPa, maximum horizontal stress at 296 25 MPa, and vertical stress at 31 MPa for the injection region. The initial pore pressure at the 297 injection is set at 3.8 MPa. We impose constant stress and pressure at all boundaries. In terms 298 of rock properties, the fault zone is assumed weaker than the surrounding formation, with a 299 Young's modulus of 5 GPa compared to 15 GPa of the host rock. The Poisson's ratio is set to 300 0.25 in the entire domain. We neglect poroelastic effects by assuming a near-zero Biot's 301 coefficient (0.001).
- The permeability of the fault zone is assumed constant at  $10^{-15}$  m<sup>2</sup>, representing a fractured region within homogeneous granite with permeability set at  $10^{-18}$  m<sup>2</sup>. The injection region at the center of the model is set as a 1 m<sup>2</sup> patch, with permeability changing as a function of the normal effective stress (Rinaldi & Rutqvist, 2019). Porosity is set to 1% in the entire domain.
- We simulate 24 hours of injection at constant flow rate (0.012 kg/s), simulating a constant pressure of about 14.5 MPa at the injection point, and allowing fluids to propagate along the
- 308 fault. The given pressure is the one observed to be the jacking pressure in several injections at
- 309 the BedrettoLab (Bröker et al., 2023). In TOUGH-FLAC, the given conditions would reactivate
- 310 the fault within the next numerical time step with a further increase in pressure when assuming
- a fault zone with a friction angle of 31°, yielding a static friction coefficient of 0.6 very similar
- 312 to the value adopted for dynamic simulations (0.58). Hence, we stop our simulation at the time
- 313 step before earthquake nucleation on the fault would occur. The simulated pressure profile
- 314 (Figure 1b) is then used as the starting point for the dynamic rupture model and it is considered
- 315 representative of key physical conditions during direct injection into a fault zone.



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Figure 1. 3D dynamic rupture model setup. (a) Adopted fault geometry and grid size (50 x 318 319 50m), volumetric computational mesh (200 x 200 x 200m) and principal stress orientations. (b) 320 Profile of pore-pressure change of the 25m radius pressurized fault patch (blue line) and onplane effective normal stress (black line). The gray bar shows the position of the injection 321 322 borehole. (c) Spatial profile of the resulting stress parameters after the fluid pressurization. 323 The peak stress (or static fault strength, black dashed line) and the initial shear stress (black 324 solid line) are the same for both the class of Models A and B, which differ for the residual 325 stress level because of the different adopted dynamic friction coefficients (red solid line 0.21 326 and green solid line 0.15). (d) Evolution of the strength parameter S (Eq. 2) for half-fault 327 dimension for the set of Models A and B (red line and green line, respectively).

### 328 3.2. Modeled stress conditions

Figure 1-b shows the pore pressure and normal stress profiles resulting from fluid injection into the modeled fault patch: the effective normal stress is minimal in the injection zone (gray shaded bar) and increases along the strike direction as pore pressure decreases.

Figure 1c illustrates the spatial distribution of the on-fault stress parameters. The peak stress or 332 the fault static strength ( $\tau_p = \mu_s \sigma'_n$ ) is shown by a black dashed line and it increases from the 333 334 fault center (injection point) towards the fault boundary due to the increase of  $\sigma'_n$  (Figure 1b). 335 The initial stress (solid black line) is constant over the whole pressurized fault patch. At the 336 center of the fault, the peak stress is equal to the initial shear stress meaning that the strength 337 parameter is zero and the rupture can nucleate. The fault portion affected by the nucleation is 338 represented with the gray bar. The residual shear stress also increases within the fault radius 339 because of the effective normal stress gradient. It is important to note that all the discussed

stress conditions are valid across the different fault directions, implying a radialparametrization.

342 As anticipated above, we simulate here two sets of models distinguished for the value of the 343 assumed dynamic friction coefficient: Models A (solid red) dynamic friction is  $\mu_d = 0.21$ , while 344 in Models B  $\mu_d = 0.15$ . Although peak stress remains similar between Models A and B, 345 variations in dynamic friction lead to differences in breakdown and dynamic stress drop values, 346 as well as spatial stress gradients along the fault. The spatial gradient of the effective normal 347 stress ( $\sigma'_n$ ) also determines the spatial variability of the parameter S (Figure 1d), which is due 348 to the spatial increment of the strength excess coupled with the reduction in the dynamic stress 349 drop along the fault radius. This implies a quite different spatial gradient of the strength parameter S for the two sets of Models (A and B), as shown in Figure 1d for half fault 350 351 dimension.

352 As we will discuss in the following, each set of models yields different behaviors of dynamic 353 rupture propagation for different ranges of the critical slip weakening distance: namely, Models 354 A yield self-arresting ruptures and Models B runaway ruptures. This confirms that the S 355 parameter plays a crucial role in the behavior of dynamic rupture propagation for induced 356 earthquakes. It is worth observing that in our simulation, we intentionally did not include any 357 additional heterogeneity of the initial stress or other constitutive parameters, because we are 358 going to focus on the role of pore pressure and effective normal stress ( $\sigma'_n$ ) changes caused by 359 the fluid injection. In the following we will examine the influence of the S parameter on the 360 behavior of dynamic rupture propagation and arrest in the context of induced seismicity.

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### 3.3. Rupture nucleation

363 The earthquake nucleation zone is located at the fault injection point by assuming that the fault 364 strength (initial stress value) equals the peak shear stress, the latter being determined by the 365 pore-pressure peak caused by fluid injection (see Figure 1). In models of single dynamic 366 rupture events, we generally adopt the assumption of artificial rupture initiation to enable more 367 computationally efficient simulations. (Dalguer & Day, 2009; Bizzarri, 2010; Galis et al., 368 2015). Indeed, accounting for spontaneous nucleation due to an increasing tectonic loading in 369 time (Uenishi and Rice, 2003, Rubin and Ampuero, 2005) requires different model 370 parametrization, a friction law that accounts for the fault strength recovery (i.e., Rate & State 371 friction law) and different numerical algorithms, e.g., an adaptive time stepping scheme during 372 the simulation of the full seismic cycle (Lapusta and Liu, 2009) solvers suited for elliptic

instead of hyperbolic partial differential equations (Uphoff et al., 2023), which are adopted for
simulations of sequences of earthquakes and aseismic slip (e.g., Barbot et al. 2012; Jiang et al.,
2022 ).

In general, a dynamic rupture necessitates to first reach a critical length before spontaneously growing, leading to an unstable propagation. A relation to estimate the universal critical nucleation length for homogenous condition of the in-plane crack under slip weakening friction law has been provided by Uenishi & Rice (2003):

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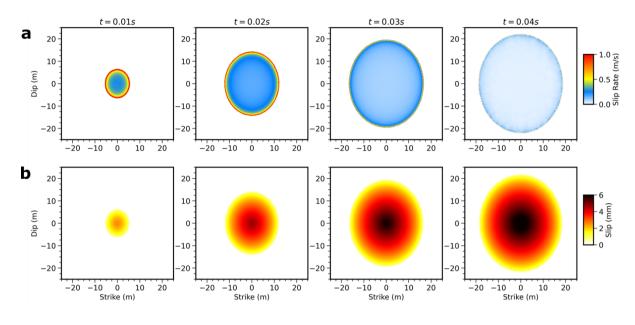
$$l_c = 1.158 \frac{1}{(1-\nu)} \frac{G D_c}{\Delta \tau_b}$$
(3)

382 where, G is the shear modulus, v the Poisson's ratio, D<sub>c</sub> the critical slip weakening distance 383 and  $\Delta \tau_b$  is the breakdown stress drop.

384 There are two nucleation approaches mainly adopted in the literature for dynamic rupture 385 simulations: initiation through a time-weakening law where the rupture front velocity is 386 imposed (Andrews, 1985) or the overstressed patch leading to instantaneous nucleation patch 387 failure (Kanamori, 1981). This study adopts a slightly modified rupture initiation method, 388 tailored to the unique stress conditions induced by fluid stimulation and the subsequent 389 reduction in effective normal stress. We assume a constant time-independent pore pressure 390 value within the injection zone corresponding to a borehole radius of 1 m and representing the 391 maximum pressure change (Figure 1b, Section 3.1). This fluid pressure plateau represents the 392 initial region where the fault strength equals the initial shear stress level, and consequently the rupture is able to nucleate. To achieve a gradual and smooth increase in fault slip rate at the 393 hypocenter from  $\sim 10^{-2}$  m/s to typical seismic slip velocity values for dynamic rupture 394 395 simulations (~ $10^0$  m/s), we impose a slightly smaller D<sub>c</sub> = 0.4 mm within the nucleation patch 396 for all models. A quantitative formulation which would allow us to estimate the critical size of 397 the nucleation patch in 3D and under non-homogeneous normal stress conditions is elusive. 398 We therefore use equation (3) to develop an estimate of the size of the nucleation patch. 399 Equation 3 predicts a critical nucleation half-length varying between 0.7 and 1.2m due the 400 variation in breakdown stress drop and the different adopted D<sub>c</sub> values. In agreement with this 401 estimate, in our simulations the nucleation patch size is adopted from the poro-elastic 402 simulations protocol of fluid injection (1 m bore hole size), with a nucleation behavior 403 consistent across all models. The adopted stress and constitutive conditions allow us to 404 maintain the same nucleation patch size in all our simulations because the fault strength 405 reduction along the source radius is determined by the imposed pore-pressure profile resulting

406 from poro-elastic modeling.

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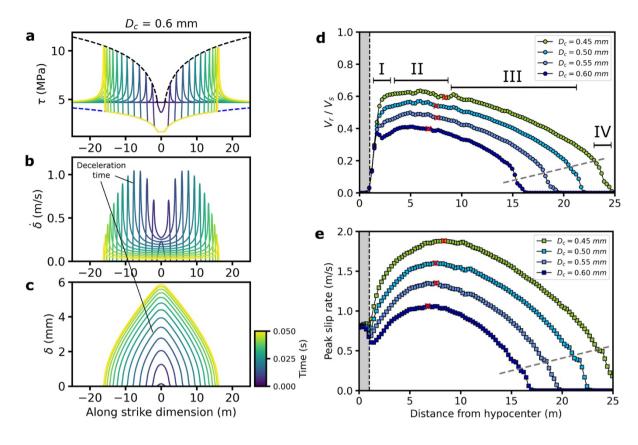




410 **Figure 2.** Evolution of the dynamic rupture for the model with  $D_c = 0.6$  mm belonging to the 411 class of Models A. (a) Snapshots of the slip rate during the rupture propagation. (b) Snapshots 412 of the accrued cumulative slip. Color scales display values of slip rate and slip.

# 413 4. Results

414 We present a series of 3D simulations of the spontaneous propagation of dynamic rupture along 415 a pressurized fault with a spatial pore pressure profile constrained by poroelastic simulations 416 aimed at reproducing a stimulation experiment envisioned in the FEAR project. As described 417 above, the fault geometry and parameterization are taken from the target fault zone of the FEAR 418 project in the Bedretto underground laboratory (BULGG). We investigate two classes of 419 Models characterized by different values of the dynamic friction coefficient: Models A have 420 dynamic friction  $\mu_d$  equal to 0.21, while in Models B  $\mu_d$  is 0.15. For each class of Models we 421 use different ranges of the critical slip weakening distance. In the following we present the 422 results of our simulations for each class of Models.





424

425 **Figure 3.** Illustration of the set Models A with imposed  $\mu_d = 0.21$  for an along-strike section. 426 (a-c) Example of rupture evolution through different snapshots of shear stress ( $\tau$ ), slip velocity 427  $(\dot{\delta})$  and slip profile ( $\delta$ ), the colormap indicates the temporal evolution of the rupture. (d) 428 Rupture speed and peak slip rate (e) as a function of the hypocentral distance (injection point). The four stages shown in panel d have been drawn for the model with  $D_c = 0.45$  mm. Red stars 429 430 mark the end of phase II, corresponding to the respective maximum in peak slip rate for each model. Color scale displays temporal evolution in panels a-b-c and adopted D<sub>c</sub> values in panels 431 432 d, e. 433

## 4 4.1. Self-arresting earthquakes

We first analyze the set of Models A ( $\mu_d = 0.21$ ) and explore a range of D<sub>c</sub> values ranging from 435 0.45 mm to 0.6 mm. The dynamic models computed with these parameters are characterized 436 437 by self-arresting ruptures, which results in induced earthquakes with  $M_w < 1$ . Figure 2 shows the evolution of a propagating rupture for a model with  $D_c = 0.6$  mm: Panel (a) displays the 438 439 snapshots of slip velocity at different times, while Panel (b) shows the snapshots of cumulative 440 slip. The slip distribution shown in Panel b resembles those observed in natural earthquakes 441 and laboratory experiments. (Scholz & Lawer, 2004; Ke et al., 2018). Given the source parameterization, the rupture propagates with nearly radial symmetry. This symmetry provides 442

a basis for detailed examination of shear stress, slip velocity, and slip evolution along specific
orientations, including the along-strike direction – a focal point of our subsequent analysis.

445 Figure 3 shows the shear stress, slip velocity and slip evolution with respect to the fault strike 446 direction during dynamic rupture propagation computed for  $D_c = 0.6$  mm (panels a, b and c, 447 respectively), which displays the key features of self-arresting ruptures over a source radius of 448 nearly 15 m. The evolution of shear stress, slip velocity and slip in the along-dip direction is 449 detailed in the Supplementary Material (Figure S1a, b, c). Comparing Figures 3a-c and S1a-c 450 confirms that, despite minor differences in rupture velocities, the along-dip results are similar 451 to those retrieved analyzing propagation along-strike direction. The initial increase of peak slip 452 velocity is followed by a gradual decrease during the arrest stage resulting in the retrieved 453 spatial slip gradient. This slip rate behavior implies a crack-like rupture (Kostrov, 1964), 454 meaning that all points behind the rupture front continue to slip until the rupture arrest. Peak 455 and residual stress values change with position along the strike because of the variable effective 456 normal stress (Figure 1).

457 The breakdown stress drop increases during rupture propagation, because the increase of peak 458 shear stress along the fault radius is larger than the increase of residual stress. Panels d and e 459 of Figure 3 summarize the behavior of dynamic ruptures for the four simulations conducted 460 with D<sub>c</sub> ranging from 0.45 mm to 0.6 mm showing the rupture velocity and peak slip rate, 461 respectively, with respect to half-strike dimension. The vertical gray-shaded bar indicates the 462 size of the nucleation patch adopted in all simulations, while the red stars identify the points 463 along the fault where each rupture model reaches its maximum peak slip velocity, (Figure 3 e). 464 The behavior of rupture velocity and peak slip rate allows us to subdivide the rupture 465 propagation in four distinct stages (Figure 3d). The first stage (I) corresponds to the initial rapid 466 acceleration of the rupture front outside the nucleation patch associated with rapidly increasing 467 peak slip rate. This stage is followed by a propagation at nearly constant rupture velocity 468 characterized by smoothly increasing peak slip rate reaching its maximum value during 469 propagation (stage II). At this point, the dynamic rupture starts to decelerate. We have 470 distinguished two stages during rupture deceleration: stage III is characterized by a continuous 471 decrease of rupture velocity with a progressive decrease of peak slip rate, followed by stage IV 472 in which rupture velocity and peak slip velocity abruptly drop to zero. The inferred four stages 473 describe acceleration, propagation, deceleration, and arrest of dynamic rupture propagation, as 474 clearly pointed out by the spatial evolution of rupture speed and slip rate.

Rupture velocity reaches its maximum value during the initial rupture acceleration (I) in arelatively small spatial extension; this maximum rupture speed is maintained during the

477 subsequent stage (II) preceding rupture deceleration (in stage III). The spatial extension of 478 dynamic rupture during these first two stages slightly depends on the adopted D<sub>c</sub> values, while 479 on the contrary the rupture velocity values depend on the assumed values of the critical slip 480 weakening distance D<sub>c</sub>: the smaller D<sub>c</sub>, the higher the rupture velocity values characterizing 481 each simulation. During the acceleration stages (I and II), peak slip velocity continuously 482 increases up to its maximum value marking the beginning of rupture deceleration. Inferred 483 peak slip velocity values are inversely proportional to the critical slip weakening distance D<sub>c</sub> 484 (Figure 3 e).

485 Differently from the initial stages (I and II) characterized by rupture acceleration or propagation 486 at nearly constant speed, the spatial extension of the deceleration stage (III) depends on D<sub>c</sub>: the 487 larger D<sub>c</sub>, the smaller is the rupture area characterized by rupture deceleration. This implies that D<sub>c</sub> together with the dynamic friction value control the dimensions of the final ruptured 488 489 area and therefore the magnitude of the induced earthquake for self-arresting ruptures. It is 490 interesting to observe that the rate at which the rupture decelerates appears to be similar among 491 all models. Finally, all simulations display the arrest phase IV characterized by an abrupt 492 decrease in both rupture speed and peak slip rate, as indicated by the gray dashed line in Fig. 493 3d-e. We note that all ruptures stop within the pressurized fault patch, with source radii ranging 494 from approximately ~15 to ~24 m. The released moment magnitudes (M<sub>w</sub>) are 0.76, 0.88, 0.97 495 and 1, respectively, increasing with decreasing D<sub>c</sub>.

496 A self-arresting rupture generates a nearly triangular shape of the slip spatial profile (Figure 3 497 c), with a maximum slip of 5.8mm for the adopted D<sub>c</sub> value (0.6 mm). During the initial rupture 498 acceleration stages (I and II) slip reaches a peak value of ~3mm (at the injection point), as 499 indicated by lines in Panel b-c highlighting the timestep when deceleration starts (the rupture 500 front at this point is 6-7 m away from nucleation). This implies that only half of peak slip and 501 less than half of the rupture extension has been reached during the acceleration of the rupture 502 (phase I and II), determining a large portion of the seismic moment release during the 503 deceleration stage (phase III and IV) (see Supplementary Material, Figure S3).

504

505

#### 4.2. Runaway earthquakes

506 It is often assumed (Shapiro et al., 2011; McGarr, 2014) that a rupture remains confined within 507 the volume affected by the pore pressure change, that is within the pressurized fault patch. 508 However, if the dynamic load at the crack-tip is sufficiently large to sustain rupture 509 propagation, the rupture can extend beyond the pressurized patch. This extension enables the 510 rupture to encompass a larger fault area, consequently leading to an earthquake of greater 511 magnitude. This is the case of the runaway ruptures investigated in this study. As anticipated 512 above, the class of Models B relies on the assumption of a lower dynamic friction coefficient

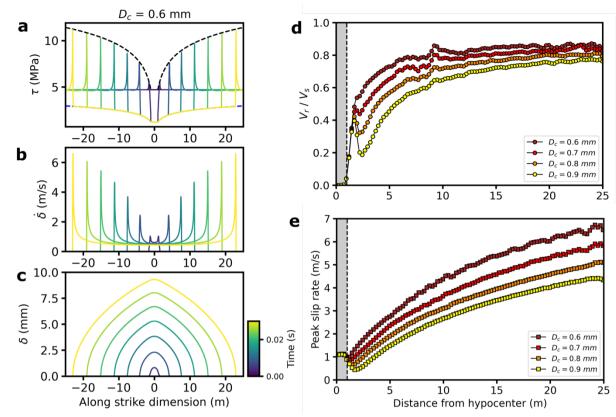
513 (namely,  $\mu_d = 0.15$ ) over the target fault, leading to runaway ruptures propagating outside the

514 pressurized fault. For this class of Models B, we explored a range of D<sub>c</sub> values ranging from

515 0.60 mm to 0.90 mm.

516 Figure 4 shows the shear stress, slip velocity and slip evolution along the strike direction 517 (Panels a, b, c, respectively) for a simulation performed with  $D_c=0.6$  mm, the same  $D_c$  value 518 used in Figure 3 for self-arresting ruptures (the respective along-dip evolution is shown in 519 Figure S2). The shear traction evolution displayed in Figure 4a shows the differing increase of 520 peak and residual stress values with space, resulting in the increase of breakdown stress drop 521 during the rupture propagation. The spatial increase of the strength parameter S (Figure 1d) is 522 modest because the increase of strength excess (the same as model A) is counterbalanced by 523 the larger dynamic stress drop (see equation 2). The peak slip rate continuously increases 524 during propagation, maintaining a constant residual slip velocity value behind the rupture front 525 coherently with crack-like ruptures. The maximum peak slip velocity is 6 m/s for this 526 simulation with D<sub>c</sub>=0.6 mm. The slip profiles (elliptical) shown in Panel e are also coherent 527 with an accelerating crack-like rupture (Gabriel et al., 2012).

528 Figure 4-d and 4-e illustrates how rupture speed and peak slip velocity vary with respect to half 529 fault strike dimension across different values of the critical slip weakening distance (D<sub>c</sub>). After 530 the initial rapid acceleration, the rupture front decelerates with smoothly increasing rupture 531 velocity remaining within the sub-shear regime. Decreasing the adopted D<sub>c</sub> value results in a 532 faster acceleration and higher rupture velocities. This is why we explore slightly larger Dc 533 values in Models B compared to those adopted in Models A, which would otherwise yield 534 supershear rupture. Peak slip velocity continuously increases during propagation for all the 535 adopted  $D_c$  values, with the largest peak slip rate values for the smallest  $D_c$ . The rupture 536 propagates along the whole pressurized patch with an increasing peak slip velocity and without 537 any deceleration. This characterizes the runaway ruptures. Our simulations suggest that, 538 regardless of the adopted D<sub>c</sub> value, obtaining a self-arresting rupture is not possible if the 539 dynamic friction is imposed to 0.15, even when the chosen D<sub>c</sub> value is approximately twice 540 than that used in the class of Models A. For the set of parameters adopted in Models B, when 541 rupture nucleates, it always propagates as a runaway rupture front. Rupture arrest for runaway 542 ruptures occurs only if the rupture encounters a geometrical barrier or an area with unfavorable 543 stress conditions outside the pressurized patch.



546 **Figure 4.** Illustration of the set Models B with imposed  $\mu_d = 0.15$  for along-strike section. (a-547 c) Example of rupture evolution through different snapshots of shear stress ( $\tau$ ), slip velocity 548 ( $\dot{\delta}$ ) and slip profile ( $\delta$ ). (d) Rupture speed and peak slip rate (e) as a function of the hypocentral 549 distance (injection point). Color scale displays temporal evolution in panels a-b-c and D<sub>c</sub> values 550 in panels d, e. 551

## 552 5. Discussion

544

545

553 In this study we have simulated self-arresting and runaway ruptures by stimulating a 554 pressurized patch through fluid injection within the nucleating zone. Fluid injection maintains 555 a constant peak of pore-pressure within the nucleation patch (1 m radius), where peak shear 556 stress  $\tau_p$  is imposed to be equal to the initial stress value. Fluid injection generates a spatial 557 pore-pressure gradient decreasing towards the edges of the pressurized patch. Since the initial 558 stress is deliberately maintained as homogeneous across the fault, the resulting spatial gradient 559 of effective normal stress (Figure 1) causes spatially variable strength excess, breakdown and 560 dynamic stress drops. Therefore, it is crucial to discuss the factors determining whether a 561 rupture is self-arresting or runaway, characteristics that directly impact the moment magnitude of the induced earthquake and the associated seismic hazard. 562

#### 563 5.1 Fracture energy

564 Models A and B differ in their dynamic friction coefficients and the range of employed critical 565 slip weakening distances (D<sub>c</sub>). It is important to point out that for Models B, which are 566 characterized by a lower dynamic friction coefficient, all simulated dynamic ruptures are 567 runaway ruptures for any adopted value of D<sub>c</sub>. On the contrary, for simulations belonging to 568 Models A, the self-arresting feature disappears if we decrease D<sub>c</sub> below 0.2 mm. To understand 569 this different behavior, we analyze for each model the fracture energy G<sub>c</sub>, a crucial parameter 570 to understand earthquake propagation and arrest (Andrews, 1976; Cocco et al., 2023; Gabriel 571 et al. 2024, Arxiv).

572 For a linear slip-weakening constitutive law, Gc depends linearly on breakdown stress drop and 573 D<sub>c</sub> (Ida, 1972). Figure 5 shows the spatial evolution of fracture energy for self-arresting (panel 574 a) and runaway (panel b) ruptures. Runaway ruptures dissipate more energy density (or 575 breakdown work, Tinti et al., 2005) than self-arresting ruptures. Comparing the simulations 576 performed with the same D<sub>c</sub> value (0.6 mm) for the two classes of models, the self-arresting 577 rupture (Models A) dissipates less fracture energy at the rupture front than the runaway rupture 578 (Models B). This is because breakdown stress drop is larger for runaway ruptures belonging to 579 the class of Models B (Figure 1b). Therefore, we conclude that self-arresting ruptures are not 580 caused by a larger energy dissipation at the rupture front (i.e., fracture energy). Panels c) and 581 d) of Figure 5 show that the decrease in dynamic stress drop for self-arresting ruptures (Models 582 A) is larger than the one inferred for runaway ruptures (Models B). Furthermore, the increase 583 in breakdown stress drop is smaller for self-arresting ruptures, and this results in a smaller ratio 584 between dynamic and breakdown stress drop (i.e. 1/(1+S) in Figure 5 c - d), which is associated 585 with larger spatial values of the S parameter (Figure 1). It is important to emphasize that in all 586 these dynamic models, rupture propagation is associated with spatially variable stress drops 587 (dynamic and breakdown).

Decreasing Dc for Models A yields runaway ruptures because fracture energy Gc decreases, 588 589 yielding G<sub>c</sub> values much smaller than those inferred for larger D<sub>c</sub> values (> 0.4) or for Models 590 B (see Supplementary Material Figure S4). This implies that within a given class of Models 591 (i.e., for a given value of dynamic friction coefficient) the dissipated energy determines the 592 self-arresting or runaway features of the dynamic rupture propagation of the induced 593 earthquake. However, larger energy dissipation at the rupture front (i.e., fracture energy) is not 594 sufficient to explain the occurrence of self-arresting ruptures as shown by the comparison 595 between Panels b and a in Figure 5. More generally, self-arresting rupture depends on the

596 assumed residual stress level, and fracture energy alone does not fully characterize the required 597 conditions for self-arresting dynamic ruptures since the strength excess parameter S is also 598 important and it should be considered as well (see Panels 5c and 5d).

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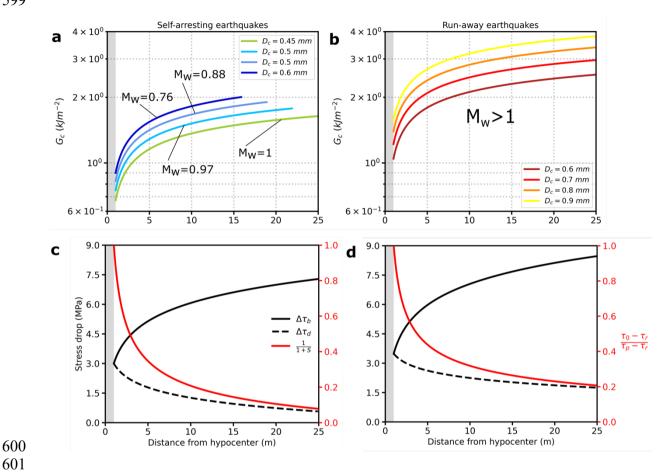




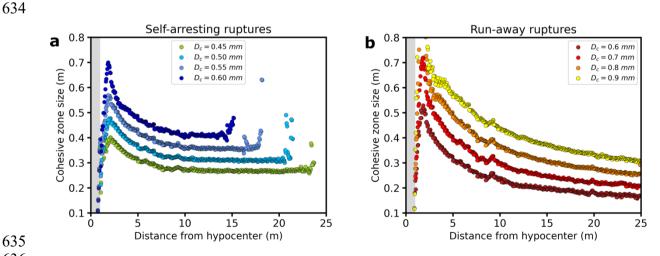
Figure 5. Fracture Energy (i.e., energy dissipation) and stress drop comparison for the two 602 603 sets of Models A and B. (a-b) Spatial variation of fracture energy with the distance from the hypocenter (injection point) for the set of Models A and B, respectively. The curves for self-604 605 arresting models (Models A) are interrupted to indicate the arrest points of the ruptures. (c-d) Spatial variation of stress drops with distance from the hypocenter (injection point) for sets of 606 607 Models A and B, respectively. The black dashed line represents the dynamic stress drop, the black solid line depicts the breakdown stress drop, and the red solid line illustrates the ratio 608 609 between these two stress drops, labeled by the 1/(1+S) parameter to link the curve to the strength parameter S. 610

5.2 Dynamic load 611

612 The behavior of peak slip velocity during dynamic propagation (Figures 3 and 4) suggests that 613 the differences between self-arresting and runaway ruptures can be interpreted in terms of the dynamic load sustaining rupture front propagation. Despite the large dissipation at the rupture 614 615 front (i.e., fracture energy), the dynamic load is much larger for runaway ruptures than for selfarresting ones. A straightforward method to represent the dynamic load at the rupture front is
computing the shear stress at a given point on the fault, which is a function of slip velocity.
Fukuyama and Madariaga (1998) proposed the following relationship:

619 
$$\sigma(x,t) = -\frac{G}{2\beta} \dot{\delta}(x,t) + \int_{\Sigma}^{\Box} \int_{0}^{t} K(x-\xi;t-t') \dot{\delta}(\xi,t) dt' dS$$
(4)

620 where  $\beta$  is the shear wave velocity,  $\dot{\delta}$  (x,t) is the slip velocity function and K is the kernel 621 representing the dynamic interaction among those points that are slipping behind the rupture 622 front. The integral is computed over the portion of the fault  $\Sigma$  that slipped at time t in which 623 the rupture front has reached the point x on the fault. Equation (4) highlights that the 624 contribution to shear stress at a given point is composed of two terms: an instantaneous 625 contribution determined by the slip velocity evolution at that point in space and time (i.e., a 626 radiation damping term), and the integral term which represents the dynamic interactions of 627 the points on the fault behind the rupture front that are still slipping with decreasing values of 628 slip velocity. We can therefore infer that higher slip velocity values are associated with larger 629 dynamic load at the rupture tip. This discussion relates to the size of the cohesive zone, which 630 is the portion of the fault composed of the points located behind the rupture tip that are 631 undergoing dynamic weakening and are expected to have the largest values of slip velocity 632 around the peak slip rate. Therefore, they provide the largest contributions to the dynamic 633 interactions (the integral term in equation 4) and to the dynamic load at the rupture front.



636

Figure 6. Cohesive zone behavior for set Models A and B. (a-b) The two panels respectively
show the cohesive zone size with respect to the hypocentral distance (injection point), of the
self-arresting (set Models A) and runaway ruptures (set Models B).

Figure 6 shows the cohesive zone sizes for self-arresting (Panel a) and runaway (Panel b)
 ruptures measured for the different ranges of D<sub>c</sub>. The size of the cohesive zone is measured

643 from the breakdown time (i.e., the time window representing the duration of dynamic 644 weakening) of each single fault point multiplied by its local rupture speed (Day et al., 2005; 645 Wollherr et al., 2018). Across the first 5-7.5 meters of rupture propagation away from the 646 nucleation patch the cohesive zone shrinks for both self-arresting and runaway ruptures. This 647 is associated with an increase of peak slip velocity and with rupture acceleration following the nucleation (Figures 3 and 4). However, for self-arresting ruptures the cohesive zone size 648 649 becomes nearly constant (Figure 6a) as soon as the rupture stops accelerating (stage II in Figure 3), unlike for runaway ruptures where the cohesive zone size continuously decreases (Figure 650 651 6b and Figure S5). This key observation is associated with the decrease of peak slip velocity 652 and rupture velocity (stages III and IV of Figure 3a and b). This corroborates that the size of 653 the cohesive zone is linked to both slip velocity and rupture speed evolution during dynamic 654 rupture propagation (Day et al., 2005).

655 We next discuss the distinctive features of self-arresting and runaway ruptures by analyzing the ratio between peak slip velocity and rupture speed. Figure 7 shows this ratio as a function 656 657 of the distance from the nucleation patch. After an initial stage in which rupture speed increases 658 more than peak slip velocity for both model classes (A and B), self-arresting ruptures are 659 characterized by a nearly constant ratio between peak slip velocity and rupture speed, 660 suggesting that they both decrease during the deceleration phase at the same rate in space. In contrast, in runaway ruptures peak slip velocity increases more than rupture speed because the 661 shrinking of the cohesive zone decreases due to the reduced rupture acceleration (Figure 6b). 662

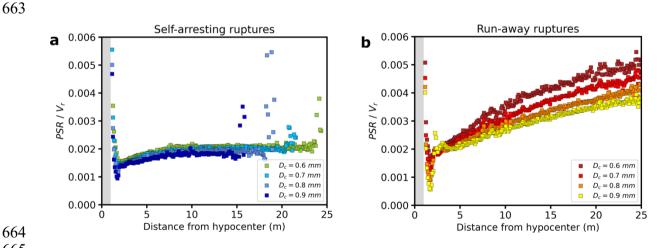
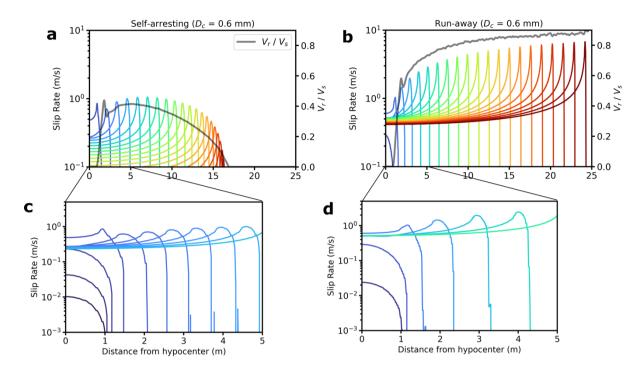


Figure 7. Peak slip rate variation normalized by the rupture speed for the set of Models A and 666 667 B. (a-b) Showing respectively the spatial variation of the ratio between the peak slip rate of the rupture and the rupture speed with the hypocentral distance (injection point), for self-arresting 668 669 (set Models A) and runaway ruptures (set Models B). 670

5.3 The dynamics of decelerating ruptures

673 The spatial gradient of strength excess, breakdown and dynamic stress drop caused by fluid 674 injection in a pressurized patch determines interesting features for a self-arresting rupture 675 characterized by a decelerating rupture front propagation over an extended portion of the fault. 676 Figure 3 shows that the decelerating rupture front propagates over nearly 60% of the radius of 677 the pressurized patch. The first key feature is the coupling between peak slip velocity and 678 rupture velocity. This is further investigated in Figure 8 (Panels a and c) showing the slip 679 velocity time histories and the evolution of rupture velocity in different fault positions along 680 the strike direction for the simulations with  $D_c = 0.6$  mm. Runaway ruptures are characterized 681 by an increasing peak slip velocity and rupture speed, with a constant asymptotic residual value 682 of slip rate, as expected for crack-like models (0.4-0.5 m/s). On the contrary, self-arresting 683 ruptures show an initial rupture acceleration with increasing peak slip velocities, followed by 684 a deceleration with decreasing peak slip velocity. Unlike runaway ruptures, self-arresting 685 ruptures display a decreasing asymptotic residual value of slip rate during the deceleration 686 stages. This does not occur during the initial acceleration stage of self-arresting rupture. Figure 687 8 b and d show a zoom of the slip velocity evolution during the first 5 meters from nucleation. 688 During the initial acceleration stage slip velocity increases for both self-arresting and runaway 689 ruptures, but the former have smaller values than the latter. Slip velocities for self-arresting 690 ruptures remain smaller than 1 m/s, differing from runaway ruptures that exceed 1 m/s after a 691 few meters from nucleation.

692 This analysis yields two main implications. First, it further corroborates that tiny differences in 693 the residual stress due to the adopted dynamic friction coefficients and the spatial gradient of 694 normal stress result in spatially variable dynamic stress drop and strength parameter S, 695 determining the self-arresting features. Second, for self-arresting ruptures during the 696 deceleration stage, the asymptotic residual slip velocity value decreases during dynamic 697 propagation approaching zero. This implies that during rupture deceleration and arrest, a crack-698 like model becomes a pulse like rupture, without exhibiting any stress undershoot (Lambert et 699 al. 2021), encountering any fault width barrier (Weng & Ampuero, 2019), or facing bi-material 700 contrast (Ampuero & Ben-Zion, 2008).





**Figure 8.** Evolution of slip rate and rupture speed for two example ruptures with the same D<sub>c</sub> (0.6mm) in the sets of Models A and B. Panels (**a-c**) display the slip rate evolution at different timesteps, indicated by the colormap, and the evolution of the rupture speed depicted by the gray solid line, for self-arresting (set Models A) and run-away (set Models B) ruptures, respectively. (**b-d**) Zooming in on the initial 5 meters of the rupture extension to emphasize the evolution of the slip rate during nucleation and the initial acceleration outside the nucleation patch.

### 5.4 Implications for earthquake mechanics

Although the stress conditions modeled in this work are carefully selected, we believe that they 713 714 are representative of fluid pressurization on a relatively homogeneous fault. While initial stress 715 heterogeneity is a common condition to model dynamic ruptures on active faults (Ripperger et al., 2007; Ma et al. 200; Tago et al. 2012; Tinti et al., 2021; among many others), we believe 716 717 that simulating dynamic propagation for a stress configuration characterized by a relatively 718 smooth spatial gradient is of interest for studying induced seismicity. The results obtained in 719 this work highlight distinct dynamic aspects of a decelerating rupture front that deserve to be 720 further investigated under a wider range of initial conditions. 721 Notably, in our simulations the residual stress level (i.e., dynamic stress) is not constant in

space and exhibits spatial gradients due to the effective normal stress changes induced by pore

723 pressure perturbations. This is different from the conditions commonly adopted in linear elastic

fracture mechanics (Galis et al., 2017; Brener and Bouchbinder, 2021; Kammer et al., 2024).

725 In particular, while runaway ruptures characterized by a dynamic propagation at increasing or 726 nearly constant rupture velocity (i.e., without deceleration) are coherent with crack-like 727 models, in which slip velocity evolves from its peak to an invariant residual value, self-arresting 728 ruptures characterized by the propagation of a decelerating rupture front over an extended fault 729 dimension exhibit unconventional features not completely coherent with pure crack-like 730 models (as evidenced by the decreasing residual slip velocity values behind the decelerating 731 rupture front). This feature represents a deviation from predictions from linear elastic fracture 732 mechanics, and it is not usually observed in dynamic simulations with linear slip weakening 733 law and heterogeneous prestress. It is worth noting that in our dynamic simulations we do not 734 prescribe the Griffith energy balance at the crack tip (Freund, 1989; Galis et al., 2017; Kammer 735 et al., 2024), for which the energy release rate (energy flow at the crack-tip) is equal to the 736 fracture energy (i.e., the energy dissipated at the rupture front). In other words, we do not 737 assume that the energy flow is equal to the dissipated energy at the rupture tip. Indeed, the 738 solution of the 3D dynamic rupture propagation is obtained by assuming the constitutive law 739 (the linear slip weakening in our case) and the collinearity between slip velocity and shear 740 traction. This explains why self-arresting ruptures are not uniquely characterized by larger 741 energy dissipation at the rupture tip; rather, the larger spatial decrease of dynamic stress drop 742 (as mapped by spatial gradient of the strength parameter S) determines self-arresting features.

## 743 6. Conclusions

744 In this paper we have performed a series of 3D simulations to model the dynamic rupture of a 745 pressurized patch stimulated through fluid injection within the nucleation zone. To our 746 knowledge, these represent the first dynamic rupture simulations for an induced micro-747 earthquake on a decametric-scale planar fault (50 m length). Previously, only Liu and Lapusta 748 (2008) modeled a ~2 magnitude micro-earthquake repeater of the San Andreas Fault through 749 3D seismic cycle simulation. The fault geometry and the pore fluid pressure changes have been 750 modeled to reproduce the stimulation experiments envisioned by the FEAR project in the 751 Bedretto Lab (BULGG). In particular, the pore pressure profile along the fault radius and 752 around the injection borehole has been computed through poro-elastic simulation of the fault 753 zone. The initial stress is kept constant to investigate the role of the spatial gradient of effective 754 normal stress. The two classes of models simulated in this study differ in their values of the 755 dynamic friction coefficient and in the range of their values of the critical slip weakening 756 distance. Models B have a smaller dynamic friction coefficient ( $\mu_d = 0.15$ ) and larger D<sub>c</sub> values

ranging from 0.60 mm to 0.90 mm. They result in runaway ruptures propagating over the entire pressurized patch, without any deceleration of the rupture front. This behavior is obtained also using smaller values of the critical slip weakening distance  $D_c$ , which have not been discussed because they yield supershear ruptures. On the contrary, Models A, characterized by a higher dynamic friction coefficient ( $\mu_d = 0.21$ ) and smaller  $D_c$  values ranging from 0.45 mm to 0.60

- 762 mm, display self-arresting rupture within the pressurized patch. Decreasing D<sub>c</sub> for this class of
- 763 Models A would yield runaway ruptures.
- 764 The results of this study are of relevance to discuss the dynamic propagation of rupture during 765 an induced earthquake characterized by a spatially variable, continuously increasing effective normal stress governed by the pore fluid pressurization of the fault patch. This causes spatially 766 767 variable peak and residual stress values, which result in a spatially variable strength excess, breakdown and dynamic stress drops. In this configuration, decreasing the residual stress by 768 769 changing the dynamic coefficient of friction makes the fault more unstable, yielding runaway 770 ruptures for a broad range of D<sub>c</sub> values. This results in generating smooth, spatially variable 771 frictional strength, as described by the spatial evolution of the S parameter. While this is 772 expected, a tiny increase of the dynamic friction coefficient, which is still representative of a weak fault ( $\mu_d \approx 0.2$ ), can generate self-arresting ruptures characterized by a large spatial 773 774 increase (gradient) of the S parameter caused by the spatial decrease in dynamic stress drop. In 775 this configuration, we have found a range of D<sub>c</sub> values for which self-arresting ruptures are 776 characterized by the propagation of a decelerating rupture front over a finite portion of the 777 pressurized patch. Self-arresting ruptures do not reach the edge of the pressurized patch, unlike 778 runaway ruptures.
- 779 Our simulations corroborate that self-arresting and runaway ruptures are determined by the 780 stress state within the pressurized patch. However, the analysis of the dynamics of a 781 decelerating propagating rupture yields interesting and somehow surprising results.
- 782 We have shown that the distinction between self-arresting and runaway ruptures cannot be 783 explained solely in terms of fracture energy (i.e., the energy dissipated at the rupture front); 784 that is, ruptures are not self-arresting because they dissipate more energy at the tip. Runaway 785 ruptures dissipate more energy than self-arresting ones, even if decreasing fracture energy (by 786 decreasing D<sub>c</sub>) transforms self-arresting ruptures into runaway ones. The spatial variation of 787 frictional strength caused by the spatially increasing normal stress within the pressurized patch 788 is the key feature to enable self-arresting, because it is determining the dynamic load sustaining 789 the propagation of the rupture front. Indeed, the behavior of slip velocity, rupture speed and

cohesive zone size suggests that dynamic load, supporting rupture front propagation, is larger for runaway ruptures. On the contrary, we can conclude that for self-arresting ruptures the dynamic load is not large enough to maintain the dynamic rupture propagation causing rupture deceleration associated with a nearly constant size of the cohesive zone and decreasing peak slip velocity values until the final rupture arrest. The peculiar feature of this dynamic propagation is the spatially variable dynamic stress drop and strength excess.

796 The dynamic propagation of an induced self-arresting rupture over a finite extension of the 797 pressurized patch generates a slip velocity field that differs from that obtained for runaway 798 ruptures, characterized by the propagation at constant or increasing rupture speed. The most 799 evident feature is the decrease of peak slip velocity associated with the decelerating rupture 800 and the nearly constant cohesive zone size. The other relevant feature is the decrease of the 801 residual slip velocity value (asymptotic value for a crack-like rupture), which decreases during 802 deceleration becoming nearly zero. This means that the initial crack-like rupture retrieved 803 during the acceleration stage becomes a pulse-like rupture at the arrest.

804 The results of this study, obtained under specific stress conditions, are applied to a realistic 805 scenario of an induced earthquake at BULGG. Nonetheless, they allow us to highlight how the 806 study of the rupture dynamics of an induced earthquake involves peculiarities relevant to the 807 mechanics of earthquakes. The spatially variable normal stress causes variations of frictional 808 strength and spatially variable breakdown and dynamic stress drops. This might have 809 implications for radiated energy and frequency contents of ground motions caused by induced 810 earthquakes. Although further investigations are needed to account for prestress heterogeneity, 811 we emphasize the importance of exploring rupture deceleration over a finite portion of a 812 pressurized patch.

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## 833 **Open Research**

834 We use the SeisSol software package available on GitHub (https://github.com/SeisSol/SeisSol)

to simulate all dynamic models. We use SeisSol, version {202103\\_Sumatra-686-gf8e01a54}

836 (master branch on commit dd018b3398258a23ec2a33c74bd7f31b503dcca6, v1.1.3-362837 gdd018b33). The procedure to download and run the code is described in the SeisSol

- documentation (seissol.readthedocs.io/en/latest/). Downloading and compiling instructions are
- at https://seissol.readthedocs.io/en/latest/compiling-seissol.html. Instructions for setting up and
- 840 running simulations are at https://seissol.readthedocs.io/en/latest/configuration.html.
- 841 Quickstart containerized installations and introductory materials are provided in the docker

842 container and Jupyter Notebooks at {https://github.com/SeisSol/Training. Example problems

- 843 and model configuration files are provided at https://github.com/SeisSol/Examples, many of 844 which reproduce the SCEC 3D Dynamic Rupture benchmark problems described at
- 845 https://strike.scec.org/cvws/benchmark descriptions.html.
- 846 All data required to reproduce the dynamic rupture scenarios are available at ....
- 847 The data will be fully archived at Zenodo at acceptance.
- 848

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