

Standards

## General Meeting - November 2023

Standards MX

# Message Definition Report - Part 2

This document provides details of the messages for General Meeting - November 2023.

February 2023

# Table of Contents

<b>1</b>	<b>Message Set Overview</b>	4
1.1	List of MessageDefinitions	4
<b>2</b>	<b>MX head.001.001.02 BusinessApplicationHeaderV02</b>	6
2.1	MessageDefinition Functionality	6
2.2	Structure	9
2.3	Constraints	10
2.4	Message Building Blocks	11
<b>3</b>	<b>MX seev.001.001.10 MeetingNotificationV10</b>	23
3.1	MessageDefinition Functionality	23
3.2	Structure	25
3.3	Constraints	29
3.4	Message Building Blocks	35
<b>4</b>	<b>MX seev.002.001.09 MeetingCancellationV09</b>	85
4.1	MessageDefinition Functionality	85
4.2	Structure	86
4.3	Constraints	87
4.4	Message Building Blocks	90
<b>5</b>	<b>MX seev.003.001.09 MeetingEntitlementNotificationV09</b>	105
5.1	MessageDefinition Functionality	105
5.2	Structure	107
5.3	Constraints	108
5.4	Message Building Blocks	111
<b>6</b>	<b>MX seev.004.001.09 MeetingInstructionV09</b>	134
6.1	MessageDefinition Functionality	134
6.2	Structure	136
6.3	Constraints	139
6.4	Message Building Blocks	143
<b>7</b>	<b>MX seev.005.001.09 MeetingInstructionCancellationRequestV09</b>	188
7.1	MessageDefinition Functionality	188
7.2	Structure	189
7.3	Constraints	190
7.4	Message Building Blocks	193
<b>8</b>	<b>MX seev.006.001.09 MeetingInstructionStatusV09</b>	208
8.1	MessageDefinition Functionality	208
8.2	Structure	210
8.3	Constraints	211
8.4	Message Building Blocks	216

---

---

<b>9</b>	<b>MX seev.007.001.09 MeetingVoteExecutionConfirmationV09</b>	237
9.1	MessageDefinition Functionality	237
9.2	Structure	239
9.3	Constraints	240
9.4	Message Building Blocks	242
<b>10</b>	<b>MX seev.008.001.08 MeetingResultDisseminationV08</b>	263
10.1	MessageDefinition Functionality	263
10.2	Structure	265
10.3	Constraints	267
10.4	Message Building Blocks	270
<b>11</b>	<b>Message Items Types</b>	293
11.1	MessageComponents	293
11.2	Message Datatypes	415
	<b>Legal Notices</b>	446

# 1 Message Set Overview

## 1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.001.001.10 MeetingNotificationV10	<p>The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.</p>
seev.002.001.09 MeetingCancellationV09	<p>The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.</p>
seev.003.001.09 MeetingEntitlementNotificationV09	<p>The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.</p>

MessageDefinition	Definition
seev.004.001.09 MeetingInstructionV09	The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.
seev.005.001.09 MeetingInstructionCancellationRequestV09	The MeetingInstructionCancellationRequest message is sent by the same party that sent the MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.
seev.006.001.09 MeetingInstructionStatusV09	The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message.  The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.
seev.007.001.09 MeetingVoteExecutionConfirmationV09	The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.
seev.008.001.08 MeetingResultDisseminationV08	The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

## 2 MX head.001.001.02 BusinessApplicationHeaderV02

### 2.1 MessageDefinition Functionality

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

#### Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

#### A. CharSet

Contains the character set of the text-based elements used in the Business Message.

#### B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

#### C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.



## 2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root &lt;Document&gt; &lt;AppHdr&gt;</i>	[1..1]		C5	
	<b>CharacterSet &lt;CharSet&gt;</b>	[0..1]	CodeSet	C6	11
	<b>From &lt;Fr&gt;</b>	[1..1]			12
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		12
Or}	<b>FinancialInstitutionIdentification &lt;FIId&gt;</b>	[1..1]	±		12
	<b>To &lt;To&gt;</b>	[1..1]			13
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		13
Or}	<b>FinancialInstitutionIdentification &lt;FIId&gt;</b>	[1..1]	±		13
	<b>BusinessMessageIdentifier &lt;BizMsgIdr&gt;</b>	[1..1]	Text		14
	<b>MessageDefinitionIdentifier &lt;MsgDefIdr&gt;</b>	[1..1]	Text		14
	<b>BusinessService &lt;BizSvc&gt;</b>	[0..1]	Text		14
	<b>MarketPractice &lt;MktPrctc&gt;</b>	[0..1]			14
	<b>Registry &lt;Regy&gt;</b>	[1..1]	Text		15
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		15
	<b>CreationDate &lt;CreDt&gt;</b>	[1..1]	DateTime		15
	<b>BusinessProcessingDate &lt;BizPrctDt&gt;</b>	[0..1]	DateTime		15
	<b>CopyDuplicate &lt;CpyDplct&gt;</b>	[0..1]	CodeSet		15
	<b>PossibleDuplicate &lt;PssblDplct&gt;</b>	[0..1]	Indicator		16
	<b>Priority &lt;Prty&gt;</b>	[0..1]	CodeSet	C7	16
	<b>Signature &lt;Sgntr&gt;</b>	[0..1]	(External Schema)		17
	<b>Related &lt;Rltd&gt;</b>	[0..*]			17
	<b>CharacterSet &lt;CharSet&gt;</b>	[0..1]	CodeSet	C6	17
	<b>From &lt;Fr&gt;</b>	[1..1]			18
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		18
Or}	<b>FinancialInstitutionIdentification &lt;FIId&gt;</b>	[1..1]	±		18
	<b>To &lt;To&gt;</b>	[1..1]			19
{Or	<b>OrganisationIdentification &lt;OrgId&gt;</b>	[1..1]	±		19
Or}	<b>FinancialInstitutionIdentification &lt;FIId&gt;</b>	[1..1]	±		19
	<b>BusinessMessageIdentifier &lt;BizMsgIdr&gt;</b>	[1..1]	Text		20
	<b>MessageDefinitionIdentifier &lt;MsgDefIdr&gt;</b>	[1..1]	Text		20

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		20
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		20
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		20
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		21
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	21
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		22

## 2.3 Constraints

The tick symbol ( ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### C1 AnyBIC

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

### C2 BICFI

(Rule)

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00001
- *Error Text:* Invalid FI BIC.

### C3 Country

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**C4 OnlySignatureElement**

(Rule)

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

**C5 RelatedPresentWhenCopyDupl**

(Rule)

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present. (CrossElementComplexRule)

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

*Error handling:*

- *Error severity:* Warning
- *Error Code:* H00001
- *Error Text:* Element Related is missing

*This constraint is defined at the MessageDefinition level.*

**C6 ValidationByTable**

(Rule)

(Algorithm)

**C7 ValidationByTable**

(Rule)

(Algorithm)

## 2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 2.4.1 CharacterSet <CharSet>

*Presence:* [0..1]

*Definition:* Contains the character set of the text-based elements used in the Business Message.

*Impacted by:* [C6 ValidationByTable](#)

*Datatype:* [UnicodeChartsCode](#) on page 434

**Constraints**

- **ValidationByTable**  
 (Rule)

## 2.4.2 From <Fr>

*Presence:* [1..1]

*Definition:* The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

**From <Fr>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		12
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		12

### 2.4.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see [PartyIdentification135](#) on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		337
	PostalAddress <PstAdr>	[0..1]	±		337
	Identification <Id>	[0..1]	±		338
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	338
	ContactDetails <CtctDtls>	[0..1]	±		339

### 2.4.2.2 FinancialInstitutionIdentification <FIId>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIld>** contains the following elements (see [BranchAndFinancialInstitutionIdentification6](#) on page 304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		304
	BranchIdentification <BrnchId>	[0..1]	±		305

## 2.4.3 To <To>

*Presence:* [1..1]

*Definition:* The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

**To <To>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		13
Or}	<b>FinancialInstitutionIdentification</b> <FIld>	[1..1]	±		13

### 2.4.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see [PartyIdentification135](#) on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		337
	PostalAddress <PstlAdr>	[0..1]	±		337
	Identification <Id>	[0..1]	±		338
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	338
	ContactDetails <CtctDtls>	[0..1]	±		339

### 2.4.3.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIId>** contains the following elements (see [BranchAndFinancialInstitutionIdentification6](#) on page 304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		304
	BranchIdentification <BrnchId>	[0..1]	±		305

## 2.4.4 BusinessMessageIdentifier <BizMsgIdr>

*Presence:* [1..1]

*Definition:* Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

*Datatype:* [Max35Text](#) on page 443

## 2.4.5 MessageDefinitionIdentifier <MsgDefldr>

*Presence:* [1..1]

*Definition:* Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

*Datatype:* [Max35Text](#) on page 443

## 2.4.6 BusinessService <BizSvc>

*Presence:* [0..1]

*Definition:* Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

*Datatype:* [Max35Text](#) on page 443

## 2.4.7 MarketPractice <MktPrctc>

*Presence:* [0..1]

*Definition:* Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

**MarketPractice <MktPrctc>** contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Registry &lt;Regy&gt;</b>	[1..1]	Text		15
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		15

### 2.4.7.1 Registry <Regy>

*Presence:* [1..1]

*Definition:* Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

*Datatype:* [Max350Text on page 443](#)

### 2.4.7.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

*Datatype:* [Max2048Text on page 443](#)

## 2.4.8 CreationDate <CreDt>

*Presence:* [1..1]

*Definition:* Date and time when this Business Message (header) was created.

*Datatype:* [ISODateTime on page 438](#)

## 2.4.9 BusinessProcessingDate <BizPrcgDt>

*Presence:* [0..1]

*Definition:* Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

*Datatype:* [ISODateTime on page 438](#)

## 2.4.10 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

*Datatype:* [CopyDuplicate1Code on page 419](#)

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

## 2.4.11 PossibleDuplicate <PssbIDpIct>

*Presence:* [0..1]

*Definition:* Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 2.4.12 Priority <Prty>

*Presence:* [0..1]

*Definition:* Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

*Impacted by:* [C7 ValidationByTable](#)

*Datatype:* [BusinessMessagePriorityCode](#) on page 418

### Constraints

- **ValidationByTable**  
(Rule)



## 2.4.13 Signature <Sgntr>

*Presence:* [0..1]

*Definition:* Contains the digital signature of the Business Entity authorised to sign this Business Message.

*Type:* (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

## 2.4.14 Related <Rltd>

*Presence:* [0..\*]

*Definition:* Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

**Related <Rltd>** contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CharacterSet</b> <CharSet>	[0..1]	CodeSet	C6	17
	<b>From</b> <Fr>	[1..1]			18
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		18
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		18
	<b>To</b> <To>	[1..1]			19
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		19
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		19
	<b>BusinessMessageIdentifier</b> <BizMsgIdr>	[1..1]	Text		20
	<b>MessageDefinitionIdentifier</b> <MsgDefIdr>	[1..1]	Text		20
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		20
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		20
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		20
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		21
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	21
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		22

### 2.4.14.1 CharacterSet <CharSet>

*Presence:* [0..1]

*Definition:* Contains the character set of the text-based elements used in the Business Message.

*Impacted by:* [C6 ValidationByTable](#)

*Datatype:* [UnicodeChartsCode](#) on page 434

**Constraints**

- **ValidationByTable**

(Rule)

**2.4.14.2 From <Fr>**

*Presence:* [1..1]

*Definition:* The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

**From <Fr>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		18
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		18

**2.4.14.2.1 OrganisationIdentification <OrgId>**

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see [PartyIdentification135](#) on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		337
	PostalAddress <PstAdr>	[0..1]	±		337
	Identification <Id>	[0..1]	±		338
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	338
	ContactDetails <CtctDtls>	[0..1]	±		339

**2.4.14.2.2 FinancialInstitutionIdentification <FIId>**

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIld>** contains the following elements (see [BranchAndFinancialInstitutionIdentification6](#) on page 304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		304
	BranchIdentification <BrnchId>	[0..1]	±		305

### 2.4.14.3 To <To>

*Presence:* [1..1]

*Definition:* The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

**To <To>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		19
Or}	<b>FinancialInstitutionIdentification</b> <FIld>	[1..1]	±		19

#### 2.4.14.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see [PartyIdentification135](#) on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		337
	PostalAddress <PstlAdr>	[0..1]	±		337
	Identification <Id>	[0..1]	±		338
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	338
	ContactDetails <CtctDtls>	[0..1]	±		339

#### 2.4.14.3.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIId>** contains the following elements (see [BranchAndFinancialInstitutionIdentification6](#) on page 304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		304
	BranchIdentification <BrnchId>	[0..1]	±		305

#### 2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

*Presence:* [1..1]

*Definition:* Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

*Datatype:* [Max35Text](#) on page 443

#### 2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

*Presence:* [1..1]

*Definition:* Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

*Datatype:* [Max35Text](#) on page 443

#### 2.4.14.6 BusinessService <BizSvc>

*Presence:* [0..1]

*Definition:* Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

*Datatype:* [Max35Text](#) on page 443

#### 2.4.14.7 CreationDate <CreDt>

*Presence:* [1..1]

*Definition:* Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

*Datatype:* [ISODatetime](#) on page 438

#### 2.4.14.8 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

*Datatype:* [CopyDuplicate1Code on page 419](#)

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 2.4.14.9 PossibleDuplicate <PssbIDplct>

*Presence:* [0..1]

*Definition:* Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

*Datatype:* One of the following values must be used (see [YesNoIndicator on page 440](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 2.4.14.10 Priority <Prty>

*Presence:* [0..1]

*Definition:* Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

*Impacted by:* [C7 ValidationByTable](#)

*Datatype:* [BusinessMessagePriorityCode on page 418](#)

##### Constraints

- **ValidationByTable**  
(Rule)

### 2.4.14.11 Signature <Sgntr>

*Presence:* [0..1]

*Definition:* Contains the digital signature of the Business Entity authorised to sign this Business Message.

*Type:* (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

## 3 **MX seev.001.001.10 MeetingNotificationV10**

### 3.1 **MessageDefinition Functionality**

#### Scope

The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.

#### Usage

The MeetingNotification message is used to announce a meeting, for example, it provides information on the participation details and requirements for the meeting, the vote parameters and the resolutions. The MeetingNotification message may also be used to announce an update.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingNotificationV10 MessageDefinition is composed of 14 MessageBuildingBlocks:

#### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

#### B. NotificationGeneralInformation

General information about the event notification type and status.

#### C. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

#### D. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

#### E. Meeting

Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

#### F. MeetingDetails

Dates and details of the shareholders meeting.

#### G. Issuer

Institution that is the issuer of the security to which the meeting applies.

#### H. IssuerAgent

Agent of the issuer.

#### I. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

J. Resolution

Detailed information of a resolution proposed to the vote.

K. Vote

Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

L. PowerOfAttorneyRequirements

Information about the use of power of attorney.

M. AdditionalInformation

Additional narrative information about the meeting event.

N. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.



## 3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgNtfctn>	[1..1]		C6, C13, C19, C20, C23, C24	
	<b>Pagination</b> <Pgntn>	[0..1]	±		35
	<b>NotificationGeneralInformation</b> <NtfctnGnlInf>	[1..1]			35
	<b>NotificationIdentification</b> <NtfctnId>	[0..1]	Text		36
	<b>NotificationType</b> <NtfctnTp>	[1..1]	CodeSet		36
	<b>NotificationStatus</b> <NtfctnSts>	[1..1]			36
	<b>EventCompletenessStatus</b> <EvtCmpltnsSts>	[1..1]	CodeSet		37
	<b>EventConfirmationStatus</b> <EvtConfSts>	[1..1]	CodeSet		37
	<b>ShareholderRightsDirectiveIndicator</b> <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		37
	<b>ConfirmationOfHoldingRequired</b> <ConfOfHldgReqrd>	[0..1]	Indicator		37
	<b>NotificationUpdate</b> <NtfctnUpd>	[0..1]			37
	<b>PreviousNotificationIdentification</b> <PrvsNtfctnId>	[1..1]	Text		38
	<b>ReconfirmInstructions</b> <Rcnfrmlnstrs>	[0..1]	Indicator		38
	<b>EventsLinkage</b> <EvtsLkg>	[0..*]			38
	<b>EventIdentification</b> <EvtId>	[1..1]			38
{Or	<b>LinkedIssuerMeetingIdentification</b> <LkdIssrMtgld>	[1..1]	Text		39
Or}	<b>LinkedMeetingIdentification</b> <LkdMtgld>	[1..1]	Text		39
	<b>LinkageType</b> <LkgTp>	[0..1]	CodeSet		39
	<b>Meeting</b> <Mtg>	[1..1]			39
	<b>MeetingIdentification</b> <Mtgld>	[1..1]	Text		41
	<b>IssuerMeetingIdentification</b> <IssrMtgld>	[0..1]	Text		41
	<b>Type</b> <Tp>	[1..1]	CodeSet		41
	<b>Classification</b> <Clssfctn>	[0..1]	±		42
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	±		42
	<b>OneManOneVoteIndicator</b> <OneManOneVoteInd>	[0..1]	Indicator		42
	<b>Participation</b> <Prtcptn>	[0..*]			43
	<b>ParticipationMethod</b> <PrtcptnMtd>	[1..1]	±		43
	<b>IssuerDeadlineForVoting</b> <IssrDdlnForVtng>	[1..1]	±		43

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SupportedByAccountServicer</b> <SpprtdByAcctSvcr>	[0..1]	Indicator		43
	<b>ResponseDeadlineForVoting</b> <RspnDdlnForVtng>	[0..1]	±		44
	<b>Attendance</b> <Attndnc>	[0..1]			44
	<b>AdmissionConditions</b> <AdmssnConds>	[0..7]	±		44
	<b>ConfirmationInformation</b> <ConfInf>	[0..1]	Text		44
	<b>ConfirmationDeadline</b> <ConfDdln>	[0..1]	±		45
	<b>ConfirmationMarketDeadline</b> <ConfMktDdln>	[0..1]	±		45
	<b>AdditionalDocumentationURLAddress</b> <AddtlDcmnttnURLAdr>	[0..*]	Text		45
	<b>EventProcessingWebSiteAddress</b> <EvtPrpgWebSiteAdr>	[0..1]	Text		45
	<b>AdditionalProcedureDetails</b> <AddtlPrcdrDtls>	[0..5]			45
	<b>AdditionalRight</b> <AddtlRght>	[1..1]	±		46
	<b>AdditionalRightInformationURLAddress</b> <AddtlRghtInfURLAdr>	[0..1]	Text		46
	<b>AdditionalRightDeadline</b> <AddtlRghtDdln>	[0..1]	±		46
	<b>AdditionalRightMarketDeadline</b> <AddtlRghtMktDdln>	[0..1]	±		46
	<b>AdditionalRightThreshold</b> <AddtlRghtThrshld>	[0..1]	±		47
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtlNbOfScitiesOutsdng>	[0..1]	±		47
	<b>TotalNumberOfVotingRights</b> <TtlNbOfVtngRghts>	[0..1]	Quantity		47
	<b>ProxyAppointmentNotificationAddress</b> <PrxyAppntmntNtfctnAdr>	[0..1]	±		47
	<b>ProxyChoice</b> <PrxyChc>	[0..1]			48
{Or	<b>Proxy</b> <Prxy>	[1..1]			48
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		49
	<b>Deadline</b> <Ddln>	[0..1]	±		49
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49
Or}	<b>ProxyNotAllowed</b> <PrxyNotAllwd>	[1..1]	CodeSet		50
	<b>ContactPersonDetails</b> <CtctPrsnDtls>	[0..12]			50
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		50
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		50
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	IdentifierSet		51
	<b>ResultPublicationDate</b> <RsltPblctnDt>	[0..1]	±		51

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesBlockingPeriodEndDate</b> <SctiesBlckgPrdEndDt>	[0..1]	±		51
	<b>EntitlementFixingDate</b> <EntitlmntFxdDt>	[0..1]	±		51
	<b>RegistrationSecuritiesDeadline</b> <RegnSctiesDdlIn>	[0..1]	±		52
	<b>RegistrationSecuritiesMarketDeadline</b> <RegnSctiesMktDdlIn>	[0..1]	±		52
	<b>MeetingDetails</b> <MtgDtls>	[1..5]		C9	52
	<b>DateAndTime</b> <DtAndTm>	[1..1]	±		53
	<b>DateStatus</b> <DtSts>	[0..1]	CodeSet		53
	<b>QuorumRequired</b> <QrmReqrd>	[0..1]	Indicator		54
	<b>Location</b> <Lctn>	[1..5]	±		54
	<b>QuorumQuantity</b> <QrmQty>	[0..1]	±		54
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		54
	<b>Issuer</b> <Issr>	[1..1]	±		54
	<b>IssuerAgent</b> <IssrAgt>	[0..10]	±		55
	<b>Security</b> <Scty>	[1..200]			55
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C10, C11, C15, C16, C18	56
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C14, C21	58
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		60
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWllt>	[0..1]	Text		60
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			61
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		61
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		61
	<b>HoldingBalance</b> <HldgBal>	[0..15]			62
	<b>Balance</b> <Bal>	[1..1]	±		62
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		63
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		64
	<b>Date</b> <Dt>	[0..1]	Date		64
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			64
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		65

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		65
	<b>Resolution</b> <Rsln>	[0..1000]		C25	66
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		67
	<b>Description</b> <Desc>	[0..*]			68
	<b>Language</b> <Lang>	[1..1]	CodeSet		68
	<b>Title</b> <Titl>	[0..1]	Text		68
	<b>Description</b> <Desc>	[0..1]	Text		68
	<b>ListingGroupResolutionLabel</b> <ListgGrpRslnLbl>	[0..1]	Text		68
	<b>Type</b> <Tp>	[0..1]	CodeSet		68
	<b>ForInformationOnly</b> <ForInfOnly>	[1..1]	Indicator		69
	<b>VoteType</b> <VoteTp>	[0..1]	CodeSet		69
	<b>Status</b> <Sts>	[1..1]	CodeSet		69
	<b>SubmittedBySecurityHolder</b> <SubmittdBySctyHldr>	[0..1]	Indicator		69
	<b>RightToWithdrawIndicator</b> <RghtToWdrwlnd>	[0..1]	Indicator		70
	<b>VoteInstructionType</b> <VoteInstrTp>	[0..*]	±	C5	70
	<b>ManagementRecommendation</b> <MgmtRcmmndtn>	[0..1]	CodeSet		70
	<b>NotifyingPartyRecommendation</b> <NtifngPtyRcmmndtn>	[0..1]	CodeSet		71
	<b>Entitlement</b> <Entitlmnt>	[0..1]	±		72
	<b>VotingRightsThresholdForApproval</b> <VtngRghtsThrshldForAprvl>	[0..*]	±		72
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		72
	<b>Vote</b> <Vote>	[0..1]			72
	<b>SecuritiesQuantityRequiredToVote</b> <SctiesQtyReqrdToVote>	[0..1]	±		73
	<b>PartialVoteAllowed</b> <PrtlVoteAllwd>	[1..1]	Indicator		74
	<b>SplitVoteAllowed</b> <SplVoteAllwd>	[1..1]	Indicator		74
	<b>VoteDeadline</b> <VoteDdln>	[0..1]	±		74
	<b>VoteMarketDeadline</b> <VoteMktDdln>	[0..1]	±		74
	<b>VoteMethods</b> <VoteMthds>	[0..1]			75
	<b>VoteThroughNetwork</b> <VoteThrghNtwk>	[0..1]			75
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		75
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	76
	<b>VoteByMail</b> <VoteByMail>	[0..1]			76

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Correspondence</b> <Crspdc>	[0..5]	±		76
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		77
	<b>ElectronicVote</b> <ElctrcVote>	[0..5]			77
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		77
	<b>VoteByTelephone</b> <VoteByTel>	[0..5]	Text		77
	<b>VotingBallotElectronicAddress</b> <VtngBlItElctrcAdr>	[0..1]	±		77
	<b>VotingBallotRequestAddress</b> <VtngBlItReqAdr>	[0..1]	±		78
	<b>RevocabilityDeadline</b> <RvcbltyDdln>	[0..1]	±		78
	<b>RevocabilityMarketDeadline</b> <RvcbltyMktDdln>	[0..1]	±		78
	<b>BeneficialOwnerDisclosure</b> <BnfclOwnrDsclsr>	[0..1]	Indicator		79
	<b>EarlyIncentivePremium</b> <EarlyIncntivPrm>	[0..1]	±		79
	<b>IncentivePremium</b> <IncntivPrm>	[0..1]	±		79
	<b>EarlyVoteWithPremiumDeadline</b> <EarlyVoteWthPrmDdln>	[0..1]	±		80
	<b>VoteWithPremiumDeadline</b> <VoteWthPrmDdln>	[0..1]	±		80
	<b>VoteWithPremiumMarketDeadline</b> <VoteWthPrmMktDdln>	[0..1]	±		80
	<b>AdditionalVotingRequirements</b> <AddtlVtngRqrmnts>	[0..1]	Text		81
	<b>PreviousInstructionInvalidityIndicator</b> <PrvsInstrInvldtyInd>	[0..1]	Indicator		81
	<b>PowerOfAttorneyRequirements</b> <PwrOfAttnyRqrmnts>	[0..1]		C17	81
	<b>LegalRequirement</b> <LglRqrmnt>	[0..4]	CodeSet		82
	<b>OtherDocumentation</b> <OthrDcmnttn>	[0..1]	Text		82
	<b>DocumentSubmissionDeadline</b> <DocSubmissnDdln>	[0..1]	±		82
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]			82
	<b>Disclaimer</b> <Dsclmr>	[0..*]			83
	<b>Language</b> <Lang>	[1..1]	CodeSet		83
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		83
	<b>ProcessingTextForNextIntermediary</b> <PrcgTxtForNxtIntrmy>	[0..*]	Text		83
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C22	83

### 3.3 Constraints

The tick symbol ( ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

**C1 AccountIdentificationOrBlockchainAddress1Rule**

(Rule)

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both. (CrossElementSimpleRule)

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**C2 AccountIdentificationOrBlockchainAddress2Rule**

(Rule)

If BlockchainAddressOrWallet is present then AccountIdentification must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**C3 AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**C4 ActiveOrHistoricCurrency**

(Rule)

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

**C5 AdditionalInformationRule**

(Rule)

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00424
- *Error Text:* Invalid message contents for VoteInstructionTypeCode. A proprietary code must be present.

**C6 AgentRole1Rule**

(Rule)

IssuerAgent/Role PRIN (PrincipalAgent) can only be present in a single occurrence of IssuerAgent.

*This constraint is defined at the MessageDefinition level.*

**C7 AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

**C8 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**C9 DateStatus1Rule**

(Rule)

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00085
- *Error Text:* Invalid message content for DateCode

**C10 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**C11 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

**C12 DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C13 EntitlementDate1Guideline**

(Rule)

If both Meeting/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

*This constraint is defined at the MessageDefinition level.*

**C14 HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**C15 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C16 ISINPresenceRule**

(Rule)



If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

#### **C17 OtherDocumentation1Guideline**

(Guideline)

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

#### **C18 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

#### **C19 PreviousNotificationIdentificationRule**

(Rule)

If NotificationGeneralInformation/NotificationType is REPL (Replacement), then NotificationUpdate/PreviousNotificationIdentification must be present. (CrossElementComplexRule)

On Condition

/NotificationGeneralInformation/NotificationType is equal to value  
'Replacement'

Following Must be True

/NotificationUpdate/PreviousNotificationIdentification Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00486
- *Error Text:* PreviousNotificationIdentification should be present.

*This constraint is defined at the MessageDefinition level.*

#### **C20 Resolution1Guideline**

(Rule)

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

*This constraint is defined at the MessageDefinition level.*

#### **C21 RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### **C22 SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### **C23 VoteAsPerIntermediary1Rule**

(Rule)

If Vote/VoteMethod/VoteThroughNetwork/VoteChannel code value is VOPI (VoteAsPerIntermediaryInformation), then at least one occurrence of AdditionalInformation/ProcessingTextForNextIntermediary must be present. (CrossElementComplexRule)

On Condition

    /Vote/VoteMethods/VoteThroughNetwork/VoteChannel is equal to value 'VoteAsPerIntermediaryInformation'

Following Must be True

    /AdditionalInformation/ProcessingTextForNextIntermediary[\*] Must be present

*Error handling:*

– *Error severity:* Fatal

– *Error Code:* X00596

– *Error Text:* Invalid message contents for AdditionalInformation/ProcessingTextForNextIntermediary. It must be present.

*This constraint is defined at the MessageDefinition level.*

**C24 VoteInstructionType1Guideline**

(Rule)

If all of the vote instructions types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

*This constraint is defined at the MessageDefinition level.*

**C25 VotingRightsTresholdForApproval1Rule**

(Rule)

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.

*Error handling:*

- Error severity: Fatal
- Error Code:
- Error Text:

## 3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 3.4.1 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

**Pagination <Pgntn>** contains the following elements (see [Pagination1 on page 332](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		333
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		333

### 3.4.2 NotificationGeneralInformation <NtfctnGnInf>

*Presence:* [1..1]

*Definition:* General information about the event notification type and status.

**NotificationGeneralInformation <NtfctnGnlInf>** contains the following **NotificationGeneralInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NotificationIdentification</b> <NtfctnId>	[0..1]	Text		36
	<b>NotificationType</b> <NtfctnTp>	[1..1]	CodeSet		36
	<b>NotificationStatus</b> <NtfctnSts>	[1..1]			36
	<b>EventCompletenessStatus</b> <EvtCmpltnsSts>	[1..1]	CodeSet		37
	<b>EventConfirmationStatus</b> <EvtConfSts>	[1..1]	CodeSet		37
	<b>ShareholderRightsDirectiveIndicator</b> <ShrhldrRghthsDrctvInd>	[0..1]	Indicator		37
	<b>ConfirmationOfHoldingRequired</b> <ConfOfHldgReqrd>	[0..1]	Indicator		37

### 3.4.2.1 NotificationIdentification <NtfctnId>

*Presence:* [0..1]

*Definition:* Unique identification of the group of meeting notification messages when the notification is split in multiple (paginated) messages.

*Datatype:* Max35Text on page 443

### 3.4.2.2 NotificationType <NtfctnTp>

*Presence:* [1..1]

*Definition:* Type of notification.

*Datatype:* NotificationType3Code on page 425

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

### 3.4.2.3 NotificationStatus <NtfctnSts>

*Presence:* [1..1]

*Definition:* Status of the details of the event.

**NotificationStatus <NtfctnSts>** contains the following **EventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EventCompletenessStatus</b> <EvtCmpltnsSts>	[1..1]	CodeSet		37
	<b>EventConfirmationStatus</b> <EvtConfSts>	[1..1]	CodeSet		37

### 3.4.2.3.1 EventCompletenessStatus <EvtCmpltnsSts>

*Presence:* [1..1]

*Definition:* Specifies whether the details provided about an event are complete or incomplete.

*Datatype:* [EventCompletenessStatus1Code](#) on page 421

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

### 3.4.2.3.2 EventConfirmationStatus <EvtConfSts>

*Presence:* [1..1]

*Definition:* Specifies the status of the occurrence of an event.

*Datatype:* [EventConfirmationStatus1Code](#) on page 421

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

### 3.4.2.4 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

*Presence:* [0..1]

*Definition:* Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.2.5 ConfirmationOfHoldingRequired <ConfOfHldgReqrd>

*Presence:* [0..1]

*Definition:* Indicates whether a specific confirmation of holding together with a participation and/or vote instruction is required by the issuer (for instance as required in the German Stock Corporation Act paragraph 67c section 3).

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 3.4.3 NotificationUpdate <NtfctnUpd>

*Presence:* [0..1]

*Definition:* Information specific to notification amendment as for instance the identification to a previous meeting notification.

**NotificationUpdate <NtfctnUpd>** contains the following **NotificationUpdate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreviousNotificationIdentification</b> <PrvsNtfctnId>	[1..1]	Text		38
	<b>ReconfirmInstructions</b> <RcnfrmlInstrs>	[0..1]	Indicator		38

### 3.4.3.1 PreviousNotificationIdentification <PrvsNtfctnId>

*Presence:* [1..1]

*Definition:* Identification of a previously sent meeting notification message.

*Datatype:* [Max35Text on page 443](#)

### 3.4.3.2 ReconfirmInstructions <RcnfrmlInstrs>

*Presence:* [0..1]

*Definition:* Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.

*Datatype:* One of the following values must be used (see [YesNoIndicator on page 440](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 3.4.4 EventsLinkage <EvtsLkg>

*Presence:* [0..\*]

*Definition:* Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

**EventsLinkage <EvtsLkg>** contains the following **MeetingEventReference1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EventIdentification</b> <EvtId>	[1..1]			38
{Or	<b>LinkedIssuerMeetingIdentification</b> <LkdIssrMtglD>	[1..1]	Text		39
Or}	<b>LinkedMeetingIdentification</b> <LkdMtglD>	[1..1]	Text		39
	<b>LinkageType</b> <LkgTp>	[0..1]	CodeSet		39

### 3.4.4.1 EventIdentification <EvtId>

*Presence:* [1..1]

*Definition:* Identification of the linked meeting event.

**EventIdentification <EvtId>** contains one of the following **MeetingEventReference1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LinkedIssuerMeetingIdentification &lt;LkdIssrMtgd&gt;</b>	[1..1]	Text		39
Or}	<b>LinkedMeetingIdentification &lt;LkdMtgd&gt;</b>	[1..1]	Text		39

### 3.4.4.1.1 LinkedIssuerMeetingIdentification <LkdIssrMtgd>

*Presence:* [1..1]

*Definition:* Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

*Datatype:* [Max35Text on page 443](#)

### 3.4.4.1.2 LinkedMeetingIdentification <LkdMtgd>

*Presence:* [1..1]

*Definition:* Reference assigned by the account servicer to unambiguously identify a related meeting event.

*Datatype:* [Max35Text on page 443](#)

### 3.4.4.2 LinkageType <LkgTp>

*Presence:* [0..1]

*Definition:* Specifies when the event is to be processed relative to the linked event.

*Datatype:* [ProcessingPosition3Code on page 427](#)

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/ instructions are linked for information purposes only.

## 3.4.5 Meeting <Mtg>

*Presence:* [1..1]

*Definition:* Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

**Meeting <Mtg>** contains the following **MeetingNotice8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		41
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		41
	<b>Type</b> <Tp>	[1..1]	CodeSet		41
	<b>Classification</b> <Clssfctn>	[0..1]	±		42
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	±		42
	<b>OneManOneVoteIndicator</b> <OneManOneVoteInd>	[0..1]	Indicator		42
	<b>Participation</b> <Prtcptn>	[0..*]			43
	<b>ParticipationMethod</b> <PrtcptnMtd>	[1..1]	±		43
	<b>IssuerDeadlineForVoting</b> <IssrDdlnForVtng>	[1..1]	±		43
	<b>SupportedByAccountServicer</b> <SpprtByAcctSvcr>	[0..1]	Indicator		43
	<b>ResponseDeadlineForVoting</b> <RspnDdlnForVtng>	[0..1]	±		44
	<b>Attendance</b> <Attndnc>	[0..1]			44
	<b>AdmissionConditions</b> <AdmssnConds>	[0..7]	±		44
	<b>ConfirmationInformation</b> <ConfInf>	[0..1]	Text		44
	<b>ConfirmationDeadline</b> <ConfDdln>	[0..1]	±		45
	<b>ConfirmationMarketDeadline</b> <ConfMktDdln>	[0..1]	±		45
	<b>AdditionalDocumentationURLAddress</b> <AddtlDcmnttnURLAdr>	[0..*]	Text		45
	<b>EventProcessingWebSiteAddress</b> <EvtPrcgWebSiteAdr>	[0..1]	Text		45
	<b>AdditionalProcedureDetails</b> <AddtlPrcdrDtls>	[0..5]			45
	<b>AdditionalRight</b> <AddtlRght>	[1..1]	±		46
	<b>AdditionalRightInformationURLAddress</b> <AddtlRghtInfURLAdr>	[0..1]	Text		46
	<b>AdditionalRightDeadline</b> <AddtlRghtDdln>	[0..1]	±		46
	<b>AdditionalRightMarketDeadline</b> <AddtlRghtMktDdln>	[0..1]	±		46
	<b>AdditionalRightThreshold</b> <AddtlRghtThrshld>	[0..1]	±		47
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtlNbOfSctiesOutsdng>	[0..1]	±		47
	<b>TotalNumberOfVotingRights</b> <TtlNbOfVtngRghts>	[0..1]	Quantity		47
	<b>ProxyAppointmentNotificationAddress</b> <PrxyAppntmntNtfctnAdr>	[0..1]	±		47
	<b>ProxyChoice</b> <PrxyChc>	[0..1]			48
{Or	<b>Proxy</b> <Prxy>	[1..1]			48



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		49
	<b>Deadline</b> <Ddln>	[0..1]	±		49
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49
Or}	<b>ProxyNotAllowed</b> <PrxyNotAllwd>	[1..1]	CodeSet		50
	<b>ContactPersonDetails</b> <CtctPrsnDtls>	[0..12]			50
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		50
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		50
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	IdentifierSet		51
	<b>ResultPublicationDate</b> <RsltPblctnDt>	[0..1]	±		51
	<b>SecuritiesBlockingPeriodEndDate</b> <SctiesBlckgPrdEndDt>	[0..1]	±		51
	<b>EntitlementFixingDate</b> <EntitlmtntFxxDt>	[0..1]	±		51
	<b>RegistrationSecuritiesDeadline</b> <RegnSctiesDdln>	[0..1]	±		52
	<b>RegistrationSecuritiesMarketDeadline</b> <RegnSctiesMktDdln>	[0..1]	±		52

### 3.4.5.1 MeetingIdentification <Mtgld>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

*Datatype:* [Max35Text](#) on page 443

### 3.4.5.2 IssuerMeetingIdentification <IssrMtgld>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* [Max35Text](#) on page 443

### 3.4.5.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of security holders meeting.

*Datatype:* [MeetingType4Code](#) on page 423

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example,

CodeName	Name	Definition
		approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

### 3.4.5.4 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

### 3.4.5.5 AnnouncementDate <AnncmntDt>

*Presence:* [0..1]

*Definition:* Official meeting announcement date.

**AnnouncementDate <AnncmntDt>** contains one of the following elements (see [DateAndDateTime2Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		297
Or}	DateTime <DtTm>	[1..1]	DateTime		297

### 3.4.5.6 OneManOneVoteIndicator <OneManOneVoteInd>

*Presence:* [0..1]

*Definition:* Indicates whether the meeting vote is held under the "one-man-one-vote" principle, also known as "per capita vote" whereby the shareholder attending the meeting has one vote only, regardless of the holding positions.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.5.7 Participation <Prtcptn>

*Presence:* [0..\*]

*Definition:* Method of voting participation to the general meeting and related voting deadline per method of participation.

**Participation <Prtcptn>** contains the following **ParticipationMethod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ParticipationMethod</b> <PrtcptnMtd>	[1..1]	±		43
	<b>IssuerDeadlineForVoting</b> <IssrDdlnForVtng>	[1..1]	±		43
	<b>SupportedByAccountServicer</b> <SpprtdByAcctSvcr>	[0..1]	Indicator		43
	<b>ResponseDeadlineForVoting</b> <RspnDdlnForVtng>	[0..1]	±		44

#### 3.4.5.7.1 ParticipationMethod <PrtcptnMtd>

*Presence:* [1..1]

*Definition:* Method of voting participation to the general meeting.

**ParticipationMethod <PrtcptnMtd>** contains one of the following elements (see [ParticipationMethod3Choice](#) on page 316 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		316
Or}	Proprietary <Prtry>	[1..1]	±		317

#### 3.4.5.7.2 IssuerDeadlineForVoting <IssrDdlnForVtng>

*Presence:* [1..1]

*Definition:* Deadline for voting on agenda resolutions at the general meeting.

**IssuerDeadlineForVoting <IssrDdlnForVtng>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

#### 3.4.5.7.3 SupportedByAccountServicer <SpprtdByAcctSvcr>

*Presence:* [0..1]

*Definition:* Indicates whether the specific participation method proposed by the issuer is supported by the account servicer.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.5.7.4 ResponseDeadlineForVoting <RspnDdlnForVtng>

*Presence:* [0..1]

*Definition:* Account servicer deadline for voting on agenda resolutions at the general meeting.

**ResponseDeadlineForVoting <RspnDdlnForVtng>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.8 Attendance <Attdnc>

*Presence:* [0..1]

*Definition:* Information and conditions for physical attendance at the general meeting.

**Attendance <Attdnc>** contains the following **Attendance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdmissionConditions</b> <AdmssnConds>	[0..7]	±		44
	<b>ConfirmationInformation</b> <ConfInf>	[0..1]	Text		44
	<b>ConfirmationDeadline</b> <ConfDdln>	[0..1]	±		45
	<b>ConfirmationMarketDeadline</b> <ConfMktDdln>	[0..1]	±		45

#### 3.4.5.8.1 AdmissionConditions <AdmssnConds>

*Presence:* [0..7]

*Definition:* Conditions for physical admittance to the general meeting.

**AdmissionConditions <AdmssnConds>** contains the following elements (see [AttendanceAdmissionConditions2](#) on page 326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		326
	AdditionalInformation <AddtlInf>	[0..1]	Text		327

#### 3.4.5.8.2 ConfirmationInformation <ConfInf>

*Presence:* [0..1]

*Definition:* Specifies how to order the attendance card or to give notice of attendance.

*Datatype:* [Max350Text](#) on page 443

### 3.4.5.8.3 ConfirmationDeadline <ConfDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

**ConfirmationDeadline <ConfDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.8.4 ConfirmationMarketDeadline <ConfMktDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.

**ConfirmationMarketDeadline <ConfMktDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.9 AdditionalDocumentationURLAddress <AddtIDcmnttnURLAdr>

*Presence:* [0..\*]

*Definition:* Address to use over the www (HTTP) service where additional information on the meeting may be found.

*Datatype:* [Max2048Text](#) on page 443

### 3.4.5.10 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>

*Presence:* [0..1]

*Definition:* Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

*Datatype:* [Max2048Text](#) on page 443

### 3.4.5.11 AdditionalProcedureDetails <AddtlPrcdrDtIs>

*Presence:* [0..5]

*Definition:* Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

**AdditionalProcedureDetails <AddtlPrcdrDtls>** contains the following **AdditionalRights3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalRight</b> <AddtlRght>	[1..1]	±		46
	<b>AdditionalRightInformationURLAddress</b> <AddtlRghtInfURLAdr>	[0..1]	Text		46
	<b>AdditionalRightDeadline</b> <AddtlRghtDdln>	[0..1]	±		46
	<b>AdditionalRightMarketDeadline</b> <AddtlRghtMktDdln>	[0..1]	±		46
	<b>AdditionalRightThreshold</b> <AddtlRghtThrshld>	[0..1]	±		47

### 3.4.5.11.1 AdditionalRight <AddtlRght>

*Presence:* [1..1]

*Definition:* Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

**AdditionalRight <AddtlRght>** contains one of the following elements (see [AdditionalRightCode1Choice](#) on page 312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		312
Or}	Proprietary <Prtry>	[1..1]	±		312

### 3.4.5.11.2 AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>

*Presence:* [0..1]

*Definition:* Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

*Datatype:* [Max2048Text](#) on page 443

### 3.4.5.11.3 AdditionalRightDeadline <AddtlRghtDdln>

*Presence:* [0..1]

*Definition:* Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

**AdditionalRightDeadline <AddtlRghtDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.11.4 AdditionalRightMarketDeadline <AddtlRghtMktDdln>

*Presence:* [0..1]

*Definition:* Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.

**AdditionalRightMarketDeadline <AddtlRghtMktDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.11.5 AdditionalRightThreshold <AddtlRghtThrshld>

*Presence:* [0..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

**AdditionalRightThreshold <AddtlRghtThrshld>** contains one of the following elements (see [AdditionalRightThreshold1Choice](#) on page 322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		322
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		322

### 3.4.5.12 TotalNumberOfSecuritiesOutstanding <TtINbOfSctiesOutsdng>

*Presence:* [0..1]

*Definition:* Number of securities admitted to the vote, expressed as an amount and a currency.

**TotalNumberOfSecuritiesOutstanding <TtINbOfSctiesOutsdng>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

### 3.4.5.13 TotalNumberOfVotingRights <TtINbOfVtngRghts>

*Presence:* [0..1]

*Definition:* Number of rights admitted to the vote.

*Datatype:* [Number](#) on page 441

### 3.4.5.14 ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>

*Presence:* [0..1]

*Definition:* Address where the information on the proxy should be sent.

**ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

### 3.4.5.15 ProxyChoice <PrxyChc>

*Presence:* [0..1]

*Definition:* Specifies the proxy or whether a proxy is not allowed.

**ProxyChoice <PrxyChc>** contains one of the following **Proxy5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Proxy</b> <Prxy>	[1..1]			48
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		49
	<b>Deadline</b> <Ddln>	[0..1]	±		49
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49
Or}	<b>ProxyNotAllowed</b> <PrxyNotAllwd>	[1..1]	CodeSet		50

#### 3.4.5.15.1 Proxy <Prxy>

*Presence:* [1..1]

*Definition:* Proxy assignment and registration information.

**Proxy <Prxy>** contains the following **ProxyAppointmentInformation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationMethod</b> <RegnMtd>	[0..1]	Text		49
	<b>Deadline</b> <Ddln>	[0..1]	±		49
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		49
	<b>AuthorisedProxy</b> <AuthrsdPrxy>	[0..10]	±		49



### 3.4.5.15.1.1 RegistrationMethod <RegnMtd>

*Presence:* [0..1]

*Definition:* Specifies how to register the proxy.

*Datatype:* [Max350Text](#) on page 443

### 3.4.5.15.1.2 Deadline <Ddln>

*Presence:* [0..1]

*Definition:* Date by which the information on the proxy assignment must be received by the intermediary.

**Deadline <Ddln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.15.1.3 MarketDeadline <MktDdln>

*Presence:* [0..1]

*Definition:* Date by which the information on the proxy assignment must be received by the issuer.

**MarketDeadline <MktDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.15.1.4 AuthorisedProxy <AuthrsdPrxy>

*Presence:* [0..10]

*Definition:* Proxy person that is authorised by the issuer.

**AuthorisedProxy <AuthrsdPrxy>** contains the following elements (see [Proxy11](#) on page 350 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		350
	PersonDetails <PrsnDtls>	[0..1]			351
	PreassignedProxy <PrssgndPrxy>	[0..1]			351
{Or	LegalPerson <LglPrsn>	[1..1]	±		351
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		352
	EmployingParty <EmplngPty>	[0..1]	±		353

### 3.4.5.15.2 ProxyNotAllowed <PrxyNotAllwd>

*Presence:* [1..1]

*Definition:* Indicates that no proxy is allowed for a meeting.

*Datatype:* [ProxyNotAllowed1Code](#) on page 428

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

### 3.4.5.16 ContactPersonDetails <CtctPrsnDtls>

*Presence:* [0..12]

*Definition:* Contact person at the party organising the meeting, at the issuer or at an intermediary.

**ContactPersonDetails <CtctPrsnDtls>** contains the following **MeetingContactPerson3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		50
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		50
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	IdentifierSet		51

#### 3.4.5.16.1 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Identification of the contact person by its name, given name and address.

**ContactPerson <CtctPrsn>** contains the following elements (see [ContactIdentification1](#) on page 377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		377
	NamePrefix <NmPrfx>	[0..1]	CodeSet		377
	GivenName <GvnNm>	[0..1]	Text		378
	Role <Role>	[0..1]	Text		378
	PhoneNumber <PhneNb>	[0..1]	Text		378
	FaxNumber <FaxNb>	[0..1]	Text		378
	EmailAddress <EmailAdr>	[0..1]	Text		378

#### 3.4.5.16.2 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Identification of the organisation which is represented by the person or for which the person works.

**EmployingParty <EmplngPty>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

### 3.4.5.16.3 PlaceOfListing <PlcOfListg>

*Presence:* [0..1]

*Definition:* Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

*Datatype:* [MICIdentifier](#) on page 440

### 3.4.5.17 ResultPublicationDate <RsltPblctnDt>

*Presence:* [0..1]

*Definition:* Date on which the company publishes the results of its meeting.

**ResultPublicationDate <RsltPblctnDt>** contains one of the following elements (see [DateFormat3Choice](#) on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		293
Or}	DateCode <DtCd>	[1..1]	CodeSet		293

### 3.4.5.18 SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>

*Presence:* [0..1]

*Definition:* Date by which the blocking period for the securities should end.

**SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>** contains one of the following elements (see [DateFormat60Choice](#) on page 294 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		294
Or}	DateCode <DtCd>	[1..1]			294
{Or	Code <Cd>	[1..1]	CodeSet		294
Or}	Proprietary <Prtry>	[1..1]	±		295

### 3.4.5.19 EntitlementFixingDate <EntitlmntFvgDt>

*Presence:* [0..1]

*Definition:* Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

**EntitlementFixingDate** <EntitlmtFxdt> contains the following elements (see [DateFormat1](#) on page 295 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		295
	DateMode <DtMd>	[0..1]	CodeSet		296

### 3.4.5.20 RegistrationSecuritiesDeadline <RegnSctiesDdln>

*Presence:* [0..1]

*Definition:* Date by which the securities have to be registered. This deadline is specified by an intermediary.

**RegistrationSecuritiesDeadline** <RegnSctiesDdln> contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.5.21 RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>

*Presence:* [0..1]

*Definition:* Date by which the securities have to be registered. This deadline is set by the issuer.

**RegistrationSecuritiesMarketDeadline** <RegnSctiesMktDdln> contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

## 3.4.6 MeetingDetails <MtgDtls>

*Presence:* [1..5]

*Definition:* Dates and details of the shareholders meeting.

*Impacted by:* C9 DateStatus1Rule

**MeetingDetails <MtgDtIs>** contains the following **Meeting6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DateAndTime</b> <DtAndTm>	[1..1]	±		53
	<b>DateStatus</b> <DtSts>	[0..1]	CodeSet		53
	<b>QuorumRequired</b> <QrmReqr>	[0..1]	Indicator		54
	<b>Location</b> <Lctn>	[1..5]	±		54
	<b>QuorumQuantity</b> <QrmQty>	[0..1]	±		54
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		54

**Constraints**

- **DateStatus1Rule**

(Rule)

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

On Condition

/DateAndTime/DateCode is equal to value 'Unknown'

Following Must be True

/DateStatus Must be absent

*Error handling:*

- Error severity: Fatal
- Error Code: X00085
- Error Text: Invalid message content for DateCode

**3.4.6.1 DateAndTime <DtAndTm>**

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

**DateAndTime <DtAndTm>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

**3.4.6.2 DateStatus <DtSts>**

*Presence:* [0..1]

*Definition:* Status of the meeting date.

*Datatype:* MeetingDateStatus2Code on page 423

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.

CodeName	Name	Definition
TNTA	Tentative	Meeting date is tentative.

### 3.4.6.3 QuorumRequired <QrmReqrd>

*Presence:* [0..1]

*Definition:* Indicates whether a minimum number of security representation is required to hold a meeting.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.6.4 Location <Lctn>

*Presence:* [1..5]

*Definition:* Location at which the meeting will take place.

**Location <Lctn>** contains one of the following elements (see [LocationFormat1Choice](#) on page 325 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		325
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		326

### 3.4.6.5 QuorumQuantity <QrmQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of securities required to hold a meeting.

**QuorumQuantity <QrmQty>** contains one of the following elements (see [QuorumQuantity1Choice](#) on page 316 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		316
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		316

### 3.4.6.6 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

*Datatype:* [Max2048Text](#) on page 443

## 3.4.7 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains the following elements (see [IssuerInformation3](#) on page 331 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		331
	URLAddress <URLAdr>	[0..1]	Text		331

### 3.4.8 IssuerAgent <IssrAgt>

*Presence:* [0..10]

*Definition:* Agent of the issuer.

**IssuerAgent <IssrAgt>** contains the following elements (see [IssuerAgent3](#) on page 364 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		364
	Role <Role>	[0..1]	CodeSet		365

### 3.4.9 Security <Scty>

*Presence:* [1..200]

*Definition:* Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

**Security <Scty>** contains the following **SecurityPosition16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C10, C11, C15, C16, C18	56
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C14, C21	58
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		60
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		60
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			61
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		61
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		61
	<b>HoldingBalance</b> <HldgBal>	[0..15]			62
	<b>Balance</b> <Bal>	[1..1]	±		62
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		63
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		64
	<b>Date</b> <Dt>	[0..1]	Date		64
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			64
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		65
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		65

### 3.4.9.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* C10 DescriptionPresenceRule, C11 DescriptionUsageRule, C15 ISINGuideline,  
C16 ISINPresenceRule, C18 OtherIdentificationPresenceRule



**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19 on page 306](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrlId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

### Constraints

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

    /Description is absent

Following Must be True

    /ISIN Must be present

    Or     /OtherIdentification[\*] Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition

    /ISIN is absent

Following Must be True

    /OtherIdentification[\*] Must be present

    Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

• **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

    /OtherIdentification[\*] is absent

Following Must be True

    /ISIN Must be present

Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

### 3.4.9.2 Position <Pos>

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 AccountIdentificationOrBlockChainAddress1Rule, C2 AccountIdentificationOrBlockChainAddress2Rule, C3 AccountIdentificationOrBlockChainAddress3Rule, C14 HoldingBalanceTypeRule, C21 RightsHolderMultiplicity1Guideline

**Position <Pos>** contains the following **EligiblePosition13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		60
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWllet>	[0..1]	Text		60
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			61
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		61
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		61
	<b>HoldingBalance</b> <HldgBal>	[0..15]			62
	<b>Balance</b> <Bal>	[1..1]	±		62
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		63
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		64
	<b>Date</b> <Dt>	[0..1]	Date		64
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			64
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		65
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		65

**Constraints**

• **AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

    /AccountIdentification Must be present

Or     /BlockChainAddressOrWallet Must be present

*Error handling:*

– *Error severity:* Warning

– *Error Code:* X00551

– *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

• **AccountIdentificationOrBlockChainAddress2Rule**

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

    /BlockChainAddressOrWallet is present

Following Must be True

    /AccountIdentification Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockchainAddressOrWallet Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

- **RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

### 3.4.9.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* Max35Text on page 443

### 3.4.9.2.2 BlockchainAddressOrWallet <BlockchainAdrOrWllt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* Max140Text on page 442

### 3.4.9.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		61
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		61

#### 3.4.9.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 3.4.9.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 3.4.9.2.4 HoldingBalance <HldgBal>

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]	±		62
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		63
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		64
	<b>Date</b> <Dt>	[0..1]	Date		64

##### 3.4.9.2.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following elements (see [SignedQuantityFormat14](#) on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		394
	Quantity <Qty>	[1..1]		C9	394
{Or	Unit <Unit>	[1..1]	Quantity		395
Or	FaceAmount <FaceAmt>	[1..1]	Amount		395
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		395

#### 3.4.9.2.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* [SecuritiesEntryType2Code](#) on page 432

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CodeName	Name	Definition
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

### 3.4.9.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see [SafekeepingPlaceFormat28Choice](#) on page 397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			397
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398
Or	Country <Ctry>	[1..1]	CodeSet	C3	398
Or	TypeAndIdentification <TpAndId>	[1..1]			398
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399
Or}	Proprietary <Prtry>	[1..1]	±		399

### 3.4.9.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* [ISODate](#) on page 438

### 3.4.9.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.



**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		65
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		65

### 3.4.9.2.5.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

### 3.4.9.2.5.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

### 3.4.10 Resolution <Rsltn>

*Presence:* [0..1000]

*Definition:* Detailed information of a resolution proposed to the vote.

*Impacted by:* [C25 VotingRightsTresholdForApproval1Rule](#)

**Resolution <Rsltn>** contains the following **Resolution6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		67
	<b>Description</b> <Desc>	[0..*]			68
	<b>Language</b> <Lang>	[1..1]	CodeSet		68
	<b>Title</b> <Titl>	[0..1]	Text		68
	<b>Description</b> <Desc>	[0..1]	Text		68
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsltnLbl>	[0..1]	Text		68
	<b>Type</b> <Tp>	[0..1]	CodeSet		68
	<b>ForInformationOnly</b> <ForInfOnly>	[1..1]	Indicator		69
	<b>VoteType</b> <VoteTp>	[0..1]	CodeSet		69
	<b>Status</b> <Sts>	[1..1]	CodeSet		69
	<b>SubmittedBySecurityHolder</b> <SubmittdBySctyHldr>	[0..1]	Indicator		69
	<b>RightToWithdrawIndicator</b> <RghtToWdrwInd>	[0..1]	Indicator		70
	<b>VoteInstructionType</b> <VoteInstrTp>	[0..*]	±	C5	70
	<b>ManagementRecommendation</b> <MgmtRcmmndtn>	[0..1]	CodeSet		70
	<b>NotifyingPartyRecommendation</b> <NtifngPtyRcmmndtn>	[0..1]	CodeSet		71
	<b>Entitlement</b> <Entitlmnt>	[0..1]	±		72
	<b>VotingRightsThresholdForApproval</b> <VtngRghsThrshldForApprvl>	[0..*]	±		72
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		72

### Constraints

- **VotingRightsTresholdForApproval1Rule**

(Rule)

If VotingRightThresholdForApproval is present more than once, then ThresholdBasis is mandatory in each occurrence of VotingRightThresholdForApproval and the value of the ThresholdBasis/Code element must be different in each occurrence.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

### 3.4.10.1 IssuerLabel <IssrLbl>

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* [Max35Text on page 443](#)

### 3.4.10.2 Description <Desc>

*Presence:* [0..\*]

*Definition:* Language, title and textual description of an agenda resolution.

**Description <Desc>** contains the following **ItemDescription1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		68
	<b>Title</b> <Titl>	[0..1]	Text		68
	<b>Description</b> <Desc>	[0..1]	Text		68

#### 3.4.10.2.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

*Datatype:* [ISO2ALanguageCode on page 422](#)

#### 3.4.10.2.2 Title <Titl>

*Presence:* [0..1]

*Definition:* Abbreviated description of the item.

*Datatype:* [Max350Text on page 443](#)

#### 3.4.10.2.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Free textual description of the item in the specified language.

*Datatype:* [Max1025Text on page 442](#)

### 3.4.10.3 ListingGroupResolutionLabel <ListgGrpRslnLabl>

*Presence:* [0..1]

*Definition:* Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

*Datatype:* [Max35Text on page 443](#)

### 3.4.10.4 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of resolution.

*Datatype:* [ResolutionType2Code on page 431](#)

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

### 3.4.10.5 ForInformationOnly <ForInfOnly>

*Presence:* [1..1]

*Definition:* Indicates whether the resolution is listed for information or for voting.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.10.6 VoteType <VoteTp>

*Presence:* [0..1]

*Definition:* Impact of vote results on an agenda resolution.

*Datatype:* [VoteType1Code](#) on page 437

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

### 3.4.10.7 Status <Sts>

*Presence:* [1..1]

*Definition:* Specifies whether the resolution is active or withdrawn.

*Datatype:* [ResolutionStatus1Code](#) on page 430

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

### 3.4.10.8 SubmittedBySecurityHolder <SubmittdBySctyHldr>

*Presence:* [0..1]

*Definition:* Indicates whether the resolution has been submitted by the security holder.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.10.9 RightToWithdrawIndicator <RghtToWdrwInd>

*Presence:* [0..1]

*Definition:* Indicates whether a client who has not voted in favour of the resolutions which is finally approved, has the right to withdraw from a related corporate action event.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.10.10 VoteInstructionType <VoteInstrTp>

*Presence:* [0..\*]

*Definition:* Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

*Impacted by:* [C5 AdditionalInformationRule](#)

**VoteInstructionType <VoteInstrTp>** contains the following elements (see [VoteInstructionType1](#) on page 321 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		321
	AdditionalInformation <AddtlInf>	[0..1]	Text		322

#### Constraints

- **AdditionalInformationRule**

(Rule)

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

`/AdditionalInformation is present`

Following Must be True

`/VoteInstructionTypeCode/Proprietary/Identification Must be present`

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00424
- *Error Text:* Invalid message contents for VoteInstructionTypeCode. A proprietary code must be present.

### 3.4.10.11 ManagementRecommendation <MgmtRcmmdtn>

*Presence:* [0..1]

*Definition:* Specifies how the management of the issuing company wishes the security holders to vote.

*Datatype:* [VoteInstruction5Code](#) on page 435

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

### 3.4.10.12 NotifyingPartyRecommendation <NtifngPtyRcmmndtn>

*Presence:* [0..1]

*Definition:* Specifies how the notifying party recommends that the security holders vote.

*Datatype:* [VoteInstruction5Code](#) on page 435

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.

CodeName	Name	Definition
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

### 3.4.10.13 Entitlement <Entitlmnt>

*Presence:* [0..1]

*Definition:* Number of votes assigned per resolution to one security.

**Entitlement <Entitlmnt>** contains one of the following elements (see [Entitlement1Choice](#) on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		321
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		321

### 3.4.10.14 VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>

*Presence:* [0..\*]

*Definition:* Voting rights threshold and threshold basis to have a meeting resolution approved.

**VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>** contains the following elements (see [VotingRightsThreshold1](#) on page 314 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Threshold <Thrshld>	[1..1]	±		314
	ThresholdBasis <ThrshldBsis>	[0..1]			315
{Or	Code <Cd>	[1..1]	CodeSet		315
Or}	Proprietary <Prtry>	[1..1]	±		315

### 3.4.10.15 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.

*Datatype:* [Max2048Text](#) on page 443

## 3.4.11 Vote <Vote>

*Presence:* [0..1]

*Definition:* Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.



**Vote** <Vote> contains the following **VoteParameters8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantityRequiredToVote</b> <SctiesQtyReqrdToVote>	[0..1]	±		73
	<b>PartialVoteAllowed</b> <PrtlVoteAllwd>	[1..1]	Indicator		74
	<b>SplitVoteAllowed</b> <SpltVoteAllwd>	[1..1]	Indicator		74
	<b>VoteDeadline</b> <VoteDdln>	[0..1]	±		74
	<b>VoteMarketDeadline</b> <VoteMktDdln>	[0..1]	±		74
	<b>VoteMethods</b> <VoteMthds>	[0..1]			75
	<b>VoteThroughNetwork</b> <VoteThrhgNtwk>	[0..1]			75
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		75
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	76
	<b>VoteByMail</b> <VoteByMail>	[0..1]			76
	<b>Correspondence</b> <Crspdc>	[0..5]	±		76
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		77
	<b>ElectronicVote</b> <ElctrncVote>	[0..5]			77
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		77
	<b>VoteByTelephone</b> <VoteByTel>	[0..5]	Text		77
	<b>VotingBallotElectronicAddress</b> <VtngBlItElctrncAdr>	[0..1]	±		77
	<b>VotingBallotRequestAddress</b> <VtngBlItReqAdr>	[0..1]	±		78
	<b>RevocabilityDeadline</b> <RvcbltyDdln>	[0..1]	±		78
	<b>RevocabilityMarketDeadline</b> <RvcbltyMktDdln>	[0..1]	±		78
	<b>BeneficialOwnerDisclosure</b> <BnfclOwnrDsclsr>	[0..1]	Indicator		79
	<b>EarlyIncentivePremium</b> <EarlyInctivPrm>	[0..1]	±		79
	<b>IncentivePremium</b> <InctivPrm>	[0..1]	±		79
	<b>EarlyVoteWithPremiumDeadline</b> <EarlyVoteWthPrmDdln>	[0..1]	±		80
	<b>VoteWithPremiumDeadline</b> <VoteWthPrmDdln>	[0..1]	±		80
	<b>VoteWithPremiumMarketDeadline</b> <VoteWthPrmMktDdln>	[0..1]	±		80
	<b>AdditionalVotingRequirements</b> <AddtlVtngRqrmnts>	[0..1]	Text		81
	<b>PreviousInstructionInvalidityIndicator</b> <PrvsInstrlInvldtyInd>	[0..1]	Indicator		81

### 3.4.11.1 SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>

Presence: [0..1]

*Definition:* Number of holdings required for a vote.

**SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

### 3.4.11.2 PartialVoteAllowed <PrtlVoteAllwd>

*Presence:* [1..1]

*Definition:* Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.11.3 SplitVoteAllowed <SpltVoteAllwd>

*Presence:* [1..1]

*Definition:* Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.11.4 VoteDeadline <VoteDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary.

**VoteDeadline <VoteDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.11.5 VoteMarketDeadline <VoteMktDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the issuer.

**VoteMarketDeadline** <VoteMktDdln> contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.11.6 VoteMethods <VoteMthds>

*Presence:* [0..1]

*Definition:* Specifies the different methods that can be used to vote.

**VoteMethods** <VoteMthds> contains the following **VoteMethods5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>VoteThroughNetwork</b> <VoteThrhNtwk>	[0..1]			75
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		75
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	76
	<b>VoteByMail</b> <VoteByMail>	[0..1]			76
	<b>Correspondence</b> <Crspdc>	[0..5]	±		76
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		77
	<b>ElectronicVote</b> <ElctrcVote>	[0..5]			77
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		77
	<b>VoteByTelephone</b> <VoteByTel>	[0..5]	Text		77

#### 3.4.11.6.1 VoteThroughNetwork <VoteThrhNtwk>

*Presence:* [0..1]

*Definition:* Network address through which a voting party can cast its vote via a structured message.

**VoteThroughNetwork** <VoteThrhNtwk> contains one of the following **VoteThroughNetwork1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VoteChannel</b> <VoteChanl>	[1..1]	CodeSet		75
Or}	<b>VoteDirectlyToIssuer</b> <VoteDrctlyToIssr>	[1..5]	IdentifierSet	C7	76

##### 3.4.11.6.1.1 VoteChannel <VoteChanl>

*Presence:* [1..1]

*Definition:* Voting is performed via message sent through an alternate network channel, that is, either via the chain of intermediaries or via the channel as described in the processing text for next intermediary information field.

*Datatype:* [VoteChannel1Code](#) on page 435

CodeName	Name	Definition
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.

### 3.4.11.6.1.2 VoteDirectlyToIssuer <VoteDrctlyTolssr>

*Presence:* [1..5]

*Definition:* Voting is performed by sending a vote message directly to the issuer.

*Impacted by:* C7 AnyBIC

*Datatype:* AnyBICDec2014Identifier on page 438

#### Constraints

- **AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

### 3.4.11.6.2 VoteByMail <VoteByMail>

*Presence:* [0..1]

*Definition:* Address where the voting ballot can be sent.

**VoteByMail <VoteByMail>** contains the following **MailAddress1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Correspondence</b> <Crspdc>	[0..5]	±		76
	<b>EmailAddress</b> <EmailAdr>	[0..5]	Text		77

#### 3.4.11.6.2.1 Correspondence <Crspdc>

*Presence:* [0..5]

*Definition:* Physical mail address for correspondance.

**Correspondence <Crspdc>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

#### 3.4.11.6.2.2 EmailAddress <EmailAdr>

*Presence:* [0..5]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max256Text](#) on page 443

#### 3.4.11.6.3 ElectronicVote <ElctrncVote>

*Presence:* [0..5]

*Definition:* Electronic address, e-mail or web site, where a security holder can vote.

**ElectronicVote <ElctrncVote>** contains the following **CommunicationAddress12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	URLAddress <URLAdr>	[0..1]	Text		77

#### 3.4.11.6.3.1 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

*Datatype:* [Max2048Text](#) on page 443

#### 3.4.11.6.4 VoteByTelephone <VoteByTel>

*Presence:* [0..5]

*Definition:* Telephone number providing access to an automated voting system.

*Datatype:* [Max35Text](#) on page 443

#### 3.4.11.7 VotingBallotElectronicAddress <VtngBlltElctrncAdr>

*Presence:* [0..1]

*Definition:* Electronic location, e-mail or URL address, where the voting ballot can be requested.

**VotingBallotElectronicAddress <VtngBlItElctrncAdr>** contains the following elements (see [CommunicationAddress11 on page 328](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		328
	URLAddress <URLAdr>	[0..1]	Text		328

### 3.4.11.8 VotingBallotRequestAddress <VtngBlItReqAdr>

*Presence:* [0..1]

*Definition:* Postal address where the voting ballot can be requested.

**VotingBallotRequestAddress <VtngBlItReqAdr>** contains the following elements (see [PostalAddress1 on page 389](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

### 3.4.11.9 RevocabilityDeadline <RvcbltyDdln>

*Presence:* [0..1]

*Definition:* Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

**RevocabilityDeadline <RvcbltyDdln>** contains one of the following elements (see [DateFormat58Choice on page 296](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.11.10 RevocabilityMarketDeadline <RvcbltyMktDdln>

*Presence:* [0..1]

*Definition:* Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

**RevocabilityMarketDeadline <RvcbltyMktDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.11.11 BeneficialOwnerDisclosure <BnfcIOwnrDsclsr>

*Presence:* [0..1]

*Definition:* Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.11.12 EarlyIncentivePremium <EarlyIncntivPrm>

*Presence:* [0..1]

*Definition:* Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

**EarlyIncentivePremium <EarlyIncntivPrm>** contains the following elements (see [IncentivePremium5](#) on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		323
	Amount <Amt>	[1..1]	±		324
	Type <Tp>	[1..1]			324
{Or	PerSecurity <PerScty>	[1..1]	Quantity		324
Or	PerVote <PerVote>	[1..*]			324
	VoteInstructionType <VoteInstrTp>	[1..1]	±		324
	VoteQuantity <VoteQty>	[1..1]	Quantity		325
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		325
	PaymentDate <PmtDt>	[0..1]	±		325

### 3.4.11.13 IncentivePremium <IncntivPrm>

*Presence:* [0..1]

*Definition:* Cash premium paid to the security holder when voting.

**IncentivePremium <IncntivPrm>** contains the following elements (see [IncentivePremium5](#) on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		323
	Amount <Amt>	[1..1]	±		324
	Type <Tp>	[1..1]			324
{Or	PerSecurity <PerScty>	[1..1]	Quantity		324
Or	PerVote <PerVote>	[1..*]			324
	VoteInstructionType <VoteInstrTp>	[1..1]	±		324
	VoteQuantity <VoteQty>	[1..1]	Quantity		325
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		325
	PaymentDate <PmtDt>	[0..1]	±		325

#### 3.4.11.14 EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

**EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

#### 3.4.11.15 VoteWithPremiumDeadline <VoteWthPrmDdln>

*Presence:* [0..1]

*Definition:* Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

**VoteWithPremiumDeadline <VoteWthPrmDdln>** contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

#### 3.4.11.16 VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>

*Presence:* [0..1]



*Definition:* Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

**VoteWithPremiumMarketDeadline** <VoteWthPrmMktDdlIn> contains one of the following elements (see [DateFormat58Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

### 3.4.11.17 AdditionalVotingRequirements <AddtlVtngRqrmnts>

*Presence:* [0..1]

*Definition:* Additional information on specific requirements for allowing a person to vote.

*Datatype:* [Max350Text](#) on page 443

### 3.4.11.18 PreviousInstructionInvalidityIndicator <PrvsInstrInvlDtyInd>

*Presence:* [0..1]

*Definition:* Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 3.4.12 PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>

*Presence:* [0..1]

*Definition:* Information about the use of power of attorney.

*Impacted by:* [C17 OtherDocumentation1Guideline](#)

**PowerOfAttorneyRequirements** <PwrOfAttnyRqrmnts> contains the following **PowerOfAttorneyRequirements4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>LegalRequirement</b> <LglRqrmnt>	[0..4]	CodeSet		82
	<b>OtherDocumentation</b> <OthrDcmnttn>	[0..1]	Text		82
	<b>DocumentSubmissionDeadline</b> <DocSubmissnDdlIn>	[0..1]	±		82

#### Constraints

- **OtherDocumentation1Guideline**  
(Guideline)

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

### 3.4.12.1 LegalRequirement <LglRqrmnt>

*Presence:* [0..4]

*Definition:* Authority that must validate the power of attorney.

*Datatype:* [PowerOfAttorneyLegalisation1Code on page 427](#)

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

### 3.4.12.2 OtherDocumentation <OthrDcmnttn>

*Presence:* [0..1]

*Definition:* Documents needed to obtain a valid power of attorney.

*Datatype:* [Max350Text on page 443](#)

### 3.4.12.3 DocumentSubmissionDeadline <DocSubmissnDdln>

*Presence:* [0..1]

*Definition:* Date by which the requested documents must be provided.

**DocumentSubmissionDeadline <DocSubmissnDdln>** contains one of the following elements (see [DateFormat58Choice on page 296](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

## 3.4.13 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional narrative information about the meeting event.

**AdditionalInformation <AddtlInf>** contains the following **CorporateEventNarrative4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Disclaimer &lt;Dsclmr&gt;</b>	[0..*]			83
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		83
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..1]	Text		83
	<b>ProcessingTextForNextIntermediary &lt;PrcgTxtForNxtlIntrmy&gt;</b>	[0..*]	Text		83

### 3.4.13.1 Disclaimer <Dsclmr>

*Presence:* [0..\*]

*Definition:* Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

**Disclaimer <Dsclmr>** contains the following **LanguageSpecifiedNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		83
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..1]	Text		83

#### 3.4.13.1.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* [ISO2ALanguageCode on page 422](#)

#### 3.4.13.1.2 AdditionalInformation <AddtlInf>

*Presence:* [1..1]

*Definition:* Provides additional textual information in the specified language.

*Datatype:* [Max8000Text on page 445](#)

#### 3.4.13.2 ProcessingTextForNextIntermediary <PrcgTxtForNxtlIntrmy>

*Presence:* [0..\*]

*Definition:* Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.

*Datatype:* [Max8000Text on page 445](#)

### 3.4.14 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C22 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1 on page 334](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

#### Constraints

- **SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 4 **MX seev.002.001.09 MeetingCancellationV09**

### 4.1 **MessageDefinition Functionality**

#### Scope

The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.

#### Usage

The MeetingCancellation message is used to advise that the meeting has been cancelled by the account servicer or withdrawn by the issuer.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingCancellationV09 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

B. Security

Identification of the security for which the meeting was organised.

C. Reason

Justification for the cancellation.

D. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgCxl>	[1..1]		C5	
	<b>MeetingReference</b> <MtgRef>	[1..1]			90
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		90
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		90
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		90
	<b>Type</b> <Tp>	[1..1]	CodeSet		90
	<b>Classification</b> <Clssfctn>	[0..1]	±		91
	<b>Location</b> <Lctn>	[0..5]	±		91
	<b>Issuer</b> <Issr>	[0..1]	±		92
	<b>Security</b> <Scty>	[1..200]			92
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C13	93
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C10, C14	95
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		97
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlIt>	[0..1]	Text		97
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			98
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		98
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		98
	<b>HoldingBalance</b> <HldgBal>	[0..15]			99
	<b>Balance</b> <Bal>	[1..1]	±		99
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		100
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		101
	<b>Date</b> <Dt>	[0..1]	Date		101
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			101
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		102
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		102
	<b>Reason</b> <Rsn>	[1..1]			103

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CancellationReasonCode</b> <CxIRsnCd>	[0..1]	±		103
	<b>CancellationReason</b> <CxIRsn>	[0..1]	Text		104
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	104

## 4.3 Constraints

The tick symbol ( ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### C1 AccountIdentificationOrBlockChainAddress1Rule

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both. (CrossElementSimpleRule)

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C2 AccountIdentificationOrBlockChainAddress2Rule

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C3 AccountIdentificationOrBlockChainAddress3Rule

(Rule)

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

#### **C4 AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

#### **C5 Cancellation1Guideline**

(Guideline)

It is recommended that a meeting is cancelled with the use of MeetingReference/ MeetingIdentification, MeetingReference/Type and Security and Reason only. It is recommended that optional elements are not used.

*This constraint is defined at the MessageDefinition level.*

#### **C6 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

#### **C7 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

#### **C8 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

#### **C9 DigitalTokenUnitUsageRule**

(Rule)



DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C10 HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**C11 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C12 ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**C13 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**C14 RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C15 SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 4.4.1 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		90
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		90
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		90
	<b>Type</b> <Tp>	[1..1]	CodeSet		90
	<b>Classification</b> <Clssfctn>	[0..1]	±		91
	<b>Location</b> <Lctn>	[0..5]	±		91
	<b>Issuer</b> <Issr>	[0..1]	±		92

#### 4.4.1.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* [Max35Text on page 443](#)

#### 4.4.1.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* [Max35Text on page 443](#)

#### 4.4.1.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* [ISODatetime on page 438](#)

#### 4.4.1.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* [MeetingType4Code on page 423](#)

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 4.4.1.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

#### 4.4.1.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

#### 4.4.1.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

#### 4.4.2 Security <Scty>

*Presence:* [1..200]

*Definition:* Identification of the security for which the meeting was organised.

**Security <Scty>** contains the following **SecurityPosition17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C13	93
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C10, C14	95
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		97
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		97
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			98
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		98
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		98
	<b>HoldingBalance</b> <HldgBal>	[0..15]			99
	<b>Balance</b> <Bal>	[1..1]	±		99
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		100
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		101
	<b>Date</b> <Dt>	[0..1]	Date		101
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			101
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		102
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		102

#### 4.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* C7 DescriptionPresenceRule, C8 DescriptionUsageRule, C11 ISINGuideline, C12 ISINPresenceRule, C13 OtherIdentificationPresenceRule

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19 on page 306](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrlId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

**Constraints**

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

    /Description is absent

Following Must be True

    /ISIN Must be present

    Or /OtherIdentification[\*] Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition

    /ISIN is absent

Following Must be True

    /OtherIdentification[\*] Must be present

    Or /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

• **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

    /OtherIdentification[\*] is absent

Following Must be True

    /ISIN Must be present

Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**4.4.2.2 Position <Pos>**

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 AccountIdentificationOrBlockChainAddress1Rule, C2 AccountIdentificationOrBlockChainAddress2Rule, C3 AccountIdentificationOrBlockChainAddress3Rule, C10 HoldingBalanceTypeRule, C14 RightsHolderMultiplicity1Guideline

**Position <Pos>** contains the following **EligiblePosition14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		97
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWllet>	[0..1]	Text		97
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			98
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		98
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		98
	<b>HoldingBalance</b> <HldgBal>	[0..15]			99
	<b>Balance</b> <Bal>	[1..1]	±		99
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		100
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		101
	<b>Date</b> <Dt>	[0..1]	Date		101
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			101
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		102
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		102

**Constraints**

• **AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

*Error handling:*

– *Error severity:* Warning

– *Error Code:* X00551

– *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

• **AccountIdentificationOrBlockChainAddress2Rule**

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/AccountIdentification Must be absent



*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition

    /AccountIdentification is present

Following Must be True

    /BlockchainAddressOrWallet Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

- **RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### **4.4.2.2.1 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* Max35Text on page 443

#### **4.4.2.2.2 BlockchainAddressOrWallet <BlockchainAdrOrWllt>**

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* Max140Text on page 442

#### 4.4.2.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		98
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		98

##### 4.4.2.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

##### 4.4.2.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 4.4.2.2.4 HoldingBalance <HldgBal>

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]	±		99
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		100
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		101
	<b>Date</b> <Dt>	[0..1]	Date		101

#### 4.4.2.2.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following elements (see [SignedQuantityFormat14](#) on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		394
	Quantity <Qty>	[1..1]		C9	394
{Or	Unit <Unit>	[1..1]	Quantity		395
Or	FaceAmount <FaceAmt>	[1..1]	Amount		395
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		395

#### 4.4.2.2.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* [SecuritiesEntryType2Code](#) on page 432

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CodeName	Name	Definition
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 4.4.2.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see [SafekeepingPlaceFormat28Choice on page 397](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			397
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398
Or	Country <Ctry>	[1..1]	CodeSet	C3	398
Or	TypeAndIdentification <TpAndId>	[1..1]			398
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399
Or}	Proprietary <Prtry>	[1..1]	±		399

#### 4.4.2.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* [ISODate on page 438](#)

#### 4.4.2.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		102
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		102

#### 4.4.2.2.5.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

#### 4.4.2.2.5.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

### 4.4.3 Reason <Rsn>

*Presence:* [1..1]

*Definition:* Justification for the cancellation.

**Reason <Rsn>** contains the following **MeetingCancellationReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CancellationReasonCode</b> <CxIRsnCd>	[0..1]	±		103
	<b>CancellationReason</b> <CxIRsn>	[0..1]	Text		104

#### 4.4.3.1 CancellationReasonCode <CxIRsnCd>

*Presence:* [0..1]

*Definition:* Reason for cancelling a meeting.

**CancellationReasonCode <CxIRsnCd>** contains one of the following elements (see [MeetingCancellationReason1Choice](#) on page 312 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		313
Or}	Proprietary <Prtry>	[1..1]	±		313

#### 4.4.3.2 CancellationReason <CxIRsn>

*Presence:* [0..1]

*Definition:* Provides more information on the reason for cancelling a meeting in free format form.

*Datatype:* [Max140Text](#) on page 442

#### 4.4.4 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C15 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1](#) on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

##### Constraints

- **SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.



# 5 **MX seev.003.001.09** **MeetingEntitlementNotificationV09**

## 5.1 **MessageDefinition Functionality**

### Scope

The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.

### Usage

This message is sent to advise the quantity of securities held by an account owner. The balance is specified for the securities for which the meeting is taking place. The message is also used to amend a previously sent MeetingEntitlementNotification.

The MeetingEntitlementNotification message may be sent either before receiving a voting instruction to confirm the entitlement; or after having received a voting instruction to confirm details of the person attending the meeting.

The message may also be used in place of an attendance card or to confirm entitlements in the case of bearer shares.

This message definition is intended for use with the Business Application Header (BAH).

### Outline

The MeetingEntitlementNotificationV09 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. NotificationType  
Type of notification.
- B. PreviousEntitlementNotificationIdentification  
Identification of the original meeting notification entitlement message which is amended.
- C. MeetingReference  
Set of elements to allow the unambiguous identification of a meeting.
- D. Issuer  
Institution that is the issuer of the security to which the meeting applies.
- E. Security  
Security for which the meeting is organised, the account and the positions of the security holder.
- F. Eligibility  
Date determining eligibility.
- G. MeetingAttendee  
Person physically attending the meeting as a natural or legal person.
- H. Proxy

Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgEntitlmntNtfctn>	[1..1]		C13	
	<b>NotificationType</b> <NtfctnTp>	[1..1]	CodeSet		111
	<b>PreviousEntitlementNotificationIdentification</b> <PrvsEntitlmntNtfctnId>	[0..1]	Text		112
	<b>MeetingReference</b> <MtgRef>	[1..1]			112
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		112
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		112
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		112
	<b>Type</b> <Tp>	[1..1]	CodeSet		112
	<b>Classification</b> <Clssfctn>	[0..1]	±		113
	<b>Location</b> <Lctn>	[0..5]	±		113
	<b>Issuer</b> <Issr>	[0..1]	±		114
	<b>Issuer</b> <Issr>	[1..1]	±		114
	<b>Security</b> <Scty>	[1..200]			115
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	116
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C9, C14	117
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		119
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlIt>	[0..1]	Text		120
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			120
	<b>Identification</b> <Id>	[1..1]			120
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		120
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		121
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			122
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		122
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		123
	<b>HoldingBalance</b> <HldgBal>	[0..15]			123
	<b>Balance</b> <Bal>	[1..1]	±		123
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		123

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		124
	<b>Date</b> <Dt>	[0..1]	Date		125
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			125
	<b>Identification</b> <Id>	[1..1]			125
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		126
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		126
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			127
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		127
Or}	<b>RegistrationIdentification</b> <RegId>	[1..1]	±		128
	<b>Eligibility</b> <Elgblty>	[1..1]			128
	<b>EntitlementFixingDate</b> <EntitlmtFxdt>	[1..1]	Date		128
	<b>MeetingAttendee</b> <MtgAttndee>	[0..1]			128
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		128
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		129
	<b>Proxy</b> <Prxy>	[0..1]			130
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		130
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		131
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	132

## 5.3 Constraints

The tick symbol ( ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### C1 AccountIdentificationOrBlockChainAddress1Rule

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both. (CrossElementSimpleRule)

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C2 AccountIdentificationOrBlockChainAddress2Rule

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### **C3 AccountIdentificationOrBlockChainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### **C4 AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

### **C5 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

### **C6 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**C7 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

**C8 DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C9 HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**C10 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C11 ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**C12 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**C13 PreviousEntitlementNotificationIdentificationRule**

(Rule)

If NotificationType is REPL (Replacement), then PreviousEntitlementNotificationIdentification must be present. (CrossElementComplexRule)

On Condition

/NotificationType is equal to value 'Replacement'

Following Must be True

/PreviousEntitlementNotificationIdentification Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00511
- *Error Text:* PreviousEntitlementNotificationIdentification should be present.

*This constraint is defined at the MessageDefinition level.*

**C14 RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C15 SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 5.4.1 NotificationType <NtfctnTp>

*Presence:* [1..1]

*Definition:* Type of notification.

*Datatype:* NotificationType2Code on page 425

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

## 5.4.2 PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>

*Presence:* [0..1]

*Definition:* Identification of the original meeting notification entitlement message which is amended.

*Datatype:* [Max35Text on page 443](#)

## 5.4.3 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		112
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		112
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		112
	<b>Type</b> <Tp>	[1..1]	CodeSet		112
	<b>Classification</b> <Clssfctn>	[0..1]	±		113
	<b>Location</b> <Lctn>	[0..5]	±		113
	<b>Issuer</b> <Issr>	[0..1]	±		114

### 5.4.3.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* [Max35Text on page 443](#)

### 5.4.3.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* [Max35Text on page 443](#)

### 5.4.3.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* [ISODateTime on page 438](#)

### 5.4.3.4 Type <Tp>

*Presence:* [1..1]



*Definition:* Type of meeting for which instructions are sent.

*Datatype:* [MeetingType4Code](#) on page 423

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 5.4.3.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

#### 5.4.3.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

#### 5.4.3.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

#### 5.4.4 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

## 5.4.5 Security <Scty>

Presence: [1..200]

Definition: Security for which the meeting is organised, the account and the positions of the security holder.

Security <Scty> contains the following SecurityPosition18 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	116
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C9, C14	117
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		119
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		120
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			120
	<b>Identification</b> <Id>	[1..1]			120
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		120
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		121
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			122
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		122
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		123
	<b>HoldingBalance</b> <HldgBal>	[0..15]			123
	<b>Balance</b> <Bal>	[1..1]	±		123
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		123
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		124
	<b>Date</b> <Dt>	[0..1]	Date		125
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			125
	<b>Identification</b> <Id>	[1..1]			125
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		126
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		126
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			127
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		127
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		128

### 5.4.5.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* C6 DescriptionPresenceRule, C7 DescriptionUsageRule, C10 ISINGuideline,  
C11 ISINPresenceRule, C12 OtherIdentificationPresenceRule

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19](#) on page 306 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrlId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

#### Constraints

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition  
    /ISIN is absent  
Following Must be True  
    /OtherIdentification[\*] Must be present  
Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

• **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition  
    /OtherIdentification[\*] is absent  
Following Must be True  
    /ISIN Must be present  
Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

### 5.4.5.2 Position <Pos>

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 AccountIdentificationOrBlockChainAddress1Rule, C2 AccountIdentificationOrBlockChainAddress2Rule, C3 AccountIdentificationOrBlockChainAddress3Rule, C9 HoldingBalanceTypeRule, C14 RightsHolderMultiplicity1Guideline

**Position <Pos>** contains the following **EligiblePosition15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		119
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		120
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			120
	<b>Identification</b> <Id>	[1..1]			120
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		120
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		121
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			122
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		122
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		123
	<b>HoldingBalance</b> <HldgBal>	[0..15]			123
	<b>Balance</b> <Bal>	[1..1]	±		123
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		123
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		124
	<b>Date</b> <Dt>	[0..1]	Date		125
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			125
	<b>Identification</b> <Id>	[1..1]			125
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		126
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		126
	<b>AdditionalIdentificationInformation</b> <AddtlIdInf>	[0..1]			127
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		127
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		128

**Constraints**

• **AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

*Error handling:*

– *Error severity:* Warning

– *Error Code:* X00551

- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress2Rule**

(Rule)

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

On Condition  
    /BlockchainAddressOrWallet is present  
Following Must be True  
    /AccountIdentification Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition  
    /AccountIdentification is present  
Following Must be True  
    /BlockchainAddressOrWallet Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

- **RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 5.4.5.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* [Max35Text on page 443](#)

#### 5.4.5.2.2 BlockChainAddressOrWallet <BlickChainAdrOrWllt>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* [Max140Text on page 442](#)

#### 5.4.5.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains the following **PartyIdentification241** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[1..1]			120
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		120
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..*]	±		121
	<b>AdditionalIdentificationInformation &lt;AddtlIdInf&gt;</b>	[0..1]			122
{Or	<b>BirthDate &lt;BirthDt&gt;</b>	[1..1]	Date		122
Or}	<b>RegistrationIdentification &lt;RegnId&gt;</b>	[1..1]	±		123

#### 5.4.5.2.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		120
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..*]	±		121

#### 5.4.5.2.3.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.



**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 5.4.5.2.3.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 5.4.5.2.3.2 AdditionalIdentificationInformation <AddtlIdInf>

*Presence:* [0..1]

*Definition:* Ancillary identification information about the party.

**AdditionalIdentificationInformation <AddtlIdInf>** contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		122
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		123

#### 5.4.5.2.3.2.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date of birth of an individual person.

*Datatype:* [ISODate](#) on page 438

#### 5.4.5.2.3.2.2 RegistrationIdentification <RegnId>

*Presence:* [1..1]

*Definition:* Official identification of an organisation in a specific register.

**RegistrationIdentification <RegnId>** contains the following elements (see [OrganisationIdentification5](#) on page 319 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		320
	RegisterName <RegrNm>	[0..1]	Text		320

#### 5.4.5.2.4 HoldingBalance <HldgBal>

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]	±		123
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		123
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		124
	<b>Date</b> <Dt>	[0..1]	Date		125

#### 5.4.5.2.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following elements (see [SignedQuantityFormat14](#) on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		394
	Quantity <Qty>	[1..1]		C9	394
{Or	Unit <Unit>	[1..1]	Quantity		395
Or	FaceAmount <FaceAmt>	[1..1]	Amount		395
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		395

#### 5.4.5.2.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* [SecuritiesEntryType2Code](#) on page 432

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 5.4.5.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see [SafekeepingPlaceFormat28Choice](#) on page 397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			397
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398
Or	Country <Ctry>	[1..1]	CodeSet	C3	398
Or	TypeAndIdentification <TpAndId>	[1..1]			398
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399
Or}	Proprietary <Prtry>	[1..1]	±		399

#### 5.4.5.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* [ISODate](#) on page 438

#### 5.4.5.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains the following **PartyIdentification270** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			125
{Or	LegalPerson <LglPrsn>	[1..1]	±		126
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		126
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			127
{Or	BirthDate <BirthDt>	[1..1]	Date		127
Or}	RegistrationIdentification <RegnId>	[1..1]	±		128

#### 5.4.5.2.5.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		126
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		126

#### 5.4.5.2.5.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

#### 5.4.5.2.5.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

#### 5.4.5.2.5.2 AdditionalIdentificationInformation <AddtlIdInf>

*Presence:* [0..1]

*Definition:* Ancillary identification information about the party.

**AdditionalIdentificationInformation <AddtlIdInf>** contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BirthDate</b> <BirthDt>	[1..1]	Date		127
Or}	<b>RegistrationIdentification</b> <RegnId>	[1..1]	±		128

#### 5.4.5.2.5.2.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date of birth of an individual person.

*Datatype:* [ISODate](#) on page 438

#### 5.4.5.2.5.2.2 RegistrationIdentification <RegnId>

*Presence:* [1..1]

*Definition:* Official identification of an organisation in a specific register.

**RegistrationIdentification <RegnId>** contains the following elements (see [OrganisationIdentification5](#) on page 319 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		320
	RegisterName <RegrNm>	[0..1]	Text		320

### 5.4.6 Eligibility <Elgblty>

*Presence:* [1..1]

*Definition:* Date determining eligibility.

**Eligibility <Elgblty>** contains the following **EligibilityDates1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitlementFixingDate <EntitlmntFxdt>	[1..1]	Date		128

#### 5.4.6.1 EntitlementFixingDate <EntitlmntFxdt>

*Presence:* [1..1]

*Definition:* Date at which the positions are struck to note which parties will receive the entitlement, e.g. record date, book close date.

*Datatype:* [ISODate](#) on page 438

### 5.4.7 MeetingAttendee <MtgAttndee>

*Presence:* [0..1]

*Definition:* Person physically attending the meeting as a natural or legal person.

**MeetingAttendee <MtgAttndee>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		128
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		129

#### 5.4.7.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.



**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 5.4.7.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

## 5.4.8 Proxy <Prxy>

*Presence:* [0..1]

*Definition:* Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

**Proxy <Prxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		130
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		131

### 5.4.8.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 5.4.8.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

## 5.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C15 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1](#) on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

### Constraints

- **SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 6 **MX seev.004.001.09** **MeetingInstructionV09**

### 6.1 **MessageDefinition Functionality**

#### Scope

The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.

#### Usage

The MeetingInstruction message is used to vote, to require attendance to a meeting, to request registration of securities and assign a proxy. One instruction or multiple instructions can be carried within the same message.

Once the message is sent, it cannot be modified. It must be cancelled by a MeetingInstructionCancellationRequest. Only after receipt of a confirmed cancelled status via the

MeetingInstructionStatus message, a new MeetingInstruction message can be sent.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingInstructionV09 MessageDefinition is composed of 9 MessageBuildingBlocks:

##### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

##### B. MeetingInstructionIdentification

Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

##### C. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

##### D. FinancialInstrumentIdentification

Security for which the meeting is organised.

##### E. InstructionCancellationRequestIdentification

Identification of the cancellation request message requesting cancellation of individual instruction(s).

##### F. CancelledInstructionIdentification

Identification of the message and individual instruction(s) for which the cancellation was requested.

##### G. OtherDocumentIdentification

Identification of other messages/documents as well as the messages/documents number.

##### H. Instruction

The position of the instructing party and the action that it wants to take.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstr>	[1..1]			
	<b>Pagination</b> <Pgntn>	[0..1]	±		143
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[0..1]	Text		143
	<b>MeetingReference</b> <MtgRef>	[1..1]			143
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		144
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		144
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		144
	<b>Type</b> <Tp>	[1..1]	CodeSet		144
	<b>Classification</b> <Clssfctn>	[0..1]	±		145
	<b>Location</b> <Lctn>	[0..5]	±		145
	<b>Issuer</b> <Issr>	[0..1]	±		145
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C15	146
	<b>InstructionCancellationRequestIdentification</b> <InstrCxlReqld>	[0..*]			147
	<b>MeetingInstructionCancellationRequestIdentification</b> <MtgInstrCxlReqld>	[1..1]	Text		148
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		148
	<b>CancelledInstructionIdentification</b> <CanclInstrId>	[0..*]			148
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[1..1]	Text		148
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		148
	<b>OtherDocumentIdentification</b> <OthrDocId>	[0..*]	±		149
	<b>Instruction</b> <Instr>	[1..*]		C13	149
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		152
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[0..1]	DateTime		153
	<b>VoteExecutionConfirmation</b> <VoteExctnConf>	[1..1]	Indicator		153
	<b>AccountDetails</b> <AcctDtls>	[1..1]		C1, C2, C3, C5, C17	153
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		156
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWllt>	[0..1]	Text		156



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			156
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		156
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		157
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		158
	<b>InstructedBalance</b> <InstdBal>	[1..15]			158
	<b>Balance</b> <Bal>	[1..1]	±		159
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		159
	<b>SafekeepingPlace</b> <SfkggPlc>	[0..1]	±		160
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			161
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		161
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		161
	<b>PledgeDetails</b> <PldgDtls>	[0..1]			162
	<b>Pledger</b> <Pldgr>	[1..1]			163
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		163
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		164
	<b>ThirdParty</b> <ThrdPty>	[0..1]			165
	<b>Role</b> <Role>	[1..1]	CodeSet		165
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		166
	<b>PledgeType</b> <PldgTp>	[1..1]	±		166
	<b>ReturnSecuritiesIndicator</b> <RtrSctiesInd>	[0..1]	Indicator		167
	<b>Proxy</b> <Prxy>	[0..1]		C16	167
	<b>ProxyType</b> <PrxyTp>	[1..1]	CodeSet		167
	<b>PersonDetails</b> <PrsnDtls>	[0..1]			168
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			168
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		168
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		169
	<b>EmployingParty</b> <EmpIngPty>	[0..1]	±		170
	<b>AttendanceCardDetails</b> <AttdncCardDtls>	[1..1]	±	C14	170
	<b>VoteDetails</b> <VoteDtls>	[0..1]			171
	<b>VoteInstructionForAgendaResolution</b> <VoteInstrForAgndRsltn>	[1..1]			173
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsltn>	[1..1]		C10	174

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			175
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		175
	<b>ListingGroupResolutionLabel</b> <ListgGrpRslnLbl>	[0..1]	Text		176
	<b>For</b> <For>	[0..1]	±		176
	<b>Against</b> <Agnst>	[0..1]	±		176
	<b>Abstain</b> <Abstn>	[0..1]	±		176
	<b>Withhold</b> <Wthhld>	[0..1]	±		176
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		177
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		177
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		177
	<b>OneYear</b> <OneYr>	[0..1]	±		177
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		178
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		178
	<b>NoAction</b> <NoActn>	[0..1]	±		178
	<b>Blank</b> <Blnk>	[0..1]	±		178
	<b>Proprietary</b> <Prtry>	[0..4]			179
	<b>Code</b> <Cd>	[1..1]	±		179
	<b>Quantity</b> <Qty>	[1..1]	±		179
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			179
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		180
	<b>VoteOption</b> <VoteOptn>	[1..1]			180
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		180
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		181
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRslns>	[1..1]	±		181
	<b>VoteInstructionForMeetingResolution</b> <VoteInstrForMtgRsln>	[0..1]			181
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		182
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		182
	<b>MeetingAttendee</b> <MtgAttndee>	[0..*]			182
	<b>Identification</b> <Id>	[0..1]			182
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		183
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		183

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	CodeSet		184
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		184
	<b>AttendanceCardDetails</b> <AtndncCardDtls>	[1..1]	±	C14	185
	<b>SpecificInstructionRequest</b> <SpfcInstrReq>	[0..1]			185
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	±		186
	<b>SecuritiesRegistration</b> <ScitiesRegn>	[0..1]	Indicator		186
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C20	186

## 6.3 Constraints

The tick symbol (  ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### C1 AccountIdentificationOrBlockchainAddress1Rule

(Rule)

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both. (CrossElementSimpleRule)

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C2 AccountIdentificationOrBlockchainAddress2Rule

(Rule)

If BlockchainAddressOrWallet is present then AccountIdentification must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C3 AccountIdentificationOrBlockchainAddress3Rule

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**C4 AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

**C5 BalanceQall1Rule**

(Rule)

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00087
- *Error Text:* Invalid message content for Identification

**C6 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**C7 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**C8 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

**C9 DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C10 InFavourListingGroup1Rule**

(Rule)

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**C11 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C12 ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**C13 MeetingAttendeeRule**

(Rule)

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson). (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00428
- *Error Text:* In Person participation method should be set.

**C14 OtherAddressCode1Rule**

(Rule)

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00084
- *Error Text:* Invalid message content for Delivery Method

**C15 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**C16 ProxyAppointment1Rule**

(Rule)

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00086
- *Error Text:* Invalid message content for ProxyType

**C17 RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C18 ShortLongNumberRule**

(Rule)

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

**C19 StructuredOrUnstructured1Guideline**

(Guideline)

It is recommended that Structured be used.

**C20 SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

**C21 WithLinkageRule**

(Rule)

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 6.4.1 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part instruction is to continue or that the message is the last page of the multi-part instruction.

**Pagination <Pgntn>** contains the following elements (see [Pagination1](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		333
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		333

### 6.4.2 MeetingInstructionIdentification <MtgInstrId>

*Presence:* [0..1]

*Definition:* Unique identification of the group of meeting instruction messages when the instruction is split in multiple (paginated) messages.

*Datatype:* [Max35Text](#) on page 443

### 6.4.3 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		144
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		144
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		144
	<b>Type</b> <Tp>	[1..1]	CodeSet		144
	<b>Classification</b> <Clssfctn>	[0..1]	±		145
	<b>Location</b> <Lctn>	[0..5]	±		145
	<b>Issuer</b> <Issr>	[0..1]	±		145

### 6.4.3.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* [Max35Text](#) on page 443

### 6.4.3.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* [Max35Text](#) on page 443

### 6.4.3.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* [ISODateTime](#) on page 438

### 6.4.3.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* [MeetingType4Code](#) on page 423

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least



CodeName	Name	Definition
		once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 6.4.3.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

#### 6.4.3.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

#### 6.4.3.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

## 6.4.4 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* [C7 DescriptionPresenceRule](#), [C8 DescriptionUsageRule](#), [C11 ISINGuideline](#), [C12 ISINPresenceRule](#), [C15 OtherIdentificationPresenceRule](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19](#) on page 306 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

### Constraints

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

*Error handling:*

– *Error severity:* Fatal

– *Error Code:* X00192

– *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

- **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

## 6.4.5 **InstructionCancellationRequestIdentification** **<InstrCxlReqId>**

*Presence:* [0..\*]

*Definition:* Identification of the cancellation request message requesting cancellation of individual instruction(s).

**InstructionCancellationRequestIdentification <InstrCxlReqId>** contains the following **MeetingInstructionCancellation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingInstructionCancellationRequestIdentification</b> <MtgInstrCxlReqId>	[1..1]	Text		148
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		148

#### 6.4.5.1 MeetingInstructionCancellationRequestIdentification <MtgInstrCxlReqId>

*Presence:* [1..1]

*Definition:* Identification of the meeting instruction cancellation request message containing the individual instruction(s) for which the cancellation was requested.

*Datatype:* [Max35Text on page 443](#)

#### 6.4.5.2 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction for which the cancellation was requested.

*Datatype:* [Max35Text on page 443](#)

### 6.4.6 CancelledInstructionIdentification <CanclInstrId>

*Presence:* [0..\*]

*Definition:* Identification of the message and individual instruction(s) for which the cancellation was requested.

**CancelledInstructionIdentification <CanclInstrId>** contains the following **MeetingInstructionIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[1..1]	Text		148
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		148

#### 6.4.6.1 MeetingInstructionIdentification <MtgInstrId>

*Presence:* [1..1]

*Definition:* Identification of the previous meeting instruction message containing the individual instruction(s) for which the cancellation was requested.

*Datatype:* [Max35Text on page 443](#)

#### 6.4.6.2 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction for which the cancellation was requested.

*Datatype:* [Max35Text on page 443](#)

## 6.4.7 OtherDocumentIdentification <OthrDocId>

*Presence:* [0..\*]

*Definition:* Identification of other messages/documents as well as the messages/documents number.

**OtherDocumentIdentification <OthrDocId>** contains the following elements (see [DocumentIdentification32 on page 297](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			297
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		297
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		298
	DocumentNumber <DocNb>	[0..1]	±	C18	298
	LinkageType <LkgTp>	[0..1]	±	C21	298

## 6.4.8 Instruction <Instr>

*Presence:* [1..\*]

*Definition:* The position of the instructing party and the action that it wants to take.

*Impacted by:* C13 MeetingAttendeeRule

**Instruction <Instr>** contains the following **Instruction7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		152
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[0..1]	DateTime		153
	<b>VoteExecutionConfirmation</b> <VoteExctnConf>	[1..1]	Indicator		153
	<b>AccountDetails</b> <AcctDtls>	[1..1]		C1, C2, C3, C5, C17	153
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		156
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		156
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			156
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		156
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		157
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		158
	<b>InstructedBalance</b> <InstdBal>	[1..15]			158
	<b>Balance</b> <Bal>	[1..1]	±		159
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		159
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		160
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			161
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		161
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		161
	<b>PledgeDetails</b> <PldgDtls>	[0..1]			162
	<b>Pledger</b> <Pldgr>	[1..1]			163
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		163
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		164
	<b>ThirdParty</b> <ThrdPty>	[0..1]			165
	<b>Role</b> <Role>	[1..1]	CodeSet		165
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		166
	<b>PledgeType</b> <PldgTp>	[1..1]	±		166
	<b>ReturnSecuritiesIndicator</b> <RtrSctiesInd>	[0..1]	Indicator		167
	<b>Proxy</b> <Prxy>	[0..1]		C16	167
	<b>ProxyType</b> <PrxyTp>	[1..1]	CodeSet		167
	<b>PersonDetails</b> <PrsnDtls>	[0..1]			168

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			168
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		168
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		169
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		170
	<b>AttendanceCardDetails</b> <AttndncCardDtls>	[1..1]	±	C14	170
	<b>VoteDetails</b> <VoteDtls>	[0..1]			171
	<b>VoteInstructionForAgendaResolution</b> <VoteInstrForAgndRsln>	[1..1]			173
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsln>	[1..1]		C10	174
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			175
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		175
	<b>ListingGroupResolutionLabel</b> <ListgGrpRslnLbl>	[0..1]	Text		176
	<b>For</b> <For>	[0..1]	±		176
	<b>Against</b> <Agnst>	[0..1]	±		176
	<b>Abstain</b> <Abstn>	[0..1]	±		176
	<b>Withhold</b> <Wthhld>	[0..1]	±		176
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		177
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		177
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		177
	<b>OneYear</b> <OneYr>	[0..1]	±		177
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		178
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		178
	<b>NoAction</b> <NoActn>	[0..1]	±		178
	<b>Blank</b> <Blnk>	[0..1]	±		178
	<b>Proprietary</b> <Prtry>	[0..4]			179
	<b>Code</b> <Cd>	[1..1]	±		179
	<b>Quantity</b> <Qty>	[1..1]	±		179
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			179
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		180
	<b>VoteOption</b> <VoteOptn>	[1..1]			180
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		180
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		181

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRslns>	[1..1]	±		181
	<b>VoteInstructionForMeetingResolution</b> <VoteInstrForMtgRsln>	[0..1]			181
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		182
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		182
	<b>MeetingAttendee</b> <MtgAttndee>	[0..*]			182
	<b>Identification</b> <Id>	[0..1]			182
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		183
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		183
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	CodeSet		184
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		184
	<b>AttendanceCardDetails</b> <AttndncCardDtls>	[1..1]	±	C14	185
	<b>SpecificInstructionRequest</b> <SpfcInstrReq>	[0..1]			185
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	±		186
	<b>SecuritiesRegistration</b> <SctiesRegn>	[0..1]	Indicator		186

#### Constraints

- **MeetingAttendeeRule**

(Rule)

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

On Condition

/MeetingAttendee[\*] is present

Following Must be True

/SpecificInstructionRequest/ParticipationMethod/Code Must be equal to value 'InPerson'

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00428
- *Error Text:* In Person participation method should be set.

#### 6.4.8.1 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction.

*Datatype:* Max35Text on page 443



#### 6.4.8.2 RequestedExecutionDate <ReqdExctnDt>

*Presence:* [0..1]

*Definition:* Date at which the instruction must be executed.

*Datatype:* ISODatetime on page 438

#### 6.4.8.3 VoteExecutionConfirmation <VoteExctnConf>

*Presence:* [1..1]

*Definition:* Indicates that a vote execution confirmation is requested.

*Datatype:* One of the following values must be used (see YesNoIndicator on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 6.4.8.4 AccountDetails <AcctDtls>

*Presence:* [1..1]

*Definition:* Identification of the securities account.

*Impacted by:* C1 AccountIdentificationOrBlockChainAddress1Rule, C2 AccountIdentificationOrBlockChainAddress2Rule, C3 AccountIdentificationOrBlockChainAddress3Rule, C5 BalanceQall1Rule, C17 RightsHolderMultiplicity1Guideline

**AccountDetails <AcctDtls>** contains the following **SafekeepingAccount15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		156
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		156
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			156
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		156
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		157
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		158
	<b>InstructedBalance</b> <InstdBal>	[1..15]			158
	<b>Balance</b> <Bal>	[1..1]	±		159
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		159
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		160
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			161
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		161
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		161
	<b>PledgeDetails</b> <PldgDtls>	[0..1]			162
	<b>Pledger</b> <Pldgr>	[1..1]			163
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		163
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		164
	<b>ThirdParty</b> <ThrdPty>	[0..1]			165
	<b>Role</b> <Role>	[1..1]	CodeSet		165
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		166
	<b>PledgeType</b> <PldgTp>	[1..1]	±		166
	<b>ReturnSecuritiesIndicator</b> <RtrScitiesInd>	[0..1]	Indicator		167

**Constraints**

• **AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

/AccountIdentification Must be present

Or /BlockChainAddressOrWallet Must be present

*Error handling:*

– *Error severity:* Warning

– *Error Code:* X00551

- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress2Rule**

(Rule)

If BlockchainAddressOrWallet is present then AccountIdentification must be absent.

```
On Condition
  /BlockchainAddressOrWallet is present
Following Must be True
  /AccountIdentification Must be absent
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

```
On Condition
  /AccountIdentification is present
Following Must be True
  /BlockchainAddressOrWallet Must be absent
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **BalanceQall1Rule**

(Rule)

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

```
On Condition
  /SubAccountIdentification is present
Following Must be True
  /InstructedBalance[*]/Balance/Quantity/Code Must be absent
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00087
- *Error Text:* Invalid message content for Identification

- **RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 6.4.8.4.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the securities account between the account owner and the account servicer.

*Datatype:* [Max35Text on page 443](#)

#### 6.4.8.4.2 BlockchainAddressOrWallet <BlckChainAdrOrWlft>

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* [Max140Text on page 442](#)

#### 6.4.8.4.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		156
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		157

##### 6.4.8.4.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 6.4.8.4.3.2 NaturalPerson <NtrIPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 6.4.8.4.4 SubAccountIdentification <SubAcctId>

*Presence:* [0..1]

*Definition:* Identification of a subaccount within the safekeeping account.

*Datatype:* [Max35Text](#) on page 443

#### 6.4.8.4.5 InstructedBalance <InstdBal>

*Presence:* [1..15]

*Definition:* Quantity of securities to which this instruction applies.

**InstructedBalance <InstdBal>** contains the following **HoldingBalance12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]	±		159
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		159
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		160

#### 6.4.8.4.5.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following elements (see [SignedQuantityFormat15](#) on page 395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		395
	Quantity <Qty>	[1..1]		C9	396
{Or	Unit <Unit>	[1..1]	Quantity		396
Or	FaceAmount <FaceAmt>	[1..1]	Amount		396
Or	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		396
Or}	Code <Cd>	[1..1]	CodeSet		396

#### 6.4.8.4.5.2 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* [SecuritiesEntryType2Code](#) on page 432

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).

CodeName	Name	Definition
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 6.4.8.4.5.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see [SafekeepingPlaceFormat28Choice](#) on page 397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			397
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398
Or	Country <Ctry>	[1..1]	CodeSet	C3	398
Or	TypeAndIdentification <TpAndId>	[1..1]			398
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399
Or}	Proprietary <Prtry>	[1..1]	±		399



#### 6.4.8.4.6 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		161
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		161

##### 6.4.8.4.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

##### 6.4.8.4.6.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

#### 6.4.8.4.7 PledgeDetails <PldgDtls>

*Presence:* [0..1]

*Definition:* Detailed information about the pledge.

**PledgeDetails <PldgDtIs>** contains the following **PledgeInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Pledger</b> <Pldgr>	[1..1]			163
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		163
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		164
	<b>ThirdParty</b> <ThrdPty>	[0..1]			165
	<b>Role</b> <Role>	[1..1]	CodeSet		165
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		166
	<b>PledgeType</b> <PldgTp>	[1..1]	±		166
	<b>ReturnSecuritiesIndicator</b> <RtrScitiesInd>	[0..1]	Indicator		167

#### 6.4.8.4.7.1 Pledger <Pldgr>

*Presence:* [1..1]

*Definition:* Entity that provide assets to a counterparty as a guarantee.

**Pledger <Pldgr>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		163
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		164

#### 6.4.8.4.7.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 6.4.8.4.7.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 6.4.8.4.7.2 ThirdParty <ThrdPty>

*Presence:* [0..1]

*Definition:* Third party, usually a bank, involved in the pledge.

**ThirdParty <ThrdPty>** contains the following **ThirdPartyIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Role</b> <Role>	[1..1]	CodeSet		165
	<b>LegalPersonIdentification</b> <LglPrsnId>	[0..1]	±		166

#### 6.4.8.4.7.2.1 Role <Role>

*Presence:* [1..1]

*Definition:* Role played by the third party.

*Datatype:* [PartyRole3Code](#) on page 426

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

#### 6.4.8.4.7.2.2 LegalPersonIdentification <LgIPrsnId>

*Presence:* [0..1]

*Definition:* Identification of the third party legal entity.

**LegalPersonIdentification <LgIPrsnId>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 6.4.8.4.7.3 PledgeType <PldgTp>

*Presence:* [1..1]

*Definition:* Identifies the type of pledge.

**PledgeType <PldgTp>** contains the following elements (see [GenericIdentification36](#) on page 311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		311
	Issuer <Issr>	[1..1]	Text		311
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 6.4.8.4.7.4 ReturnSecuritiesIndicator <RtrScitiesInd>

*Presence:* [0..1]

*Definition:* Indicates whether the pledger is entitled to vote, otherwise the right to vote is with the right holder.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 6.4.8.5 Proxy <Prxy>

*Presence:* [0..1]

*Definition:* Identification of the person appointed by the security holder as the proxy.

*Impacted by:* [C16 ProxyAppointment1Rule](#)

**Proxy <Prxy>** contains the following **Proxy12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProxyType</b> <PrxyTp>	[1..1]	CodeSet		167
	<b>PersonDetails</b> <PrsnDtls>	[0..1]			168
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			168
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		168
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		169
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		170
	<b>AttendanceCardDetails</b> <AttndncCardDtls>	[1..1]	±	C14	170

#### Constraints

- **ProxyAppointment1Rule**

(Rule)

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

On Condition

`/ProxyType is equal to value 'Discretionary'`

Or `/ProxyType is equal to value 'SecurityHolder'`

Following Must be True

`/PersonDetails Must be present`

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00086
- *Error Text:* Invalid message content for ProxyType

#### 6.4.8.5.1 ProxyType <PrxyTp>

*Presence:* [1..1]

*Definition:* Type of proxy.

*Datatype:* [ProxyType3Code](#) on page 428

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

#### 6.4.8.5.2 PersonDetails <PrsnDtls>

*Presence:* [0..1]

*Definition:* Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

**PersonDetails <PrsnDtls>** contains the following **IndividualPerson42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreassignedProxy</b> <PrssgndPrxy>	[0..1]			168
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		168
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		169
	<b>EmployingParty</b> <EmplngPty>	[0..1]	±		170
	<b>AttendanceCardDetails</b> <AttndncCardDtls>	[1..1]	±	C14	170

#### 6.4.8.5.2.1 PreassignedProxy <PrssgndPrxy>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

**PreassignedProxy <PrssgndPrxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		168
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		169

#### 6.4.8.5.2.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.



**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 6.4.8.5.2.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 6.4.8.5.2.2 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.

**EmployingParty <EmplngPty>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

#### 6.4.8.5.2.3 AttendanceCardDetails <AttdncCardDtls>

*Presence:* [1..1]

*Definition:* Details related to the attendance card.

*Impacted by:* C14 OtherAddressCode1Rule

**AttendanceCardDetails <AttndncCardDtls>** contains the following elements (see [AttendanceCard3](#) on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttndncCardLbllg>	[0..1]	Text		319
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		319
	OtherAddress <OthrAdr>	[0..1]	±		319

**Constraints**

- **OtherAddressCode1Rule**

(Rule)

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

    /DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

    /OtherAddress Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00084
- *Error Text:* Invalid message content for Delivery Method

**6.4.8.6 VoteDetails <VoteDtls>**

*Presence:* [0..1]

*Definition:* Detailed voting instructions.

**VoteDetails <VoteDtls>** contains the following **VoteDetails6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>VoteInstructionForAgendaResolution</b> <VoteInstrForAgndRsln>	[1..1]			173
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsln>	[1..1]		C10	174
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			175
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		175
	<b>ListingGroupResolutionLabel</b> <ListgGrpRslnLbl>	[0..1]	Text		176
	<b>For</b> <For>	[0..1]	±		176
	<b>Against</b> <Agnst>	[0..1]	±		176
	<b>Abstain</b> <Abstn>	[0..1]	±		176
	<b>Withhold</b> <Wthhld>	[0..1]	±		176
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		177
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		177
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		177
	<b>OneYear</b> <OneYr>	[0..1]	±		177
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		178
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		178
	<b>NoAction</b> <NoActn>	[0..1]	±		178
	<b>Blank</b> <Blnk>	[0..1]	±		178
	<b>Proprietary</b> <Prtry>	[0..4]			179
	<b>Code</b> <Cd>	[1..1]	±		179
	<b>Quantity</b> <Qty>	[1..1]	±		179
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			179
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		180
	<b>VoteOption</b> <VoteOptn>	[1..1]			180
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		180
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		181
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRslns>	[1..1]	±		181
	<b>VoteInstructionForMeetingResolution</b> <VoteInstrForMtgRsln>	[0..1]			181
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		182
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		182

### 6.4.8.6.1 VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>

Presence: [1..1]

Definition: Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.

**VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>** contains one of the following **Vote15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VotePerAgendaResolution</b> <VotePerAgndRsltn>	[1..1]		C10	174
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			175
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		175
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsltnLbl>	[0..1]	Text		176
	<b>For</b> <For>	[0..1]	±		176
	<b>Against</b> <Agnst>	[0..1]	±		176
	<b>Abstain</b> <Abstn>	[0..1]	±		176
	<b>Withhold</b> <Wthhld>	[0..1]	±		176
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		177
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		177
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		177
	<b>OneYear</b> <OneYr>	[0..1]	±		177
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		178
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		178
	<b>NoAction</b> <NoActn>	[0..1]	±		178
	<b>Blank</b> <Blnk>	[0..1]	±		178
	<b>Proprietary</b> <Prtry>	[0..4]			179
	<b>Code</b> <Cd>	[1..1]	±		179
	<b>Quantity</b> <Qty>	[1..1]	±		179
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			179
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		180
	<b>VoteOption</b> <VoteOptn>	[1..1]			180
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		180
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		181
Or}	<b>VoteForAllAgendaResolutions</b> <VoteForAllAgndRsltns>	[1..1]	±		181

### 6.4.8.6.1.1 VotePerAgendaResolution <VotePerAgndRsltn>

Presence: [1..1]

Definition: Vote instruction is provided individually for each agenda resolution.

Impacted by: C10 InFavourListingGroup1Rule

**VotePerAgendaResolution <VotePerAgndRsltn>** contains one of the following **Vote16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VoteInstruction</b> <VoteInstr>	[1..1000]			175
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		175
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsltnLbl>	[0..1]	Text		176
	<b>For</b> <For>	[0..1]	±		176
	<b>Against</b> <Agnst>	[0..1]	±		176
	<b>Abstain</b> <Abstn>	[0..1]	±		176
	<b>Withhold</b> <Wthhld>	[0..1]	±		176
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		177
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		177
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		177
	<b>OneYear</b> <OneYr>	[0..1]	±		177
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		178
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		178
	<b>NoAction</b> <NoActn>	[0..1]	±		178
	<b>Blank</b> <Blnk>	[0..1]	±		178
	<b>Proprietary</b> <Prtry>	[0..4]			179
	<b>Code</b> <Cd>	[1..1]	±		179
	<b>Quantity</b> <Qty>	[1..1]	±		179
Or}	<b>GlobalVoteInstruction</b> <GblVoteInstr>	[1..1000]			179
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		180
	<b>VoteOption</b> <VoteOptn>	[1..1]			180
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		180
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		181

#### Constraints

- **InFavourListingGroup1Rule**  
(Rule)

If two or more occurrences of the element `VoteInstruction/ListingGroupResolutionLabel` have the exact same textual value, then only a single occurrence of the `VoteInstruction` sequence amongst these occurrences may have the element "For" (vote in favour) being present.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

#### 6.4.8.6.1.1.1 `VoteInstruction <VoteInstr>`

*Presence:* [1..1000]

*Definition:* Instruction specifying the instructed quantity of voting rights per resolution. Split votes can be indicated. If only one type of decision is indicated, the number of votes cast must not be adjusted if the position of the voting party increases.

`VoteInstruction <VoteInstr>` contains the following **Vote14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		175
	<b>ListingGroupResolutionLabel</b> <ListgGrpRsltnLbl>	[0..1]	Text		176
	<b>For</b> <For>	[0..1]	±		176
	<b>Against</b> <Agnst>	[0..1]	±		176
	<b>Abstain</b> <Abstn>	[0..1]	±		176
	<b>Withhold</b> <Wthhld>	[0..1]	±		176
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		177
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		177
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		177
	<b>OneYear</b> <OneYr>	[0..1]	±		177
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		178
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		178
	<b>NoAction</b> <NoActn>	[0..1]	±		178
	<b>Blank</b> <Blnk>	[0..1]	±		178
	<b>Proprietary</b> <Prtry>	[0..4]			179
	<b>Code</b> <Cd>	[1..1]	±		179
	<b>Quantity</b> <Qty>	[1..1]	±		179

##### 6.4.8.6.1.1.1.1 `IssuerLabel <IssrLbl>`

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* [Max35Text on page 443](#)

#### 6.4.8.6.1.1.1.2 ListingGroupResolutionLabel <ListGrpRsItlnLbl>

*Presence:* [0..1]

*Definition:* Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

*Datatype:* [Max35Text on page 443](#)

#### 6.4.8.6.1.1.1.3 For <For>

*Presence:* [0..1]

*Definition:* Number of votes in favour of one resolution.

**For <For>** contains one of the following elements (see [QuantityOrCode1Choice on page 400](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.4 Against <Agnst>

*Presence:* [0..1]

*Definition:* Number of votes against one resolution.

**Against <Agnst>** contains one of the following elements (see [QuantityOrCode1Choice on page 400](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.5 Abstain <Abstn>

*Presence:* [0..1]

*Definition:* Number of abstention votes for one resolution.

**Abstain <Abstn>** contains one of the following elements (see [QuantityOrCode1Choice on page 400](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.6 Withhold <Wthld>

*Presence:* [0..1]

*Definition:* Number of votes withheld for one resolution.



**Withhold <Wthhld>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.7 WithManagement <WthMgmt>

*Presence:* [0..1]

*Definition:* Number of votes in line with the votes of the management.

**WithManagement <WthMgmt>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.8 AgainstManagement <AgnstMgmt>

*Presence:* [0..1]

*Definition:* Number of votes against the voting recommendation of the management.

**AgainstManagement <AgnstMgmt>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.9 Discretionary <Dscrtnry>

*Presence:* [0..1]

*Definition:* Number of votes for which decision is left to the party that will exercise the voting right.

**Discretionary <Dscrtnry>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.10 OneYear <OneYr>

*Presence:* [0..1]

*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.

**OneYear <OneYr>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.11 TwoYears <TwoYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of two years for "say on pay" type of resolution.

**TwoYears <TwoYrs>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.12 ThreeYears <ThreeYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of three years for "say on pay" type of resolution.

**ThreeYears <ThreeYrs>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.13 NoAction <NoActn>

*Presence:* [0..1]

*Definition:* Do not vote.

**NoAction <NoActn>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.14 Blank <Blnk>

*Presence:* [0..1]

*Definition:* Vote is cast as empty but the vote is counted.

**Blank <Blnk>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.1.15 Proprietary <Prtry>

*Presence:* [0..4]

*Definition:* Other type of vote expressed as a proprietary code.

**Proprietary <Prtry>** contains the following **ProprietaryVote1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Code</b> <Cd>	[1..1]	±		179
	<b>Quantity</b> <Qty>	[1..1]	±		179

#### 6.4.8.6.1.1.1.15.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Other type of vote expressed as a proprietary code.

**Code <Cd>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 6.4.8.6.1.1.1.15.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities voted.

**Quantity <Qty>** contains one of the following elements (see [QuantityOrCode1Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 6.4.8.6.1.1.2 GlobalVoteInstruction <GblVoteInstr>

*Presence:* [1..1000]

*Definition:* Instruction specifying a vote instruction per resolution for the entire entitlement.

**GlobalVoteInstruction <GbIVoteInstr>** contains the following **Vote15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLabl>	[1..1]	Text		180
	<b>VoteOption</b> <VoteOptn>	[1..1]			180
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		180
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		181

**6.4.8.6.1.1.2.1 IssuerLabel <IssrLabl>**

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* [Max35Text on page 443](#)

**6.4.8.6.1.1.2.2 VoteOption <VoteOptn>**

*Presence:* [1..1]

*Definition:* Vote instructed.

**VoteOption <VoteOptn>** contains one of the following **VoteInstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Type</b> <Tp>	[1..1]	CodeSet		180
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		181

**6.4.8.6.1.1.2.2.1 Type <Tp>**

*Presence:* [1..1]

*Definition:* Vote option expressed as a code.

*Datatype:* [VoteInstruction7Code on page 436](#)

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.

CodeName	Name	Definition
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

#### 6.4.8.6.1.1.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Vote option expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 6.4.8.6.1.2 VoteForAllAgendaResolutions <VoteForAllAgndRsltns>

*Presence:* [1..1]

*Definition:* One single vote instruction is provided to cover all agenda resolutions.

**VoteForAllAgendaResolutions <VoteForAllAgndRsltns>** contains one of the following elements (see [VoteInstructionType1Choice](#) on page 328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	±		329

#### 6.4.8.6.2 VoteInstructionForMeetingResolution <VoteInstrForMtgRsltn>

*Presence:* [0..1]

*Definition:* Vote instructions for the resolutions that may arise or may be amended at the meeting but were not previously provided in the agenda.

**VoteInstructionForMeetingResolution <VoteInstrForMtgRsltn>** contains one of the following **VoteInstructionForMeetingResolution3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>VoteIndication</b> <VoteIndctn>	[1..1]	±		182
Or}	<b>Shareholder</b> <Shrhldr>	[1..1]	±		182

#### 6.4.8.6.2.1 VoteIndication <VoteIndctn>

*Presence:* [1..1]

*Definition:* Vote recommendation for resolutions added during the meeting.

**VoteIndication <VoteIndctn>** contains one of the following elements (see [VoteInstructionType1Choice](#) on page 328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	±		329

#### 6.4.8.6.2.2 Shareholder <Shrhldr>

*Presence:* [1..1]

*Definition:* Name and address of the shareholder to whom the rights to vote are transferred for resolutions added during the meeting.

**Shareholder <Shrhldr>** contains the following elements (see [NameAndAddress9](#) on page 391 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		392
	Address <Adr>	[0..1]	±	C19	392

#### 6.4.8.7 MeetingAttendee <MtgAttndee>

*Presence:* [0..\*]

*Definition:* Identification of the security holder who will attend and vote at the meeting in person and/or the person assigned by the security holder to attend the meeting without having any voting rights or taking any action.

**MeetingAttendee <MtgAttndee>** contains the following **IndividualPerson41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification &lt;Id&gt;</b>	[0..1]			182
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		183
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..1]	±		183
	<b>ParticipationMethod &lt;PrtcpnMtd&gt;</b>	[0..1]	CodeSet		184
	<b>EmployingParty &lt;EmplngPty&gt;</b>	[0..1]	±		184
	<b>AttendanceCardDetails &lt;AttndncCardDtls&gt;</b>	[1..1]	±	C14	185

#### 6.4.8.7.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

**Identification <Id>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		183
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		183

#### 6.4.8.7.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 6.4.8.7.1.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 6.4.8.7.2 ParticipationMethod <PrtcptnMtd>

*Presence:* [0..1]

*Definition:* Method of voting participation to the general meeting.

*Datatype:* [VotingParticipationMethod2Code](#) on page 437

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

#### 6.4.8.7.3 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.



**EmployingParty <EmplngPty>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

#### 6.4.8.7.4 AttendanceCardDetails <AttdncCardDtls>

*Presence:* [1..1]

*Definition:* Details related to the attendance card.

*Impacted by:* C14 OtherAddressCode1Rule

**AttendanceCardDetails <AttdncCardDtls>** contains the following elements (see [AttendanceCard3](#) on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		319
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		319
	OtherAddress <OthrAdr>	[0..1]	±		319

#### Constraints

- **OtherAddressCode1Rule**

(Rule)

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

```
On Condition
    /DeliveryMethod is equal to value 'OtherAddress'
Following Must be True
    /OtherAddress Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00084
- *Error Text:* Invalid message content for Delivery Method

#### 6.4.8.8 SpecificInstructionRequest <SpfcInstrReq>

*Presence:* [0..1]

*Definition:* Request to execute specific instructions, such as participation registration, securities registration or blocking of securities.

**SpecificInstructionRequest <SpfcInstrReq>** contains the following **SpecificInstructionRequest4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ParticipationMethod</b> <PrtcptnMtd>	[0..1]	±		186
	<b>SecuritiesRegistration</b> <SctiesRegn>	[0..1]	Indicator		186

#### 6.4.8.8.1 ParticipationMethod <PrtcptnMtd>

*Presence:* [0..1]

*Definition:* Method of voting participation to the general meeting.

**ParticipationMethod <PrtcptnMtd>** contains one of the following elements (see [ParticipationMethod3Choice](#) on page 316 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		316
Or}	Proprietary <Prtry>	[1..1]	±		317

#### 6.4.8.8.2 SecuritiesRegistration <SctiesRegn>

*Presence:* [0..1]

*Definition:* Indicates a request to register the securities for the meeting.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 6.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C20 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1](#) on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

#### Constraints

- **SupplementaryDataRule**  
(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 7 **MX seev.005.001.09** **MeetingInstructionCancellationRequestV09**

## 7.1 **MessageDefinition Functionality**

### Scope

The MeetingInstructionCancellationRequest message is sent by the same party that sent the MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.

### Usage

This message must be answered by a MeetingInstructionStatus message.

This message definition is intended for use with the Business Application Header (BAH).

### Outline

The MeetingInstructionCancellationRequestV09 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

B. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

C. FinancialInstrumentIdentification

Security for which the meeting is organised.

D. ToBeCancelledInstruction

Specifies one or more instructions for which the cancellation is requested.

E. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrCxlReq>	[1..1]		C6	
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[1..1]	Text		193
	<b>MeetingReference</b> <MtgRef>	[1..1]			193
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		193
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		193
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		194
	<b>Type</b> <Tp>	[1..1]	CodeSet		194
	<b>Classification</b> <Clssfctn>	[0..1]	±		194
	<b>Location</b> <Lctn>	[0..5]	±		194
	<b>Issuer</b> <Issr>	[0..1]	±		195
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C8, C9, C11, C12, C13	195
	<b>ToBeCancelledInstruction</b> <ToBeCanclInstr>	[0..*]			197
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		198
	<b>InstructedPosition</b> <InstdPos>	[0..1]		C1, C2, C3, C5, C14	198
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		200
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWllt>	[0..1]	Text		200
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			201
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		201
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		201
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		202
	<b>InstructedBalance</b> <InstdBal>	[1..15]			202
	<b>Balance</b> <Bal>	[1..1]	±		203
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		203
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		204
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			205
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		205

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		205
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	206

## 7.3 Constraints

The tick symbol ( ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### C1 AccountIdentificationOrBlockchainAddress1Rule

(Rule)

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both. (CrossElementSimpleRule)

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C2 AccountIdentificationOrBlockchainAddress2Rule

(Rule)

If BlockchainAddressOrWallet is present then AccountIdentification must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C3 AccountIdentificationOrBlockchainAddress3Rule

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C4 AnyBIC

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

#### **C5 BalanceQall1Rule**

(Rule)

If SubAccountIdentification is present, then InstructedBalance/Balance/Quantity/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00087
- *Error Text:* Invalid message content for Identification

#### **C6 Cancellation3Guideline**

(Guideline)

It is recommended that the request to cancel a meeting instruction with the use of MeetingInstructionIdentification only and that the optional elements are avoided, unless otherwise specified by market practice rules and or legislation. If any optional elements are included, they must be identical to the information in the meeting instruction message being cancelled.

*This constraint is defined at the MessageDefinition level.*

#### **C7 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

#### **C8 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**C9 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

**C10 DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C11 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C12 ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**C13 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**C14 RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C15 SupplementaryDataRule**

(Rule)



This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 7.4.1 MeetingInstructionIdentification <MtgInstrId>

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

*Datatype:* [Max35Text on page 443](#)

### 7.4.2 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		193
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		193
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		194
	<b>Type</b> <Tp>	[1..1]	CodeSet		194
	<b>Classification</b> <Clssfctn>	[0..1]	±		194
	<b>Location</b> <Lctn>	[0..5]	±		194
	<b>Issuer</b> <Issr>	[0..1]	±		195

#### 7.4.2.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* [Max35Text on page 443](#)

#### 7.4.2.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* [Max35Text on page 443](#)

### 7.4.2.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* [ISODateTime on page 438](#)

### 7.4.2.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* [MeetingType4Code on page 423](#)

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

### 7.4.2.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice on page 332](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

### 7.4.2.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

#### 7.4.2.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

### 7.4.3 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* [C8 DescriptionPresenceRule](#), [C9 DescriptionUsageRule](#), [C11 ISINGuideline](#),  
[C12 ISINPresenceRule](#), [C13 OtherIdentificationPresenceRule](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19 on page 306](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrlId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

### Constraints

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

    /Description is absent

Following Must be True

    /ISIN Must be present

    Or /OtherIdentification[\*] Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition

    /ISIN is absent

Following Must be True

    /OtherIdentification[\*] Must be present

    Or /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

• **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

    /OtherIdentification[\*] is absent

Following Must be True

    /ISIN Must be present

Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

## 7.4.4       **ToBeCancelledInstruction <ToBeCancelInstr>**

*Presence:* [0..\*]

*Definition:* Specifies one or more instructions for which the cancellation is requested.

**ToBeCancelledInstruction** <ToBeCanclInstr> contains the following **CancellInstruction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		198
	<b>InstructedPosition</b> <InstdPos>	[0..1]		C1, C2, C3, C5, C14	198
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		200
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		200
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			201
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		201
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		201
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		202
	<b>InstructedBalance</b> <InstdBal>	[1..15]			202
	<b>Balance</b> <Bal>	[1..1]	±		203
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		203
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		204
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			205
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		205
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		205

#### 7.4.4.1 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the individual instruction previously sent.

*Datatype:* Max35Text on page 443

#### 7.4.4.2 InstructedPosition <InstdPos>

*Presence:* [0..1]

*Definition:* Account and instructed positions to which the meeting instruction cancellation request applies.

*Impacted by:* C1 AccountIdentificationOrBlockChainAddress1Rule, C2 AccountIdentificationOrBlockChainAddress2Rule, C3 AccountIdentificationOrBlockChainAddress3Rule, C5 BalanceQall1Rule, C14 RightsHolderMultiplicity1Guideline

**InstructedPosition <InstdPos>** contains the following **SafekeepingAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		200
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		200
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			201
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		201
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		201
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		202
	<b>InstructedBalance</b> <InstdBal>	[1..15]			202
	<b>Balance</b> <Bal>	[1..1]	±		203
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		203
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		204
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			205
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		205
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		205

**Constraints**

• **AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

    /AccountIdentification Must be present

Or     /BlockChainAddressOrWallet Must be present

*Error handling:*

– *Error severity:* Warning

– *Error Code:* X00551

– *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

• **AccountIdentificationOrBlockChainAddress2Rule**

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

    /BlockChainAddressOrWallet is present

Following Must be True

    /AccountIdentification Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition

/AccountIdentification is present

Following Must be True

/BlockchainAddressOrWallet Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **BalanceQall1Rule**

(Rule)

If SubAccountIdentification is present, then InstructedBalance/Balance/Quantity/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present

Following Must be True

/InstructedBalance[\*]/Balance/Quantity/Code Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00087
- *Error Text:* Invalid message content for Identification

- **RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### 7.4.4.2.1 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the securities account between the account owner and the account servicer.

*Datatype:* Max35Text on page 443

#### 7.4.4.2.2 BlockchainAddressOrWallet <BlockchainAdrOrWllt>

*Presence:* [0..1]



*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* [Max140Text](#) on page 442

#### 7.4.4.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		201
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		201

##### 7.4.4.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

##### 7.4.4.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 7.4.4.2.4 SubAccountIdentification <SubAcctId>

*Presence:* [0..1]

*Definition:* Identification of a subaccount within the safekeeping account.

*Datatype:* [Max35Text](#) on page 443

#### 7.4.4.2.5 InstructedBalance <InstdBal>

*Presence:* [1..15]

*Definition:* Quantity of securities in the sub-balance.

**InstructedBalance <InstdBal>** contains the following **HoldingBalance12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]	±		203
	<b>BalanceType</b> <BalTp>	[0..1]	CodeSet		203
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		204

#### 7.4.4.2.5.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following elements (see [SignedQuantityFormat15](#) on page 395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		395
	Quantity <Qty>	[1..1]		C9	396
{Or	Unit <Unit>	[1..1]	Quantity		396
Or	FaceAmount <FaceAmt>	[1..1]	Amount		396
Or	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		396
Or}	Code <Cd>	[1..1]	CodeSet		396

#### 7.4.4.2.5.2 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* [SecuritiesEntryType2Code](#) on page 432

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).

CodeName	Name	Definition
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 7.4.4.2.5.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see [SafekeepingPlaceFormat28Choice](#) on page 397 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			397
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398
Or	Country <Ctry>	[1..1]	CodeSet	C3	398
Or	TypeAndIdentification <TpAndId>	[1..1]			398
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399
Or}	Proprietary <Prtry>	[1..1]	±		399

#### 7.4.4.2.6 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		205
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		205

##### 7.4.4.2.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

##### 7.4.4.2.6.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

## 7.4.5 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C15 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1](#) on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

## Constraints

- **SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 8 **MX seev.006.001.09** **MeetingInstructionStatusV09**

## 8.1 **MessageDefinition Functionality**

### Scope

The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message.

The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.

### Usage

The MeetingInstructionStatus message is used for four purposes.

First, it is used to provide a global processing or rejection status of a MeetingInstruction message.

Second, it provides the status on the processing of a MeetingInstructionCancellationRequest message, for example, whether the request message is rejected or accepted.

Third, it is used to provide a detailed processing or rejection status of one or more instructions within the MeetingInstruction message, for example, for each instruction in the MeetingInstruction message the processing or rejection status is individually reported by using the SingleInstructionIdentification element. This identification allows the receiver of the status message to link the status confirmation to its original instruction.

Fourth, it is used to confirm that the related vote instruction has been confirmed as cast by the issuer or its agent.

This message definition is intended for use with the Business Application Header (BAH).

### Outline

The MeetingInstructionStatusV09 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. InstructionType  
Type of instruction.
- B. MeetingReference  
Set of elements to allow the unambiguous identification of a meeting.
- C. FinancialInstrumentIdentification  
Security for which the meeting is organised.
- D. InstructionTypeStatus  
Type of instruction status.
- E. Position  
Balance status for securities that are eligible for the vote.
- F. ConfirmingParty



Party that confirms the receipt of the vote cast.

G. VoteCastingParty

Party that cast the voting ballot.

H. RightsHolder

Owner of the voting rights.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrSts>	[1..1]		C19, C20	
	<b>InstructionType</b> <InstrTp>	[1..1]			216
{Or	<b>InstructionIdentification</b> <InstrId>	[1..1]	Text		216
Or}	<b>InstructionCancellationIdentification</b> <InstrCxIId>	[1..1]	Text		216
	<b>MeetingReference</b> <MtgRef>	[1..1]			216
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		217
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		217
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		217
	<b>Type</b> <Tp>	[1..1]	CodeSet		217
	<b>Classification</b> <Clssfctn>	[0..1]	±		218
	<b>Location</b> <Lctn>	[0..5]	±		218
	<b>Issuer</b> <Issr>	[0..1]	±		218
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C12, C13, C16, C17, C18	219
	<b>InstructionTypeStatus</b> <InstrTpSts>	[1..1]			220
{Or	<b>InstructionStatus</b> <InstrSts>	[1..*]		C21	221
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		222
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		222
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		222
	<b>InstructionStatus</b> <InstrSts>	[1..1]			222
{Or	<b>ProcessingStatus</b> <ProgSts>	[1..1]	±	C10	222
Or	<b>Rejected</b> <Rjctd>	[1..1]	±		223
Or}	<b>Pending</b> <Pdg>	[1..1]	±		223
Or}	<b>CancellationStatus</b> <CxISts>	[1..1]	±		224
	<b>Position</b> <Pos>	[0..1]		C1, C2, C3, C15	224
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		226
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWllt>	[0..1]	Text		226

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			226
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		226
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		227
	<b>HoldingBalance</b> <HldgBal>	[1..3]			228
	<b>Balance</b> <Bal>	[1..1]	±		228
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		229
	<b>ConfirmingParty</b> <CnfrmgPty>	[1..1]			229
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		229
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		230
	<b>VoteCastingParty</b> <VoteCstgPty>	[1..1]			231
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		231
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		232
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			233
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		233
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		234
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C23	235

## 8.3 Constraints

The tick symbol ( ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### C1 AccountIdentificationOrBlockchainAddress1Rule

(Rule)

Either AccountIdentification or BlockchainAddressOrWallet must be present but not both. (CrossElementSimpleRule)

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### C2 AccountIdentificationOrBlockchainAddress2Rule

(Rule)

If BlockchainAddressOrWallet is present then AccountIdentification must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**C3 AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

**C4 AccountServicerDeadlineMissedGuideline**

(Rule)

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

**C5 AdditionalReasonInformationRule**

(Rule)

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**C6 AdditionalReasonInformationRule**

(Rule)

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**C7 AdditionalReasonInformationRule**

(Rule)

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**C8 AdditionalReasonInformationRule**

(Rule)

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

**C9 AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the

ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

**C10 AttendanceCardNumber1Rule**

(Rule)

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed). (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00493
- *Error Text:* Invalid Status code value. AttendanceConfirmed should be present.

**C11 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**C12 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**C13 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

**C14 DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C15 HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**C16 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C17 ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**C18 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**C19 Status1Rule**

(Rule)

If InstructionType/InstructionIdentification is present, then InstructionTypeStatus/InstructionStatus must be present. (CrossElementComplexRule)

On Condition

    /InstructionType/InstructionIdentification is present

Following Must be True

    /InstructionTypeStatus/InstructionStatus[\*] Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00081
- *Error Text:* Invalid message content for InstructionIdentification

*This constraint is defined at the MessageDefinition level.*

**C20 Status2Rule**

(Rule)

If InstructionType/InstructionCancellationIdentification is present, then InstructionTypeStatus/CancellationStatus must be present. (CrossElementComplexRule)

On Condition

/InstructionType/InstructionCancellationIdentification is present

Following Must be True

/InstructionTypeStatus/CancellationStatus Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00082
- *Error Text:* Invalid message content for InstructionCancellationIdentification

*This constraint is defined at the MessageDefinition level.*

**C21 SubAccount1Rule**

(Rule)

If SubAccountIdentification is present, then AccountIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

**C22 SubAccount1Rule**

(Rule)

If SubAccountIdentification is present, then AccountIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

**C23 SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 8.4.1 InstructionType <InstrTp>

*Presence:* [1..1]

*Definition:* Type of instruction.

**InstructionType <InstrTp>** contains one of the following **InstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>InstructionIdentification</b> <InstrId>	[1..1]	Text		216
Or}	<b>InstructionCancellationIdentification</b> <InstrCxId>	[1..1]	Text		216

#### 8.4.1.1 InstructionIdentification <InstrId>

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction message for which the global status or the status(es) of one or more individual instructions are provided.

*Datatype:* [Max35Text on page 443](#)

#### 8.4.1.2 InstructionCancellationIdentification <InstrCxId>

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction cancellation request message for which the global status or the status(es) of one or more individual instruction cancellation requests are provided.

*Datatype:* [Max35Text on page 443](#)

### 8.4.2 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.



**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		217
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		217
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		217
	<b>Type</b> <Tp>	[1..1]	CodeSet		217
	<b>Classification</b> <Clssfctn>	[0..1]	±		218
	<b>Location</b> <Lctn>	[0..5]	±		218
	<b>Issuer</b> <Issr>	[0..1]	±		218

#### 8.4.2.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* [Max35Text](#) on page 443

#### 8.4.2.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* [Max35Text](#) on page 443

#### 8.4.2.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* [ISODateTime](#) on page 438

#### 8.4.2.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* [MeetingType4Code](#) on page 423

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least

CodeName	Name	Definition
		once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 8.4.2.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

#### 8.4.2.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

#### 8.4.2.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

### 8.4.3 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* [C12 DescriptionPresenceRule](#), [C13 DescriptionUsageRule](#), [C16 ISINGuideline](#), [C17 ISINPresenceRule](#), [C18 OtherIdentificationPresenceRule](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19](#) on page 306 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

#### Constraints

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

*Error handling:*

– *Error severity:* Fatal

– *Error Code:* X00192

– *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

- **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

## 8.4.4 InstructionTypeStatus <InstrTpSts>

*Presence:* [1..1]

*Definition:* Type of instruction status.

**InstructionTypeStatus <InstrTpSts>** contains one of the following **InstructionTypeStatus5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>InstructionStatus</b> <InstrSts>	[1..*]		C21	221
	<b>SingleInstructionIdentification</b> <SnglInstrld>	[1..1]	Text		222
	<b>AccountIdentification</b> <Acctld>	[0..1]	Text		222
	<b>SubAccountIdentification</b> <SubAcctld>	[0..1]	Text		222
	<b>InstructionStatus</b> <InstrSts>	[1..1]			222
{Or	<b>ProcessingStatus</b> <PrcgSts>	[1..1]	±	C10	222
Or	<b>Rejected</b> <Rjctd>	[1..1]	±		223
Or}	<b>Pending</b> <Pdg>	[1..1]	±		223
Or}	<b>CancellationStatus</b> <CxlSts>	[1..1]	±		224

#### 8.4.4.1 InstructionStatus <InstrSts>

*Presence:* [1..\*]

*Definition:* Status of each individual meeting instruction(s) included in the instruction message identified in InstructionType/InstructionIdentification.

*Impacted by:* C21 SubAccount1Rule

**InstructionStatus <InstrSts>** contains the following **DetailedInstructionStatus16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrld>	[1..1]	Text		222
	<b>AccountIdentification</b> <Acctld>	[0..1]	Text		222
	<b>SubAccountIdentification</b> <SubAcctld>	[0..1]	Text		222
	<b>InstructionStatus</b> <InstrSts>	[1..1]			222
{Or	<b>ProcessingStatus</b> <PrcgSts>	[1..1]	±	C10	222
Or	<b>Rejected</b> <Rjctd>	[1..1]	±		223
Or}	<b>Pending</b> <Pdg>	[1..1]	±		223

#### Constraints

- **SubAccount1Rule**

(Rule)

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

    /SubAccountIdentification is present

Following Must be True

    /AccountIdentification Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

**8.4.4.1.1 SingleInstructionIdentification <SnglInstrId>**

*Presence:* [1..1]

*Definition:* Identification of the specific individual instruction from the original meeting instruction message element InstructionIdentification, for which the status is provided.

*Datatype:* Max35Text on page 443

**8.4.4.1.2 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* Max35Text on page 443

**8.4.4.1.3 SubAccountIdentification <SubAcctId>**

*Presence:* [0..1]

*Definition:* Identification of the subaccount within the safekeeping account.

*Datatype:* Max35Text on page 443

**8.4.4.1.4 InstructionStatus <InstrSts>**

*Presence:* [1..1]

*Definition:* Status of the individual meeting instruction.

**InstructionStatus <InstrSts>** contains one of the following **InstructionStatus11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessingStatus</b> <PrcgSts>	[1..1]	±	C10	222
Or	<b>Rejected</b> <Rjctd>	[1..1]	±		223
Or}	<b>Pending</b> <Pdg>	[1..1]	±		223

**8.4.4.1.4.1 ProcessingStatus <PrcgSts>**

*Presence:* [1..1]

*Definition:* Status advising on the processing of the instruction.

*Impacted by:* C10 AttendanceCardNumber1Rule

**ProcessingStatus <PrcgSts>** contains the following elements (see [InstructionProcessingStatus5](#) on page 317 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		317
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		318
	AdditionalInformation <AddtlInf>	[0..1]	Text		318

### Constraints

- **AttendanceCardNumber1Rule**

(Rule)

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

On Condition

/AttendanceCardNumber is present

Following Must be True

/Status Must be equal to value 'AttendanceConfirmed'

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00493
- *Error Text:* Invalid Status code value. AttendanceConfirmed should be present.

#### 8.4.4.1.4.2 Rejected <Rjctd>

*Presence:* [1..1]

*Definition:* Status advising on the rejection of the instruction and on the reason for the rejection.

**Rejected <Rjctd>** contains one of the following elements (see [RejectedStatus44Choice](#) on page 402 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpofdRsn>	[1..1]	CodeSet		402
Or}	Reason <Rsn>	[1..*]		C5	402
	ReasonCode <RsnCd>	[1..1]			403
{Or	Code <Cd>	[1..1]	CodeSet		403
Or}	Proprietary <Prtry>	[1..1]	±		404
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		405

#### 8.4.4.1.4.3 Pending <Pdg>

*Presence:* [1..1]

*Definition:* Status advising that the instruction is pending and advising on the reason for the pending status.

**Pending <Pdg>** contains one of the following elements (see [PendingStatus55Choice](#) on page 401 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		401
Or}	Reason <Rsn>	[1..*]		C6	401
	ReasonCode <RsnCd>	[1..1]	±		402
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		402

#### 8.4.4.2 CancellationStatus <CxISts>

*Presence:* [1..1]

*Definition:* Status of the meeting instruction cancellation request message or of the individual meeting instruction cancellation request(s). The message is identified in InstructionType/ InstructionCancellationIdentification.

**CancellationStatus <CxISts>** contains one of the following elements (see [CancellationStatus27Choice](#) on page 405 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GblCxISts>	[1..1]	±		405
Or}	DetailedCancellationStatus <DtldCxISts>	[1..*]		C22	405
	SingleInstructionCancellationIdentification <SnglInstrCxIld>	[1..1]	Text		406
	AccountIdentification <AcctId>	[0..1]	Text		406
	SubAccountIdentification <SubAcctId>	[0..1]	Text		406
	InstructionCancellationStatus <InstrCxISts>	[1..1]	±		407

#### 8.4.5 Position <Pos>

*Presence:* [0..1]

*Definition:* Balance status for securities that are eligible for the vote.

*Impacted by:* [C1 AccountIdentificationOrBlockChainAddress1Rule](#), [C2 AccountIdentificationOrBlockChainAddress2Rule](#), [C3 AccountIdentificationOrBlockChainAddress3Rule](#), [C15 HoldingBalanceTypeRule](#)



**Position <Pos>** contains the following **EligiblePosition17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		226
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		226
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			226
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		226
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		227
	<b>HoldingBalance</b> <HldgBal>	[1..3]			228
	<b>Balance</b> <Bal>	[1..1]	±		228
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		229

**Constraints**

- **AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

```

    /AccountIdentification Must be present
    Or    /BlockChainAddressOrWallet Must be present
    
```

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockChainAddress2Rule**

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

```

    /BlockChainAddressOrWallet is present
    Following Must be True
    /AccountIdentification Must be absent
    
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockChainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent.

On Condition  
     /AccountIdentification is present  
 Following Must be True  
     /BlockchainAddressOrWallet Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

• **HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**8.4.5.1 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* [Max35Text on page 443](#)

**8.4.5.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>**

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* [Max140Text on page 442](#)

**8.4.5.3 AccountOwner <AcctOwnr>**

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		226
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		227

**8.4.5.3.1 LegalPerson <LglPrsn>**

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 8.4.5.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 8.4.5.4 HoldingBalance <HldgBal>

*Presence:* [1..3]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]	±		228
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		229

##### 8.4.5.4.1 Balance <Bal>

*Presence:* [1..1]

*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following elements (see [SignedQuantityFormat14](#) on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		394
	Quantity <Qty>	[1..1]		C9	394
{Or	Unit <Unit>	[1..1]	Quantity		395
Or	FaceAmount <FaceAmt>	[1..1]	Amount		395
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		395

#### 8.4.5.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* [SecuritiesEntryType3Code](#) on page 433

CodeName	Name	Definition
ELIG	Eligible	Total number of securities eligible for this event.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.

### 8.4.6 ConfirmingParty <CnfrmgPty>

*Presence:* [1..1]

*Definition:* Party that confirms the receipt of the vote cast.

**ConfirmingParty <CnfrmgPty>** contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		229
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		230

#### 8.4.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification224](#) on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			361
	Name <Nm>	[1..1]	Text		362
	Address <Adr>	[0..1]			362
	AddressType <AdrTp>	[0..1]	CodeSet		362
	AddressLine <AdrLine>	[0..5]	Text		362
	StreetName <StrtNm>	[0..1]	Text		363
	BuildingNumber <BldgNb>	[0..1]	Text		363
	PostBox <PstBx>	[0..1]	Text		363
	PostCode <PstCd>	[0..1]	Text		363
	TownName <TwnNm>	[0..1]	Text		363
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		363
	Country <Ctry>	[1..1]	CodeSet	C3	363
	EmailAddress <EmailAdr>	[0..1]	Text		364
	Identification <Id>	[0..1]	±		364

#### 8.4.6.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification222](#) on page 365 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			366
	FirstName <FrstNm>	[1..1]	Text		367
	Surname <SrnM>	[1..1]	Text		367
	Address <Adr>	[0..1]			367
	AddressType <AdrTp>	[0..1]	CodeSet		368
	AddressLine <AdrLine>	[0..5]	Text		368
	StreetName <StrtNm>	[0..1]	Text		368
	BuildingNumber <BldgNb>	[0..1]	Text		368
	PostBox <PstBx>	[0..1]	Text		369
	PostCode <PstCd>	[0..1]	Text		369
	TownName <TwnNm>	[0..1]	Text		369
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		369
	Country <Ctry>	[1..1]	CodeSet	C3	369
	EmailAddress <EmailAdr>	[0..1]	Text		370
	Identification <Id>	[1..1]	±		370

## 8.4.7 VoteCastingParty <VoteCstgPty>

*Presence:* [1..1]

*Definition:* Party that cast the voting ballot.

**VoteCastingParty <VoteCstgPty>** contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson &lt;LglPrsn&gt;</b>	[1..1]	±		231
Or}	<b>NaturalPerson &lt;NtrlPrsn&gt;</b>	[1..1]	±		232

### 8.4.7.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification224](#) on page 360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			361
	Name <Nm>	[1..1]	Text		362
	Address <Adr>	[0..1]			362
	AddressType <AdrTp>	[0..1]	CodeSet		362
	AddressLine <AdrLine>	[0..5]	Text		362
	StreetName <StrtNm>	[0..1]	Text		363
	BuildingNumber <BldgNb>	[0..1]	Text		363
	PostBox <PstBx>	[0..1]	Text		363
	PostCode <PstCd>	[0..1]	Text		363
	TownName <TwnNm>	[0..1]	Text		363
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		363
	Country <Ctry>	[1..1]	CodeSet	C3	363
	EmailAddress <EmailAdr>	[0..1]	Text		364
	Identification <Id>	[0..1]	±		364

#### 8.4.7.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.



**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification222](#) on page 365 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			366
	FirstName <FrstNm>	[1..1]	Text		367
	Surname <SrnM>	[1..1]	Text		367
	Address <Adr>	[0..1]			367
	AddressType <AdrTp>	[0..1]	CodeSet		368
	AddressLine <AdrLine>	[0..5]	Text		368
	StreetName <StrtNm>	[0..1]	Text		368
	BuildingNumber <BldgNb>	[0..1]	Text		368
	PostBox <PstBx>	[0..1]	Text		369
	PostCode <PstCd>	[0..1]	Text		369
	TownName <TwnNm>	[0..1]	Text		369
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		369
	Country <Ctry>	[1..1]	CodeSet	C3	369
	EmailAddress <EmailAdr>	[0..1]	Text		370
	Identification <Id>	[1..1]	±		370

## 8.4.8 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		233
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		234

### 8.4.8.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorptrn>	[0..1]	CodeSet	C3	344

#### 8.4.8.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

## 8.4.9 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C23 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1](#) on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

## Constraints

- **SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 9 **MX seev.007.001.09**

## **MeetingVoteExecutionConfirmationV09**

### 9.1 **MessageDefinition Functionality**

#### Scope

The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.

#### Usage

This message is sent after the shareholders meeting has taken place. The Sender of this message confirms the execution of the vote at the meeting.

This messages is sent if the Sender of the MeetingInstruction message has requested such a confirmation or if market practice or regulation stipulates the need for a full audit trail.

This message definition is intended for use with the Business Application Header (BAH).

#### Outline

The MeetingVoteExecutionConfirmationV09 MessageDefinition is composed of 8 MessageBuildingBlocks:

#### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

#### B. VoteExecutionConfirmationIdentification

Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

#### C. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the vote execution confirmation is provided.

#### D. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

#### E. FinancialInstrumentIdentification

Security for which the meeting is organised.

#### F. VoteInstructions

Specifies how a party has voted for each agenda item.

#### G. VoteInstructionsConfirmationURLAddress

Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

#### H. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

## 9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgVoteExctnConf>	[1..1]			
	<b>Pagination</b> <Pgntn>	[0..1]	±		242
	<b>VoteExecutionConfirmationIdentification</b> <VoteExctnConfId>	[0..1]	Text		243
	<b>MeetingInstructionIdentification</b> <MtgInstrId>	[1..1]	Text		243
	<b>MeetingReference</b> <MtgRef>	[1..1]			243
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		243
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		243
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		244
	<b>Type</b> <Tp>	[1..1]	CodeSet		244
	<b>Classification</b> <Clssfctn>	[0..1]	±		244
	<b>Location</b> <Lctn>	[0..5]	±		244
	<b>Issuer</b> <Issr>	[0..1]	±		245
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	245
	<b>VoteInstructions</b> <VoteInstrs>	[0..*]		C8, C9	247
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		249
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		249
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			249
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		250
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		250
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		251
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			251
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		252
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		252
	<b>Proxy</b> <Prxy>	[0..1]			253
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		253
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		254
	<b>StandingInstruction</b> <StglInstr>	[0..1]	Indicator		255
	<b>ModalityOfCounting</b> <ModltyOfCntg>	[1..1]	±		255

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>VoteReceiptDateTime</b> <VoteRctDtTm>	[0..1]	±		256
	<b>VotePerResolution</b> <VotePerRsln>	[0..1000]			256
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		257
	<b>Description</b> <Desc>	[0..*]			257
	<b>Language</b> <Lang>	[1..1]	CodeSet		258
	<b>Title</b> <Titl>	[0..1]	Text		258
	<b>Description</b> <Desc>	[0..1]	Text		258
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		258
	<b>For</b> <For>	[0..1]	±		258
	<b>Against</b> <Agnst>	[0..1]	±		259
	<b>Abstain</b> <Abstn>	[0..1]	±		259
	<b>Withhold</b> <Wthhld>	[0..1]	±		259
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		259
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		260
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		260
	<b>OneYear</b> <OneYr>	[0..1]	±		260
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		260
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		261
	<b>NoAction</b> <NoActn>	[0..1]	±		261
	<b>Blank</b> <Blnk>	[0..1]	±		261
	<b>Proprietary</b> <Prtry>	[0..4]	±		261
	<b>Withdrawn</b> <Wdrwn>	[0..1]	Indicator		262
	<b>VoteInstructionsConfirmationURLAddress</b> <VoteInstrsConfURLAdr>	[0..1]	Text		262
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C10	262

## 9.3 Constraints

The tick symbol ( ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### C1 AnyBIC

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the



ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

## **C2 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

## **C3 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

## **C4 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

## **C5 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

## **C6 ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**C7 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**C8 RightsHolderMultiplicity1Guideline**

(Rule)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C9 SubAccount1Rule**

(Rule)

If SubAccountIdentification is present, then AccountIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

**C10 SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 9.4.1 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part vote execution confirmation message is to continue or that the message is the last page of the multi-part vote execution confirmation.

**Pagination <Pgntn>** contains the following elements (see [Pagination1](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		333
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		333

## 9.4.2 VoteExecutionConfirmationIdentification <VoteExctnConfld>

*Presence:* [0..1]

*Definition:* Unique identification of the group of vote execution confirmation messages when the vote execution confirmation is split in multiple (paginated) messages.

*Datatype:* [Max35Text on page 443](#)

## 9.4.3 MeetingInstructionIdentification <MtgInstrId>

*Presence:* [1..1]

*Definition:* Identification of the original meeting instruction message for which the vote execution confirmation is provided.

*Datatype:* [Max35Text on page 443](#)

## 9.4.4 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		243
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		243
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		244
	<b>Type</b> <Tp>	[1..1]	CodeSet		244
	<b>Classification</b> <Clssfctn>	[0..1]	±		244
	<b>Location</b> <Lctn>	[0..5]	±		244
	<b>Issuer</b> <Issr>	[0..1]	±		245

### 9.4.4.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* [Max35Text on page 443](#)

### 9.4.4.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* [Max35Text on page 443](#)

### 9.4.4.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* [ISODateTime](#) on page 438

### 9.4.4.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* [MeetingType4Code](#) on page 423

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

### 9.4.4.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

### 9.4.4.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.

**Location <Lctn>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

#### 9.4.4.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

#### 9.4.5 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Security for which the meeting is organised.

*Impacted by:* [C3 DescriptionPresenceRule](#) \_\_, [C4 DescriptionUsageRule](#), [C5 ISINGuideline](#), [C6 ISINPresenceRule](#) \_\_, [C7 OtherIdentificationPresenceRule](#) \_\_

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19 on page 306](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrlId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

**Constraints**

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

• **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

    /OtherIdentification[\*] is absent

Following Must be True

    /ISIN Must be present

Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

## 9.4.6           **VoteInstructions <VoteInstrs>**

*Presence:* [0..\*]

*Definition:* Specifies how a party has voted for each agenda item.

*Impacted by:* C8 RightsHolderMultiplicity1Guideline,   C9 SubAccount1Rule

**VoteInstructions <VoteInstrs>** contains the following **DetailedInstructionStatus18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SingleInstructionIdentification</b> <SnglInstrId>	[1..1]	Text		249
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		249
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			249
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		250
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		250
	<b>SubAccountIdentification</b> <SubAcctId>	[0..1]	Text		251
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			251
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		252
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		252
	<b>Proxy</b> <Prxy>	[0..1]			253
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		253
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		254
	<b>StandingInstruction</b> <StgInstr>	[0..1]	Indicator		255
	<b>ModalityOfCounting</b> <ModltyOfCntg>	[1..1]	±		255
	<b>VoteReceiptDateTime</b> <VoteRctDtTm>	[0..1]	±		256
	<b>VotePerResolution</b> <VotePerRsltn>	[0..1000]			256
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		257
	<b>Description</b> <Desc>	[0..*]			257
	<b>Language</b> <Lang>	[1..1]	CodeSet		258
	<b>Title</b> <Titl>	[0..1]	Text		258
	<b>Description</b> <Desc>	[0..1]	Text		258
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		258
	<b>For</b> <For>	[0..1]	±		258
	<b>Against</b> <Agnst>	[0..1]	±		259
	<b>Abstain</b> <Abstn>	[0..1]	±		259
	<b>Withhold</b> <Withld>	[0..1]	±		259
	<b>WithManagement</b> <WithMgmt>	[0..1]	±		259
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		260
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		260
	<b>OneYear</b> <OneYr>	[0..1]	±		260
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		260



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		261
	<b>NoAction</b> <NoActn>	[0..1]	±		261
	<b>Blank</b> <Blnk>	[0..1]	±		261
	<b>Proprietary</b> <Prtry>	[0..4]	±		261
	<b>Withdrawn</b> <Wdrwn>	[0..1]	Indicator		262

### Constraints

- **RightsHolderMultiplicity1Guideline**

(Rule)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

- **SubAccount1Rule**

(Rule)

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

    /SubAccountIdentification is present

Following Must be True

    /AccountIdentification Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

#### 9.4.6.1 SingleInstructionIdentification <SnglInstrId>

*Presence:* [1..1]

*Definition:* Identification of the specific individual instruction from the original meeting instruction message for which the confirmation is provided.

*Datatype:* [Max35Text on page 443](#)

#### 9.4.6.2 AccountIdentification <AcctId>

*Presence:* [0..1]

*Definition:* Identification of the safekeeping account.

*Datatype:* [Max35Text on page 443](#)

#### 9.4.6.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		250
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		250

#### 9.4.6.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 9.4.6.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 9.4.6.4 SubAccountIdentification <SubAcctId>

*Presence:* [0..1]

*Definition:* Identification of the subaccount within the safekeeping account.

*Datatype:* [Max35Text](#) on page 443

#### 9.4.6.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		252
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		252

### 9.4.6.5.1 LegalPerson <LgIPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

### 9.4.6.5.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

#### 9.4.6.6 Proxy <Prxy>

*Presence:* [0..1]

*Definition:* Identification of the person appointed by the security holder as the proxy.

**Proxy <Prxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		253
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..1]	±		254

#### 9.4.6.6.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 9.4.6.6.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..1]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 9.4.6.7 StandingInstruction <StglInstr>

*Presence:* [0..1]

*Definition:* Indicates whether standing instructions have been applied or not.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 9.4.6.8 ModalityOfCounting <ModltyOfCntg>

*Presence:* [1..1]

*Definition:* Modality through which the votes that have been recorded and counted were received by the issuer, including whether this is ahead of the meeting or at the meeting.

**ModalityOfCounting <ModltyOfCntg>** contains one of the following elements (see [ModalityOfCounting1Choice](#) on page 327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328

#### 9.4.6.9 VoteReceiptDateTime <VoteRctDtTm>

*Presence:* [0..1]

*Definition:* Date or date and time at which the votes that have been recorded and counted were received.

**VoteReceiptDateTime <VoteRctDtTm>** contains one of the following elements (see [DateAndDateTime1Choice](#) on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		293
Or}	DateTime <DtTm>	[1..1]	DateTime		294

#### 9.4.6.10 VotePerResolution <VotePerRsltn>

*Presence:* [0..1000]

*Definition:* Details of the vote.



**VotePerResolution <VotePerRsItn>** contains the following **Vote17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		257
	<b>Description</b> <Desc>	[0..*]			257
	<b>Language</b> <Lang>	[1..1]	CodeSet		258
	<b>Title</b> <Titl>	[0..1]	Text		258
	<b>Description</b> <Desc>	[0..1]	Text		258
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		258
	<b>For</b> <For>	[0..1]	±		258
	<b>Against</b> <Agnst>	[0..1]	±		259
	<b>Abstain</b> <Abstn>	[0..1]	±		259
	<b>Withhold</b> <Wthhld>	[0..1]	±		259
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		259
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		260
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		260
	<b>OneYear</b> <OneYr>	[0..1]	±		260
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		260
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		261
	<b>NoAction</b> <NoActn>	[0..1]	±		261
	<b>Blank</b> <Blnk>	[0..1]	±		261
	<b>Proprietary</b> <Prtry>	[0..4]	±		261
	<b>Withdrawn</b> <Wdrwn>	[0..1]	Indicator		262

#### 9.4.6.10.1 IssuerLabel <IssrLbl>

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* Max35Text on page 443

#### 9.4.6.10.2 Description <Desc>

*Presence:* [0..\*]

*Definition:* Language, title and textual description of an agenda resolution.

**Description <Desc>** contains the following **ItemDescription1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		258
	<b>Title</b> <Titl>	[0..1]	Text		258
	<b>Description</b> <Desc>	[0..1]	Text		258

#### 9.4.6.10.2.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

*Datatype:* [ISO2ALanguageCode on page 422](#)

#### 9.4.6.10.2.2 Title <Titl>

*Presence:* [0..1]

*Definition:* Abbreviated description of the item.

*Datatype:* [Max350Text on page 443](#)

#### 9.4.6.10.2.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Free textual description of the item in the specified language.

*Datatype:* [Max1025Text on page 442](#)

#### 9.4.6.10.3 SubStatus <SubSts>

*Presence:* [0..1]

*Definition:* Specifies whether the resolution is a new or an amended resolution.

*Datatype:* [ResolutionSubStatus1Code on page 431](#)

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

#### 9.4.6.10.4 For <For>

*Presence:* [0..1]

*Definition:* Number of votes in favour of one resolution.

**For <For>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice on page 400](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.5 Against <Agnst>

*Presence:* [0..1]

*Definition:* Number of votes against one resolution.

**Against <Agnst>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.6 Abstain <Abstn>

*Presence:* [0..1]

*Definition:* Number of votes expressed as abstain for one resolution.

**Abstain <Abstn>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.7 Withhold <Wthhld>

*Presence:* [0..1]

*Definition:* Number of votes withheld for one resolution.

**Withhold <Wthhld>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.8 WithManagement <WthMgmt>

*Presence:* [0..1]

*Definition:* Number of votes in line with the votes of the management.

**WithManagement <WthMgmt>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.9 AgainstManagement <AgnstMgmt>

*Presence:* [0..1]

*Definition:* Number of votes against the voting recommendation of the management.

**AgainstManagement <AgnstMgmt>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.10 Discretionary <Dscrtrny>

*Presence:* [0..1]

*Definition:* Number of votes for which decision is left to the party that will exercise the voting right.

**Discretionary <Dscrtrny>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.11 OneYear <OneYr>

*Presence:* [0..1]

*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.

**OneYear <OneYr>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.12 TwoYears <TwoYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of two years for "say on pay" type of resolution.

**TwoYears <TwoYrs>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.13 ThreeYears <ThreeYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of three years for "say on pay" type of resolution.

**ThreeYears <ThreeYrs>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.14 NoAction <NoActn>

*Presence:* [0..1]

*Definition:* Number of votes for which no action has been taken.

**NoAction <NoActn>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.15 Blank <Blnk>

*Presence:* [0..1]

*Definition:* Vote is cast as empty but the vote is counted.

**Blank <Blnk>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 9.4.6.10.16 Proprietary <Prtry>

*Presence:* [0..4]

*Definition:* Other type of vote expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [ProprietaryVote2](#) on page 322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		322
	Quantity <Qty>	[1..1]	±		323

#### 9.4.6.10.17 Withdrawn <Wdrwn>

*Presence:* [0..1]

*Definition:* Indicates the resolution was withdrawn at the meeting.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 9.4.7 VoteInstructionsConfirmationURLAddress <VoteInstrsConfURLAdr>

*Presence:* [0..1]

*Definition:* Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

*Datatype:* [Max2048Text](#) on page 443

### 9.4.8 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C10 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1](#) on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

#### Constraints

- **SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 10 MX seev.008.001.08 MeetingResultDisseminationV08

## 10.1 MessageDefinition Functionality

### Scope

The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

### Usage

The MeetingResultDissemination message is used to provide the vote results per resolution. It may also provide information on the level of participation.

This message is also used to notify an update or amendment to a previously sent MeetingResultDissemination message.

This message definition is intended for use with the Business Application Header (BAH).

### Outline

The MeetingResultDisseminationV08 MessageDefinition is composed of 10 MessageBuildingBlocks:

#### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

#### B. MeetingResultDisseminationIdentification

Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

#### C. MeetingResultsDisseminationType

Type of dissemination report, that is, whether the report is new or a replacement.

#### D. PreviousMeetingResultsDisseminationIdentification

Identification of the previously sent meeting result dissemination message.

#### E. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

#### F. Security

Securities for which the meeting is organised.

#### G. VoteResult

Results per resolution.

#### H. Participation

Information about the participation to the voting process.

I. AdditionalInformation

Information on where additional information can be received.

J. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.



## 10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgRsltDssmtn>	[1..1]		C13	
	<b>Pagination</b> <Pgntn>	[0..1]	±		270
	<b>MeetingResultDisseminationIdentification</b> <MtgRsltDssmtnId>	[0..1]	Text		270
	<b>MeetingResultsDisseminationType</b> <MtgRsltsDssmtnTp>	[1..1]	CodeSet		270
	<b>PreviousMeetingResultsDisseminationIdentification</b> <PrvsMtgRsltsDssmtnId>	[0..1]	Text		270
	<b>MeetingReference</b> <MtgRef>	[1..1]			271
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		271
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		271
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		271
	<b>Type</b> <Tp>	[1..1]	CodeSet		271
	<b>Classification</b> <Clssfctn>	[0..1]	±		272
	<b>Location</b> <Lctn>	[0..5]	±		272
	<b>Issuer</b> <Issr>	[0..1]	±		273
	<b>Security</b> <Scty>	[1..200]			273
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	274
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C9, C14	276
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		278
	<b>BlockchainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		278
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			279
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		279
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		279
	<b>HoldingBalance</b> <HldgBal>	[0..15]			280
	<b>Balance</b> <Bal>	[1..1]	±		280
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		281
	<b>SafekeepingPlace</b> <SfkgpPlc>	[0..1]	±		282
	<b>Date</b> <Dt>	[0..1]	Date		282

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			282
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		283
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		283
	<b>VoteResult</b> <VoteRslt>	[1..1000]			284
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		285
	<b>Description</b> <Desc>	[0..*]			285
	<b>Language</b> <Lang>	[1..1]	CodeSet		286
	<b>Title</b> <Titl>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>ResolutionStatus</b> <RsltnSts>	[1..1]	CodeSet		286
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		286
	<b>For</b> <For>	[0..1]	±		287
	<b>Against</b> <Agnst>	[0..1]	±		287
	<b>Abstain</b> <Abstn>	[0..1]	±		287
	<b>Withhold</b> <Wthhld>	[0..1]	±		287
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		288
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		288
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		288
	<b>OneYear</b> <OneYr>	[0..1]	±		288
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		289
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		289
	<b>NoAction</b> <NoActn>	[0..1]	±		289
	<b>Blank</b> <Blnk>	[0..1]	±		289
	<b>Proprietary</b> <Prtry>	[0..4]	±		290
	<b>Participation</b> <Prtcpn>	[0..1]			290
	<b>TotalNumberOfVotingRights</b> <TtlNbOfVtngRghts>	[0..1]	Quantity		290
	<b>PercentageOfVotingRights</b> <PctgOfVtngRghts>	[0..1]	Rate		290
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtlNbOfSciesOutsdng>	[0..1]	±		291
	<b>CalculationDate</b> <ClctnDt>	[0..1]	Date		291
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	±		291
	<b>SupplementaryData</b> <SplmtryData>	[0..*]	±	C15	291

## 10.3 Constraints

The tick symbol (  ) means that the rule is validated on the SWIFT Network, if the message is part of a solution for which validation is enabled.

### **C1 AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both. (CrossElementSimpleRule)

*Error handling:*

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### **C2 AccountIdentificationOrBlockChainAddress2Rule**

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### **C3 AccountIdentificationOrBlockChainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockChainAddressOrWallet must be absent. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

### **C4 AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters. (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

**C5 Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code). (Algorithm)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**C6 DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

**C7 DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

**C8 DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C9 HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

**C10 ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C11 ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

**C12 OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present. (CrossElementComplexRule)

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**C13 PreviousMeetingResultsDisseminationIdentificationRule**

(Rule)

If MeetingResultsDisseminationType is REPL (Replacement), then PreviousMeetingResultsDisseminationIdentification must be present. (CrossElementComplexRule)

On Condition

```
/MeetingResultsDisseminationType is equal to value 'Replacement'  
Following Must be True  
/PreviousMeetingResultsDisseminationIdentification Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00429
- *Error Text:* PreviousMeetingResultsDisseminationIdentification should be present.

*This constraint is defined at the MessageDefinition level.*

**C14 RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

**C15 SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

## 10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 10.4.1 Pagination <Pgntn>

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part result dissemination message is to continue or that the message is the last page of the multi-part result dissemination.

**Pagination <Pgntn>** contains the following elements (see [Pagination1](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		333
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		333

### 10.4.2 MeetingResultDisseminationIdentification <MtgRsltDssmntnId>

*Presence:* [0..1]

*Definition:* Unique identification of the group of result dissemination messages when the result dissemination is split in multiple (paginated) messages.

*Datatype:* [Max35Text](#) on page 443

### 10.4.3 MeetingResultsDisseminationType <MtgRsltsDssmntnTp>

*Presence:* [1..1]

*Definition:* Type of dissemination report, that is, whether the report is new or a replacement.

*Datatype:* [NotificationType2Code](#) on page 425

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

### 10.4.4 PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsltsDssmntnId>

*Presence:* [0..1]

*Definition:* Identification of the previously sent meeting result dissemination message.

*Datatype:* [Max35Text](#) on page 443

## 10.4.5 MeetingReference <MtgRef>

*Presence:* [1..1]

*Definition:* Set of elements to allow the unambiguous identification of a meeting.

**MeetingReference <MtgRef>** contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MeetingIdentification</b> <MtgId>	[1..1]	Text		271
	<b>IssuerMeetingIdentification</b> <IssrMtgId>	[0..1]	Text		271
	<b>MeetingDateAndTime</b> <MtgDtAndTm>	[1..1]	DateTime		271
	<b>Type</b> <Tp>	[1..1]	CodeSet		271
	<b>Classification</b> <Clssfctn>	[0..1]	±		272
	<b>Location</b> <Lctn>	[0..5]	±		272
	<b>Issuer</b> <Issr>	[0..1]	±		273

### 10.4.5.1 MeetingIdentification <MtgId>

*Presence:* [1..1]

*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

*Datatype:* Max35Text on page 443

### 10.4.5.2 IssuerMeetingIdentification <IssrMtgId>

*Presence:* [0..1]

*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.

*Datatype:* Max35Text on page 443

### 10.4.5.3 MeetingDateAndTime <MtgDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the meeting will take place.

*Datatype:* ISODateTime on page 438

### 10.4.5.4 Type <Tp>

*Presence:* [1..1]

*Definition:* Type of meeting for which instructions are sent.

*Datatype:* MeetingType4Code on page 423

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example,

CodeName	Name	Definition
		approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

#### 10.4.5.5 Classification <Clssfctn>

*Presence:* [0..1]

*Definition:* Classification type of the meeting.

**Classification <Clssfctn>** contains one of the following elements (see [MeetingTypeClassification2Choice](#) on page 332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

#### 10.4.5.6 Location <Lctn>

*Presence:* [0..5]

*Definition:* Place of the company meeting for the scheduled meeting date.



**Location <Lctn>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

### 10.4.5.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Institution that is the issuer of the security to which the meeting applies.

**Issuer <Issr>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

## 10.4.6 Security <Scty>

*Presence:* [1..200]

*Definition:* Securities for which the meeting is organised.

**Security <Scty>** contains the following **SecurityPosition19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C6, C7, C10, C11, C12	274
	<b>Position</b> <Pos>	[0..1000]		C1, C2, C3, C9, C14	276
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		278
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWlt>	[0..1]	Text		278
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			279
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		279
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		279
	<b>HoldingBalance</b> <HldgBal>	[0..15]			280
	<b>Balance</b> <Bal>	[1..1]	±		280
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		281
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		282
	<b>Date</b> <Dt>	[0..1]	Date		282
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			282
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		283
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		283

#### 10.4.6.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identification of the security, as assigned under a formal or proprietary identification scheme.

*Impacted by:* C6 DescriptionPresenceRule, C7 DescriptionUsageRule, C10 ISINGuideline, C11 ISINPresenceRule, C12 OtherIdentificationPresenceRule

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see [SecurityIdentification19 on page 306](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrlId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

**Constraints**

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

• **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

    /OtherIdentification[\*] is absent

Following Must be True

    /ISIN Must be present

Or     /Description Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

### 10.4.6.2 Position <Pos>

*Presence:* [0..1000]

*Definition:* Amount of securities that are eligible for the vote.

*Impacted by:* C1 AccountIdentificationOrBlockChainAddress1Rule, C2 AccountIdentificationOrBlockChainAddress2Rule, C3 AccountIdentificationOrBlockChainAddress3Rule, C9 HoldingBalanceTypeRule, C14 RightsHolderMultiplicity1Guideline

**Position <Pos>** contains the following **EligiblePosition16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		278
	<b>BlockChainAddressOrWallet</b> <BlckChainAdrOrWllet>	[0..1]	Text		278
	<b>AccountOwner</b> <AcctOwnr>	[0..1]			279
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		279
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		279
	<b>HoldingBalance</b> <HldgBal>	[0..15]			280
	<b>Balance</b> <Bal>	[1..1]	±		280
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		281
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		282
	<b>Date</b> <Dt>	[0..1]	Date		282
	<b>RightsHolder</b> <RghtsHldr>	[0..250]			282
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		283
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		283

**Constraints**

- **AccountIdentificationOrBlockChainAddress1Rule**

(Rule)

Either AccountIdentification or BlockChainAddressOrWallet must be present but not both.

Following Must be True

    /AccountIdentification Must be present

Or     /BlockChainAddressOrWallet Must be present

*Error handling:*

- *Error severity:* Warning

- *Error Code:* X00551

- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockChainAddress2Rule**

(Rule)

If BlockChainAddressOrWallet is present then AccountIdentification must be absent.

On Condition

    /BlockChainAddressOrWallet is present

Following Must be True

    /AccountIdentification Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **AccountIdentificationOrBlockchainAddress3Rule**

(Rule)

If AccountIdentification is present, then BlockchainAddressOrWallet must be absent.

On Condition

    /AccountIdentification is present

Following Must be True

    /BlockchainAddressOrWallet Must be absent

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

- **HoldingBalanceTypeRule**

(Rule)

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

- **RightsHolderMultiplicity1Guideline**

(Guideline)

It is recommended that RightsHolder is only present more than once if there is joint ownership.

#### **10.4.6.2.1 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* Max35Text on page 443

#### **10.4.6.2.2 BlockchainAddressOrWallet <BlckChainAdrOrWllt>**

*Presence:* [0..1]

*Definition:* Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

*Datatype:* Max140Text on page 442

### 10.4.6.2.3 AccountOwner <AcctOwnr>

*Presence:* [0..1]

*Definition:* Party that legally owns the account.

**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		279
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		279

#### 10.4.6.2.3.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 10.4.6.2.3.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 10.4.6.2.4 HoldingBalance <HldgBal>

*Presence:* [0..15]

*Definition:* Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

**HoldingBalance <HldgBal>** contains the following **HoldingBalance11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Balance</b> <Bal>	[1..1]	±		280
	<b>BalanceType</b> <BalTp>	[1..1]	CodeSet		281
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		282
	<b>Date</b> <Dt>	[0..1]	Date		282

##### 10.4.6.2.4.1 Balance <Bal>

*Presence:* [1..1]



*Definition:* Total quantity of financial instrument for the referenced holding.

**Balance <Bal>** contains the following elements (see [SignedQuantityFormat14](#) on page 394 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		394
	Quantity <Qty>	[1..1]		C9	394
{Or	Unit <Unit>	[1..1]	Quantity		395
Or	FaceAmount <FaceAmt>	[1..1]	Amount		395
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		395

#### 10.4.6.2.4.2 BalanceType <BalTp>

*Presence:* [1..1]

*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

*Datatype:* [SecuritiesEntryType2Code](#) on page 432

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CodeName	Name	Definition
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

#### 10.4.6.2.4.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see [SafekeepingPlaceFormat28Choice on page 397](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			397
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398
Or	Country <Ctry>	[1..1]	CodeSet	C3	398
Or	TypeAndIdentification <TpAndId>	[1..1]			398
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399
Or}	Proprietary <Prtry>	[1..1]	±		399

#### 10.4.6.2.4.4 Date <Dt>

*Presence:* [0..1]

*Definition:* Date of the entitlement.

*Datatype:* [ISODate on page 438](#)

#### 10.4.6.2.5 RightsHolder <RghtsHldr>

*Presence:* [0..250]

*Definition:* Owner of the voting rights.

**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification246Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>LegalPerson</b> <LglPrsn>	[1..1]	±		283
Or}	<b>NaturalPerson</b> <NtrlPrsn>	[1..*]	±		283

#### 10.4.6.2.5.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LglPrsn>** contains the following elements (see [PartyIdentification269](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrid>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

#### 10.4.6.2.5.2 NaturalPerson <NtrlPrsn>

*Presence:* [1..\*]

*Definition:* Private person.

**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification250](#) on page 344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

## 10.4.7 VoteResult <VoteRslt>

*Presence:* [1..1000]

*Definition:* Results per resolution.

**VoteResult <VoteRslt>** contains the following **Vote18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerLabel</b> <IssrLbl>	[1..1]	Text		285
	<b>Description</b> <Desc>	[0..*]			285
	<b>Language</b> <Lang>	[1..1]	CodeSet		286
	<b>Title</b> <Titl>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286
	<b>ResolutionStatus</b> <RsltnSts>	[1..1]	CodeSet		286
	<b>SubStatus</b> <SubSts>	[0..1]	CodeSet		286
	<b>For</b> <For>	[0..1]	±		287
	<b>Against</b> <Agnst>	[0..1]	±		287
	<b>Abstain</b> <Abstn>	[0..1]	±		287
	<b>Withhold</b> <Wthhld>	[0..1]	±		287
	<b>WithManagement</b> <WthMgmt>	[0..1]	±		288
	<b>AgainstManagement</b> <AgnstMgmt>	[0..1]	±		288
	<b>Discretionary</b> <Dscrtnry>	[0..1]	±		288
	<b>OneYear</b> <OneYr>	[0..1]	±		288
	<b>TwoYears</b> <TwoYrs>	[0..1]	±		289
	<b>ThreeYears</b> <ThreeYrs>	[0..1]	±		289
	<b>NoAction</b> <NoActn>	[0..1]	±		289
	<b>Blank</b> <Blnk>	[0..1]	±		289
	<b>Proprietary</b> <Prtry>	[0..4]	±		290

#### 10.4.7.1 IssuerLabel <IssrLbl>

*Presence:* [1..1]

*Definition:* Number of the resolution as specified by the issuer or its agent.

*Datatype:* Max35Text on page 443

#### 10.4.7.2 Description <Desc>

*Presence:* [0..\*]

*Definition:* Language, title and textual description of an agenda resolution.

**Description <Desc>** contains the following **ItemDescription1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		286
	<b>Title</b> <Titl>	[0..1]	Text		286
	<b>Description</b> <Desc>	[0..1]	Text		286

#### 10.4.7.2.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

*Datatype:* ISO2ALanguageCode on page 422

#### 10.4.7.2.2 Title <Titl>

*Presence:* [0..1]

*Definition:* Abbreviated description of the item.

*Datatype:* Max350Text on page 443

#### 10.4.7.2.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Free textual description of the item in the specified language.

*Datatype:* Max1025Text on page 442

#### 10.4.7.3 ResolutionStatus <RslnSts>

*Presence:* [1..1]

*Definition:* Acceptance status of the resolution.

*Datatype:* ResolutionStatus2Code on page 430

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

#### 10.4.7.4 SubStatus <SubSts>

*Presence:* [0..1]

*Definition:* Specifies whether the resolution is a new or an amended resolution.

*Datatype:* ResolutionSubStatus1Code on page 431

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.

CodeName	Name	Definition
NEWR	NewResolution	Resolution voted at the meeting is new.

#### 10.4.7.5 For <For>

*Presence:* [0..1]

*Definition:* Number of votes in favour of one resolution.

**For <For>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.6 Against <Agnst>

*Presence:* [0..1]

*Definition:* Number of votes against one resolution.

**Against <Agnst>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.7 Abstain <Abstn>

*Presence:* [0..1]

*Definition:* Number of abstention votes.

**Abstain <Abstn>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.8 Withhold <Wthhd>

*Presence:* [0..1]

*Definition:* Total votes withheld, for example, in the case where a shareholder wishes not to endorse the election of a board member.

**Withhold <Wthhld>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.9 WithManagement <WthMgmt>

*Presence:* [0..1]

*Definition:* Number of votes in line with the votes of the management.

**WithManagement <WthMgmt>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.10 AgainstManagement <AgnstMgmt>

*Presence:* [0..1]

*Definition:* Number of votes against the voting recommendation of the management.

**AgainstManagement <AgnstMgmt>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.11 Discretionary <Dscrtrny>

*Presence:* [0..1]

*Definition:* Number of votes for which the decision is left to the party that will exercise the voting right.

**Discretionary <Dscrtrny>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.12 OneYear <OneYr>

*Presence:* [0..1]

*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.



**OneYear <OneYr>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.13 TwoYears <TwoYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of two years for "say on pay" type of resolution.

**TwoYears <TwoYrs>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.14 ThreeYears <ThreeYrs>

*Presence:* [0..1]

*Definition:* Number of votes in favour of three years for "say on pay" type of resolution.

**ThreeYears <ThreeYrs>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.15 NoAction <NoActn>

*Presence:* [0..1]

*Definition:* Number of votes for which no action has been taken.

**NoAction <NoActn>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.16 Blank <Blnk>

*Presence:* [0..1]

*Definition:* Vote is cast as empty but the vote is counted.

**Blank <Blnk>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 10.4.7.17 Proprietary <Prtry>

*Presence:* [0..4]

*Definition:* Other type of vote expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [ProprietaryVote2](#) on page 322 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		322
	Quantity <Qty>	[1..1]	±		323

### 10.4.8 Participation <Prtcptn>

*Presence:* [0..1]

*Definition:* Information about the participation to the voting process.

**Participation <Prtcptn>** contains the following **Participation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TotalNumberOfVotingRights</b> <TtINbOfVtngRghts>	[0..1]	Quantity		290
	<b>PercentageOfVotingRights</b> <PctgOfVtngRghts>	[0..1]	Rate		290
	<b>TotalNumberOfSecuritiesOutstanding</b> <TtINbOfSciesOutsdng>	[0..1]	±		291
	<b>CalculationDate</b> <ClctnDt>	[0..1]	Date		291

#### 10.4.8.1 TotalNumberOfVotingRights <TtINbOfVtngRghts>

*Presence:* [0..1]

*Definition:* Number of rights admitted to the vote.

*Datatype:* [Number](#) on page 441

#### 10.4.8.2 PercentageOfVotingRights <PctgOfVtngRghts>

*Presence:* [0..1]

*Definition:* Percentage of rights participating to the vote versus total voting rights.

*Datatype:* [PercentageRate](#) on page 441

### 10.4.8.3 TotalNumberOfSecuritiesOutstanding <TtINbOfSctiesOutsdng>

*Presence:* [0..1]

*Definition:* Number of securities admitted to the vote, expressed as a number of units or a face amount.

**TotalNumberOfSecuritiesOutstanding <TtINbOfSctiesOutsdng>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

### 10.4.8.4 CalculationDate <ClctnDt>

*Presence:* [0..1]

*Definition:* Date of calculation of the total number of outstanding securities.

*Datatype:* [ISODate](#) on page 438

## 10.4.9 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Information on where additional information can be received.

**AdditionalInformation <AddtlInf>** contains the following elements (see [CommunicationAddress11](#) on page 328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		328
	URLAddress <URLAdr>	[0..1]	Text		328

## 10.4.10 SupplementaryData <SplmtryData>

*Presence:* [0..\*]

*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.

*Impacted by:* [C15 SupplementaryDataRule](#)

**SupplementaryData <SplmtryData>** contains the following elements (see [SupplementaryData1](#) on page 334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

### **Constraints**

- **SupplementaryDataRule**

(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

# 11 Message Items Types

## 11.1 MessageComponents

### 11.1.1 Cancellation Right

#### 11.1.1.1 DateFormat3Choice

*Definition:* Choice between the formats to express a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		293
Or}	DateCode <DtCd>	[1..1]	CodeSet		293

##### 11.1.1.1.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

*Datatype:* [ISODate on page 438](#)

##### 11.1.1.1.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Indicates that date is unknown.

*Datatype:* [DateType1Code on page 420](#)

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

## 11.1.2 Date Time

### 11.1.2.1 DateAndDateTime1Choice

*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		293
Or}	DateTime <DtTm>	[1..1]	DateTime		294

#### 11.1.2.1.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Numeric representation of the day of the month and year.

*Datatype:* [ISODate on page 438](#)

### 11.1.2.1.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Numeric representation of time of the day and the day of the month and year.

*Datatype:* [ISODatetime on page 438](#)

### 11.1.2.2 DateFormat60Choice

*Definition:* Choice between an ISODate or ISODatetime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		294
Or}	DateCode <DtCd>	[1..1]			294
{Or	Code <Cd>	[1..1]	CodeSet		294
Or}	Proprietary <Prtry>	[1..1]	±		295

#### 11.1.2.2.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

**Date <Dt>** contains one of the following elements (see [DateAndDateTime2Choice on page 296](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		297
Or}	DateTime <DtTm>	[1..1]	DateTime		297

#### 11.1.2.2.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Specifies the type of date.

**DateCode <DtCd>** contains one of the following **DateCode34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		294
Or}	Proprietary <Prtry>	[1..1]	±		295

##### 11.1.2.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* [DateType10Code on page 420](#)

CodeName	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
UKWN	Unknown	Date is unknown by the sender or has not been established.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.

#### 11.1.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.2.3 DateFormat1

*Definition:* Format to express a date and a date mode.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		295
	DateMode <DtMd>	[0..1]	CodeSet		296

##### 11.1.2.3.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date at which the event occurs.

**Date <Dt>** contains one of the following elements (see [DateFormat3Choice](#) on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		293
Or}	DateCode <DtCd>	[1..1]	CodeSet		293

### 11.1.2.3.2 DateMode <DtMd>

*Presence:* [0..1]

*Definition:* Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".

*Datatype:* [DateMode1Code](#) on page 419

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

### 11.1.2.4 DateFormat58Choice

*Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		296
Or}	DateCode <DtCd>	[1..1]	CodeSet		296

#### 11.1.2.4.1 DateOrDateTime <DtOrDtTm>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

**DateOrDateTime <DtOrDtTm>** contains one of the following elements (see [DateAndDateTime2Choice](#) on page 296 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		297
Or}	DateTime <DtTm>	[1..1]	DateTime		297

#### 11.1.2.4.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Type of date.

*Datatype:* [DateType1Code](#) on page 420

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

### 11.1.2.5 DateAndDateTime2Choice

*Definition:* Choice between a date or a date and time format.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		297
Or}	DateTime <DtTm>	[1..1]	DateTime		297

### 11.1.2.5.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

*Datatype:* [ISODate on page 438](#)

### 11.1.2.5.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

*Datatype:* [ISODateTime on page 438](#)

## 11.1.3 Document

### 11.1.3.1 DocumentIdentification32

*Definition:* Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			297
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		297
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		298
	DocumentNumber <DocNb>	[0..1]	±	C18	298
	LinkageType <LkgTp>	[0..1]	±	C21	298

#### 11.1.3.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identifier of the document (message) assigned either by the account servicer or the account owner.

**Identification <Id>** contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		297
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		298

#### 11.1.3.1.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

*Presence:* [1..1]

*Definition:* Identification of the document assigned by the account servicer.

*Datatype:* [Max35Text on page 443](#)

### 11.1.3.1.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

*Presence:* [1..1]

*Definition:* Identification of the document assigned by the account owner.

*Datatype:* [Max35Text on page 443](#)

### 11.1.3.1.2 DocumentNumber <DocNb>

*Presence:* [0..1]

*Definition:* Identification of the type of document.

*Impacted by:* [C18 ShortLongNumberRule](#)

**DocumentNumber <DocNb>** contains one of the following elements (see [DocumentNumber5Choice on page 299](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		299
Or	LongNumber <LngNb>	[1..1]	Text		299
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		299

#### Constraints

- **ShortLongNumberRule**

(Rule)

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

### 11.1.3.1.3 LinkageType <LkgTp>

*Presence:* [0..1]

*Definition:* Specifies when this document is to be processed relative to another referred document.

*Impacted by:* [C21 WithLinkageRule](#)

**LinkageType <LkgTp>** contains one of the following elements (see [ProcessingPosition7Choice on page 333](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		334

#### Constraints

- **WithLinkageRule**

(Rule)

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

### 11.1.3.2 DocumentNumber5Choice

*Definition:* Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		299
Or	LongNumber <LngNb>	[1..1]	Text		299
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		299

#### Constraints

- **ShortLongNumberRule**

(Rule)

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

#### 11.1.3.2.1 ShortNumber <ShrtNb>

*Presence:* [1..1]

*Definition:* Message type number of the document referenced.

*Datatype:* Exact3NumericText on page 441

#### 11.1.3.2.2 LongNumber <LngNb>

*Presence:* [1..1]

*Definition:* MX Message identifier of the referenced document.

*Datatype:* ISO20022MessageIdentificationText on page 442

#### 11.1.3.2.3 ProprietaryNumber <PrtryNb>

*Presence:* [1..1]

*Definition:* Proprietary document identification.

**ProprietaryNumber <PrtryNb>** contains the following elements (see GenericIdentification36 on page 311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		311
	Issuer <Issr>	[1..1]	Text		311
	SchemeName <SchmeNm>	[0..1]	Text		311

## 11.1.4 Financial Institution Identification

### 11.1.4.1 ClearingSystemMemberIdentification2

*Definition:* Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		300
	MemberIdentification <MmblD>	[1..1]	Text		300

#### 11.1.4.1.1 ClearingSystemIdentification <ClrSysId>

*Presence:* [0..1]

*Definition:* Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

**ClearingSystemIdentification <ClrSysId>** contains one of the following elements (see [ClearingSystemIdentification2Choice](#) on page 415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	Text		415

#### 11.1.4.1.2 MemberIdentification <MmblD>

*Presence:* [1..1]

*Definition:* Identification of a member of a clearing system.

*Datatype:* [Max35Text](#) on page 443

### 11.1.4.2 FinancialInstitutionIdentification18

*Definition:* Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	300
	ClearingSystemMemberIdentification <ClrSysMmblD>	[0..1]	±		301
	LEI <LEI>	[0..1]	IdentifierSet		301
	Name <Nm>	[0..1]	Text		301
	PostalAddress <PstAdr>	[0..1]	±		301
	Other <Othr>	[0..1]	±		302

#### 11.1.4.2.1 BICFI <BICFI>

*Presence:* [0..1]

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C2 BICFI

*Datatype:* BICFIDec2014Identifier on page 439

**Constraints**

- **BICFI**

(Rule)

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00001
- *Error Text:* Invalid FI BIC.

**11.1.4.2.2 ClearingSystemMemberIdentification <ClrSysMmbld>**

*Presence:* [0..1]

*Definition:* Information used to identify a member within a clearing system.

**ClearingSystemMemberIdentification <ClrSysMmbld>** contains the following elements (see ClearingSystemMemberIdentification2 on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		300
	MemberIdentification <Mmbld>	[1..1]	Text		300

**11.1.4.2.3 LEI <LEI>**

*Presence:* [0..1]

*Definition:* Legal entity identifier of the financial institution.

*Datatype:* LEIIdentifier on page 440

**11.1.4.2.4 Name <Nm>**

*Presence:* [0..1]

*Definition:* Name by which an agent is known and which is usually used to identify that agent.

*Datatype:* Max140Text on page 442

**11.1.4.2.5 PostalAddress <PstlAdr>**

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstIAdr>** contains the following elements (see [PostalAddress24](#) on page 385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			386
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
	Department <Dept>	[0..1]	Text		387
	SubDepartment <SubDept>	[0..1]	Text		387
	StreetName <StrtNm>	[0..1]	Text		387
	BuildingNumber <BldgNb>	[0..1]	Text		387
	BuildingName <BldgNm>	[0..1]	Text		388
	Floor <Flr>	[0..1]	Text		388
	PostBox <PstBx>	[0..1]	Text		388
	Room <Room>	[0..1]	Text		388
	PostCode <PstCd>	[0..1]	Text		388
	TownName <TwnNm>	[0..1]	Text		388
	TownLocationName <TwnLctnNm>	[0..1]	Text		388
	DistrictName <DstrctNm>	[0..1]	Text		388
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		389
	Country <Ctry>	[0..1]	CodeSet	C3	389
	AddressLine <AdrLine>	[0..7]	Text		389

#### 11.1.4.2.6 Other <Othr>

*Presence:* [0..1]

*Definition:* Unique identification of an agent, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following elements (see [GenericFinancialIdentification1](#) on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		305
	SchemeName <SchmeNm>	[0..1]			306
{Or	Code <Cd>	[1..1]	CodeSet		306
Or}	Proprietary <Prtry>	[1..1]	Text		306
	Issuer <Issr>	[0..1]	Text		306

### 11.1.4.3 BranchData3

*Definition:* Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		303
	LEI <LEI>	[0..1]	IdentifierSet		303
	Name <Nm>	[0..1]	Text		303
	PostalAddress <PstAdr>	[0..1]	±		303

#### 11.1.4.3.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a branch of a financial institution.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.4.3.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification for the branch of the financial institution.

*Datatype:* [LEIIdentifier on page 440](#)

#### 11.1.4.3.3 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which an agent is known and which is usually used to identify that agent.

*Datatype:* [Max140Text on page 442](#)

#### 11.1.4.3.4 PostalAddress <PstAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstIAdr>** contains the following elements (see [PostalAddress24](#) on page 385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			386
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
	Department <Dept>	[0..1]	Text		387
	SubDepartment <SubDept>	[0..1]	Text		387
	StreetName <StrtNm>	[0..1]	Text		387
	BuildingNumber <BldgNb>	[0..1]	Text		387
	BuildingName <BldgNm>	[0..1]	Text		388
	Floor <Flr>	[0..1]	Text		388
	PostBox <PstBx>	[0..1]	Text		388
	Room <Room>	[0..1]	Text		388
	PostCode <PstCd>	[0..1]	Text		388
	TownName <TwnNm>	[0..1]	Text		388
	TownLocationName <TwnLctnNm>	[0..1]	Text		388
	DistrictName <DstrctNm>	[0..1]	Text		388
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		389
	Country <Ctry>	[0..1]	CodeSet	C3	389
	AddressLine <AdrLine>	[0..7]	Text		389

#### 11.1.4.4 BranchAndFinancialInstitutionIdentification6

*Definition:* Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		304
	BranchIdentification <BrnchId>	[0..1]	±		305

##### 11.1.4.4.1 FinancialInstitutionIdentification <FinInstnId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.



**FinancialInstitutionIdentification <FinInstnId>** contains the following elements (see [FinancialInstitutionIdentification18](#) on page 300 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	300
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		301
	LEI <LEI>	[0..1]	IdentifierSet		301
	Name <Nm>	[0..1]	Text		301
	PostalAddress <PstlAdr>	[0..1]	±		301
	Other <Othr>	[0..1]	±		302

#### 11.1.4.4.2 BranchIdentification <BrnchId>

*Presence:* [0..1]

*Definition:* Identifies a specific branch of a financial institution.

*Usage:* This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

**BranchIdentification <BrnchId>** contains the following elements (see [BranchData3](#) on page 303 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		303
	LEI <LEI>	[0..1]	IdentifierSet		303
	Name <Nm>	[0..1]	Text		303
	PostalAddress <PstlAdr>	[0..1]	±		303

#### 11.1.4.5 GenericFinancialIdentification1

*Definition:* Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		305
	SchemeName <SchmeNm>	[0..1]			306
{Or	Code <Cd>	[1..1]	CodeSet		306
Or}	Proprietary <Prtry>	[1..1]	Text		306
	Issuer <Issr>	[0..1]	Text		306

##### 11.1.4.5.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* [Max35Text on page 443](#)

### 11.1.4.5.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		306
Or}	Proprietary <Prtry>	[1..1]	Text		306

#### 11.1.4.5.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* [ExternalFinancialInstitutionIdentification1Code on page 421](#)

#### 11.1.4.5.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.4.5.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* [Max35Text on page 443](#)

## 11.1.5 Financial Instrument

### 11.1.5.1 SecurityIdentification19

*Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		308
	OtherIdentification <OthrlId>	[0..*]			308
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308
	Description <Desc>	[0..1]	Text		309

## Constraints

- **DescriptionPresenceRule**

(Rule)

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

- **DescriptionUsageRule**

(Rule)

Description must be used alone as the last resort.

- **ISINGuideline**

(Rule)

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

(Rule)

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

- **OtherIdentificationPresenceRule**

(Rule)

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

**11.1.5.1.1 ISIN <ISIN>**

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* [ISINOct2015Identifier on page 439](#)

**11.1.5.1.2 OtherIdentification <Othrid>**

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

**OtherIdentification <Othrid>** contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		308
	Suffix <Sfx>	[0..1]	Text		308
	Type <Tp>	[1..1]	±		308

**11.1.5.1.2.1 Identification <Id>**

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* [Max35Text on page 443](#)

**11.1.5.1.2.2 Suffix <Sfx>**

*Presence:* [0..1]

*Definition:* Identifies the suffix of the security identification.

*Datatype:* [Max16Text on page 443](#)

**11.1.5.1.2.3 Type <Tp>**

*Presence:* [1..1]

*Definition:* Type of the identification.

**Type <Tp>** contains one of the following elements (see [IdentificationSource3Choice](#) on page 311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	Text		312

### 11.1.5.1.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* [Max140Text](#) on page 442

## 11.1.6 Identification Information

### 11.1.6.1 GenericIdentification13

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		309
	SchemeName <SchmeNm>	[0..1]	Text		309
	Issuer <Issr>	[1..1]	Text		309

#### 11.1.6.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* [Max4AlphaNumericText](#) on page 444

#### 11.1.6.1.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* [Max35Text](#) on page 443

#### 11.1.6.1.3 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* [Max35Text](#) on page 443

### 11.1.6.2 GenericIdentification78

*Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		310
	Identification <Id>	[0..1]	Text		310

### 11.1.6.2.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

**Type <Tp>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.6.2.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

*Datatype:* [Max35Text](#) on page 443

### 11.1.6.3 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.6.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* [Exact4AlphaNumericText](#) on page 441

#### 11.1.6.3.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* [Max35Text](#) on page 443

### 11.1.6.3.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* [Max35Text on page 443](#)

### 11.1.6.4 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		311
	Issuer <Issr>	[1..1]	Text		311
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.6.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.6.4.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.6.4.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* [Max35Text on page 443](#)

### 11.1.6.5 IdentificationSource3Choice

*Definition:* Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	Text		312

#### 11.1.6.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.

*Datatype:* [ExternalFinancialInstrumentIdentificationType1Code on page 422](#)

### 11.1.6.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.

*Datatype:* [Max35Text on page 443](#)

## 11.1.7 Miscellaneous

### 11.1.7.1 AdditionalRightCode1Choice

*Definition:* Choice of additional right code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		312
Or}	Proprietary <Prtry>	[1..1]	±		312

#### 11.1.7.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Identifies the additional right code.

*Datatype:* [AdditionalRight1Code on page 417](#)

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

#### 11.1.7.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* This code can be used in case another reason is required.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification13 on page 309](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		309
	SchemeName <SchmeNm>	[0..1]	Text		309
	Issuer <Issr>	[1..1]	Text		309

#### 11.1.7.2 MeetingCancellationReason1Choice

*Definition:* Choice of meeting cancellation reason.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		313
Or}	Proprietary <Prtry>	[1..1]	±		313

### 11.1.7.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the reason for cancelling a meeting in coded form.

*Datatype:* [MeetingCancellationReason2Code on page 423](#)

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

### 11.1.7.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Specifies the reason for cancelling a meeting in free text form.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification13 on page 309](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		309
	SchemeName <SchmeNm>	[0..1]	Text		309
	Issuer <Issr>	[1..1]	Text		309

### 11.1.7.3 DateAndPlaceOfBirth2

*Definition:* Date and place of birth of a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		313
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		314
	CityOfBirth <CityOfBirth>	[0..1]	Text		314
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	314

#### 11.1.7.3.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date on which a person is born.

*Datatype:* [ISODate on page 438](#)

### 11.1.7.3.2 ProvinceOfBirth <PrvcOfBirth>

*Presence:* [0..1]

*Definition:* Province where a person was born.

*Datatype:* [Max35Text on page 443](#)

### 11.1.7.3.3 CityOfBirth <CityOfBirth>

*Presence:* [0..1]

*Definition:* City where a person was born.

*Datatype:* [Max35Text on page 443](#)

### 11.1.7.3.4 CountryOfBirth <CtryOfBirth>

*Presence:* [0..1]

*Definition:* Country where a person was born.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

### 11.1.7.4 VotingRightsThreshold1

*Definition:* Specifies a threshold level and a threshold basis for passing resolutions at general meetings.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Threshold <Thrshld>	[1..1]	±		314
	ThresholdBasis <ThrshldBsis>	[0..1]			315
{Or	Code <Cd>	[1..1]	CodeSet		315
Or}	Proprietary <Prtry>	[1..1]	±		315

#### 11.1.7.4.1 Threshold <Thrshld>

*Presence:* [1..1]

*Definition:* Voting rights threshold required for a resolution to pass in percentage or in quantity.

**Threshold <Thrshld>** contains one of the following elements (see [NumberOrPercentage1Choice](#) on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		320
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		320

#### 11.1.7.4.2 ThresholdBasis <ThrshldBsis>

*Presence:* [0..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

**ThresholdBasis <ThrshldBsis>** contains one of the following **ThresholdBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		315
Or}	Proprietary <Prtry>	[1..1]	±		315

##### 11.1.7.4.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and provided as a code value.

*Datatype:* [ThresholdBasis1Code](#) on page 434

CodeName	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

##### 11.1.7.4.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings and defined as a proprietary value.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.7.5 QuorumQuantity1Choice

*Definition:* Choice of quorum quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		316
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		316

#### 11.1.7.5.1 QuorumQuantity <QrmQty>

*Presence:* [1..1]

*Definition:* Minimum quantity of securities required to hold a meeting.

*Datatype:* [Max35Text](#) on page 443

#### 11.1.7.5.2 QuorumQuantityPercentage <QrmQtyPctg>

*Presence:* [1..1]

*Definition:* Minimum quantity of securities, expressed as a percentage, required to hold a meeting.

*Datatype:* [PercentageRate](#) on page 441

### 11.1.7.6 ParticipationMethod3Choice

*Definition:* Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		316
Or}	Proprietary <Prtry>	[1..1]	±		317

#### 11.1.7.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Method of participation in the general meeting vote expressed as a code.

*Datatype:* [VotingParticipationMethod3Code](#) on page 437

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.

CodeName	Name	Definition
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

### 11.1.7.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Method of participation in the general meeting vote expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.7.7 InstructionProcessingStatus5

*Definition:* Status advising on the processing of the instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		317
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		318
	AdditionalInformation <AddtlInf>	[0..1]	Text		318

#### Constraints

- **AttendanceCardNumber1Rule**

(Rule)

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

On Condition

`/AttendanceCardNumber is present`

Following Must be True

`/Status Must be equal to value 'AttendanceConfirmed'`

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00493
- *Error Text:* Invalid Status code value. AttendanceConfirmed should be present.

#### 11.1.7.7.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status code.

*Datatype:* [Status9Code on page 433](#)

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	Standing instruction is applied.

### 11.1.7.7.2 AttendanceCardNumber <AttndncCardNb>

*Presence:* [0..1]

*Definition:* Number of the admission ticket that has been granted by the custodian or the issuer.

*Datatype:* [Max35Text on page 443](#)

### 11.1.7.7.3 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* [Max350Text on page 443](#)

### 11.1.7.8 AttendanceCard3

*Definition:* Specifies details related to the attendance card.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttndncCardLbllg>	[0..1]	Text		319
	DeliveryMethod <DlvrMtd>	[1..1]	CodeSet		319
	OtherAddress <OthrAdr>	[0..1]	±		319

#### Constraints

- **OtherAddressCode1Rule**

(Rule)

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00084
- *Error Text:* Invalid message content for Delivery Method

**11.1.7.8.1 AttendanceCardLabelling <AttndncCardLbllg>**

*Presence:* [0..1]

*Definition:* Information to be indicated on the attendance card.

*Datatype:* [Max105Text on page 442](#)

**11.1.7.8.2 DeliveryMethod <DivryMtd>**

*Presence:* [1..1]

*Definition:* Specifies where the attendance card must be delivered.

*Datatype:* [DeliveryPlace3Code on page 420](#)

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

**11.1.7.8.3 OtherAddress <OthrAdr>**

*Presence:* [0..1]

*Definition:* Name and address of the party.

**OtherAddress <OthrAdr>** contains the following elements (see [NameAndAddress9 on page 391](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		392
	Address <Adr>	[0..1]	±	C19	392

**11.1.7.9 OrganisationIdentification5**

*Definition:* Official identification of an organisation (legal entity) in a specific register.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		320
	RegisterName <RegrNm>	[0..1]	Text		320

#### 11.1.7.9.1 RegistrationNumber <RegnNb>

*Presence:* [1..1]

*Definition:* Name of the register of legal entities.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.7.9.2 RegisterName <RegrNm>

*Presence:* [0..1]

*Definition:* Name of the register managed by a registration authority.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.7.10 NumberOrPercentage1Choice

*Definition:* Choice between a percentage and number for a threshold.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		320
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		320

#### 11.1.7.10.1 ThresholdPercentage <ThrshldPctg>

*Presence:* [1..1]

*Definition:* Threshold provided as a percentage rate.

*Datatype:* [PercentageRate on page 441](#)

#### 11.1.7.10.2 ThresholdNumber <ThrshldNb>

*Presence:* [1..1]

*Definition:* Threshold provided as a decimal number.

*Datatype:* [Number on page 441](#)

#### 11.1.7.11 Entitlement1Choice

*Definition:* Choice of entitlement calculation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		321
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		321



### 11.1.7.11.1 EntitlementRatio <EntitlmntRatio>

*Presence:* [1..1]

*Definition:* Number of votes assigned to one security.

*Datatype:* DecimalNumber on page 440

### 11.1.7.11.2 EntitlementDescription <EntitlmntDesc>

*Presence:* [1..1]

*Definition:* Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.

*Datatype:* Max35Text on page 443

### 11.1.7.12 VoteInstructionType1

*Definition:* Vote options for a resolution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		321
	AdditionalInformation <AddtlInf>	[0..1]	Text		322

#### Constraints

- **AdditionalInformationRule**

(Rule)

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

    /AdditionalInformation is present

Following Must be True

    /VoteInstructionTypeCode/Proprietary/Identification Must be present

*Error handling:*

– *Error severity:* Fatal

– *Error Code:* X00424

– *Error Text:* Invalid message contents for VoteInstructionTypeCode. A proprietary code must be present.

### 11.1.7.12.1 VoteInstructionTypeCode <VoteInstrTpCd>

*Presence:* [1..1]

*Definition:* Vote option allowed at the resolution level.

**VoteInstructionTypeCode <VoteInstrTpCd>** contains one of the following elements (see [VoteInstructionType1Choice](#) on page 328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	±		329

#### 11.1.7.12.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the vote instruction type code when expressed as a proprietary code.

*Datatype:* [Max350Text](#) on page 443

#### 11.1.7.13 AdditionalRightThreshold1Choice

*Definition:* Choice of additional right threshold.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		322
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		322

#### 11.1.7.13.1 AdditionalRightThreshold <AddtlRghtThrshld>

*Presence:* [1..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

*Datatype:* [Max35Text](#) on page 443

#### 11.1.7.13.2 AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>

*Presence:* [1..1]

*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.

*Datatype:* [PercentageRate](#) on page 441

#### 11.1.7.14 ProprietaryVote2

*Definition:* Proprietary vote type and quantity voted.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		322
	Quantity <Qty>	[1..1]	±		323

#### 11.1.7.14.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Other type of vote expressed as a proprietary code.

**Code <Cd>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.7.14.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities voted.

**Quantity <Qty>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 11.1.7.15 IncentivePremium5

*Definition:* Cash premium made available if the securities holder consents or participates to an event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		323
	Amount <Amt>	[1..1]	±		324
	Type <Tp>	[1..1]			324
{Or	PerSecurity <PerScty>	[1..1]	Quantity		324
Or	PerVote <PerVote>	[1..*]			324
	VoteInstructionType <VoteInstrTp>	[1..1]	±		324
	VoteQuantity <VoteQty>	[1..1]	Quantity		325
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		325
	PaymentDate <PmtDt>	[0..1]	±		325

#### 11.1.7.15.1 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the premium.

*Datatype:* [Max350Text](#) on page 443

### 11.1.7.15.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash premium paid per security, per vote or per attendee.

**Amount <Amt>** contains one of the following elements (see [PriceRateOrAmount3Choice](#) on page 393 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		393
Or}	Amount <Amt>	[1..1]	Amount	C4	393

### 11.1.7.15.3 Type <Tp>

*Presence:* [1..1]

*Definition:* Specifies which voting related criteria gives rights to an incentive premium.

**Type <Tp>** contains one of the following **IncentivePremiumType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PerSecurity <PerScty>	[1..1]	Quantity		324
Or	PerVote <PerVote>	[1..*]			324
	VoteInstructionType <VoteInstrTp>	[1..1]	±		324
	VoteQuantity <VoteQty>	[1..1]	Quantity		325
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		325

#### 11.1.7.15.3.1 PerSecurity <PerScty>

*Presence:* [1..1]

*Definition:* Number of securities giving right to a premium.

*Datatype:* [Number on page 441](#)

#### 11.1.7.15.3.2 PerVote <PerVote>

*Presence:* [1..\*]

*Definition:* Quantity of votes per type of vote giving right to a premium.

**PerVote <PerVote>** contains the following **VoteTypeAndQuantity1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionType <VoteInstrTp>	[1..1]	±		324
	VoteQuantity <VoteQty>	[1..1]	Quantity		325

#### 11.1.7.15.3.2.1 VoteInstructionType <VoteInstrTp>

*Presence:* [1..1]

*Definition:* Types of vote instruction allowed for resolutions to be voted on in general meeting.

**VoteInstructionType <VoteInstrTp>** contains one of the following elements (see [VoteInstructionType1Choice](#) on page 328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	±		329

#### 11.1.7.15.3.2.2 VoteQuantity <VoteQty>

*Presence:* [1..1]

*Definition:* Quantity of vote expressed for the specified type of vote instruction.

*Datatype:* [Number](#) on page 441

#### 11.1.7.15.3.3 PerAttendee <PerAttndee>

*Presence:* [1..1]

*Definition:* Indicates that the premium is given per attendee.

*Datatype:* One of the following values must be used (see [YesNoIndicator](#) on page 440):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 11.1.7.15.4 PaymentDate <PmtDt>

*Presence:* [0..1]

*Definition:* Date/time for the payment of the premium.

**PaymentDate <PmtDt>** contains one of the following elements (see [DateFormat3Choice](#) on page 293 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		293
Or}	DateCode <DtCd>	[1..1]	CodeSet		293

#### 11.1.7.16 LocationFormat1Choice

*Definition:* Choice between the formats to indicate the location of the meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		325
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		326

#### 11.1.7.16.1 Address <Adr>

*Presence:* [1..1]

*Definition:* Specifies physical address of the meeting.

**Address <Adr>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

### 11.1.7.16.2 LocationCode <LctnCd>

*Presence:* [1..1]

*Definition:* Indicates that the location is unknown.

*Datatype:* [PlaceType1Code](#) on page 427

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

### 11.1.7.17 AttendanceAdmissionConditions2

*Definition:* Physical attendance admission conditions for a meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		326
	AdditionalInformation <AddtlInf>	[0..1]	Text		327

#### 11.1.7.17.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Condition for physical admittance to the general meeting.

*Datatype:* [AttendanceAdmissionConditions2Code](#) on page 417

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.

CodeName	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.

#### 11.1.7.17.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information on the conditions for physical admittance to the general meeting.

*Datatype:* [Max350Text on page 443](#)

#### 11.1.7.18 ModalityOfCounting1Choice

*Definition:* Modality of counting as a predefined or proprietary code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328

#### 11.1.7.18.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Modality of counting expressed as a code.

*Datatype:* [ModalityOfCounting1Code on page 424](#)

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

### 11.1.7.18.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Modality of counting expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.7.19 CommunicationAddress11

*Definition:* Communication device number or electronic address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		328
	URLAddress <URLAdr>	[0..1]	Text		328

#### 11.1.7.19.1 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max256Text](#) on page 443

#### 11.1.7.19.2 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

*Datatype:* [Max2048Text](#) on page 443

### 11.1.7.20 VoteInstructionType1Choice

*Definition:* Choice between a predefined code value or a proprietary code value for a vote instruction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	±		329

#### 11.1.7.20.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Vote option expressed as a code.



*Datatype:* [VoteInstruction6Code](#) on page 435

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

### 11.1.7.20.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Vote option expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.7.21 NaturalPersonIdentification1

*Definition:* Identification number and identification type of a natural person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		330
	IdentificationType <IdTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331

### 11.1.7.21.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Natural person local identification.

*Datatype:* [Max35Text on page 443](#)

### 11.1.7.21.2 IdentificationType <IdTp>

*Presence:* [0..1]

*Definition:* Type of local identification for a natural person.

**IdentificationType <IdTp>** contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331

#### 11.1.7.21.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of identification expressed as a code.

*Datatype:* [TypeOfIdentification4Code on page 434](#)

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.

CodeName	Name	Definition
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

### 11.1.7.21.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of identification expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchemeNm>	[0..1]	Text		311

### 11.1.7.22 IssuerInformation3

*Definition:* Identification of an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		331
	URLAddress <URLAdr>	[0..1]	Text		331

#### 11.1.7.22.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify the organisation.

**Identification <Id>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

#### 11.1.7.22.2 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

*Datatype:* [Max2048Text](#) on page 443

### 11.1.7.23 MeetingTypeClassification2Choice

*Definition:* Choice of meeting type classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		332
Or}	Proprietary <Prtry>	[1..1]	±		332

#### 11.1.7.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a code.

*Datatype:* [MeetingTypeClassification2Code](#) on page 424

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

#### 11.1.7.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of meeting expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification13](#) on page 309 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		309
	SchemeName <SchmeNm>	[0..1]	Text		309
	Issuer <Issr>	[1..1]	Text		309

#### 11.1.7.24 Pagination1

*Definition:* Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		333
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		333

#### 11.1.7.24.1 PageNumber <PgNb>

*Presence:* [1..1]

*Definition:* Page number.

*Datatype:* [Max5NumericText on page 444](#)

#### 11.1.7.24.2 LastPageIndicator <LastPgInd>

*Presence:* [1..1]

*Definition:* Indicates the last page.

*Datatype:* One of the following values must be used (see [YesNoIndicator on page 440](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 11.1.7.25 ProcessingPosition7Choice

*Definition:* Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		333
Or}	Proprietary <Prtry>	[1..1]	±		334

#### Constraints

- **WithLinkageRule**

(Rule)

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 11.1.7.25.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Processing position expressed as an ISO 20022 code.

*Datatype:* [ProcessingPosition3Code on page 427](#)

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.

CodeName	Name	Definition
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

### 11.1.7.25.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Processing position expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.7.26 SupplementaryData1

*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		334
	Envelope <Envlp>	[1..1]	(External Schema)		335

#### Constraints

- **SupplementaryDataRule**  
(Rule)

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

#### 11.1.7.26.1 PlaceAndName <PlcAndNm>

*Presence:* [0..1]

*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

*Datatype:* [Max350Text](#) on page 443

### 11.1.7.26.2 Envelope <Envlp>

*Presence:* [1..1]

*Definition:* Technical element wrapping the supplementary data.

*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

## 11.1.8 Organisation Identification

### 11.1.8.1 OrganisationIdentification29

*Definition:* Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	335
	LEI <LEI>	[0..1]	IdentifierSet		336
	Other <Othr>	[0..*]			336
	Identification <Id>	[1..1]	Text		336
	SchemeName <SchmeNm>	[0..1]			336
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	Text		337
	Issuer <Issr>	[0..1]	Text		337

#### 11.1.8.1.1 AnyBIC <AnyBIC>

*Presence:* [0..1]

*Definition:* Business identification code of the organisation.

*Impacted by:* C1 AnyBIC

*Datatype:* AnyBICDec2014Identifier on page 438

#### Constraints

- **AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008

– *Error Text:* Invalid BIC.

### 11.1.8.1.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* [LEIIdentifier on page 440](#)

### 11.1.8.1.3 Other <Othr>

*Presence:* [0..\*]

*Definition:* Unique identification of an organisation, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		336
	SchemeName <SchmeNm>	[0..1]			336
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	Text		337
	Issuer <Issr>	[0..1]	Text		337

#### 11.1.8.1.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.8.1.3.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	Text		337

#### 11.1.8.1.3.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* [ExternalOrganisationIdentification1Code on page 422](#)



### 11.1.8.1.3.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* [Max35Text on page 443](#)

### 11.1.8.1.3.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* [Max35Text on page 443](#)

## 11.1.9 Party Identification

### 11.1.9.1 PartyIdentification135

*Definition:* Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		337
	PostalAddress <PstlAdr>	[0..1]	±		337
	Identification <Id>	[0..1]	±		338
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	338
	ContactDetails <CtctDtls>	[0..1]	±		339

#### 11.1.9.1.1 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* [Max140Text on page 442](#)

#### 11.1.9.1.2 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstAdr>** contains the following elements (see [PostalAddress24](#) on page 385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			386
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
	Department <Dept>	[0..1]	Text		387
	SubDepartment <SubDept>	[0..1]	Text		387
	StreetName <StrtNm>	[0..1]	Text		387
	BuildingNumber <BldgNb>	[0..1]	Text		387
	BuildingName <BldgNm>	[0..1]	Text		388
	Floor <Flr>	[0..1]	Text		388
	PostBox <PstBx>	[0..1]	Text		388
	Room <Room>	[0..1]	Text		388
	PostCode <PstCd>	[0..1]	Text		388
	TownName <TwnNm>	[0..1]	Text		388
	TownLocationName <TwnLctnNm>	[0..1]	Text		388
	DistrictName <DstrctNm>	[0..1]	Text		388
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		389
	Country <Ctry>	[0..1]	CodeSet	C3	389
	AddressLine <AdrLine>	[0..7]	Text		389

#### 11.1.9.1.3 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a party.

**Identification <Id>** contains one of the following elements (see [Party38Choice](#) on page 374 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		374
Or}	PrivateIdentification <PrvtId>	[1..1]	±		375

#### 11.1.9.1.4 CountryOfResidence <CtryOfRes>

*Presence:* [0..1]

*Definition:* Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

*Impacted by:* C3 Country

*Datatype:* [CountryCode on page 419](#)

**Constraints**

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**11.1.9.1.5 ContactDetails <CtctDtls>**

*Presence:* [0..1]

*Definition:* Set of elements used to indicate how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see [Contact4 on page 378](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		379
	Name <Nm>	[0..1]	Text		379
	PhoneNumber <PhneNb>	[0..1]	Text		379
	MobileNumber <MobNb>	[0..1]	Text		380
	FaxNumber <FaxNb>	[0..1]	Text		380
	EmailAddress <EmailAdr>	[0..1]	Text		380
	EmailPurpose <EmailPurp>	[0..1]	Text		380
	JobTitle <JobTitl>	[0..1]	Text		380
	Responsibility <Rspnsblty>	[0..1]	Text		380
	Department <Dept>	[0..1]	Text		380
	Other <Othr>	[0..*]			380
	ChannelType <ChanlTp>	[1..1]	Text		381
	Identification <Id>	[0..1]	Text		381
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		381

**11.1.9.2 PartyIdentification269**

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			340
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343
	EmailAddress <EmailAdr>	[0..1]	Text		343
	Identification <Id>	[0..1]	±		343
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		344
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C3	344

### 11.1.9.2.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		341
	Address <Adr>	[0..1]			341
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343

**11.1.9.2.1.1 Name <Nm>**

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* [Max350Text on page 443](#)

**11.1.9.2.1.2 Address <Adr>**

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		342
	AddressLine <AdrLine>	[0..5]	Text		342
	StreetName <StrtNm>	[0..1]	Text		342
	BuildingNumber <BldgNb>	[0..1]	Text		342
	PostBox <PstBx>	[0..1]	Text		342
	PostCode <PstCd>	[0..1]	Text		342
	TownName <TwnNm>	[0..1]	Text		343
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		343
	Country <Ctry>	[1..1]	CodeSet	C3	343

#### 11.1.9.2.1.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* [AddressType2Code on page 417](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.9.2.1.2.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.2.1.2.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.2.1.2.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.2.1.2.5 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.2.1.2.6 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.2.1.2.7 **TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* Max35Text on page 443

#### 11.1.9.2.1.2.8 **CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* Max35Text on page 443

#### 11.1.9.2.1.2.9 **Country <Ctry>**

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 Country

*Datatype:* CountryCode on page 419

##### **Constraints**

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

#### 11.1.9.2.2 **EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* Max256Text on page 443

#### 11.1.9.2.3 **Identification <Id>**

*Presence:* [0..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see [PartyIdentification198Choice](#) on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		359
Or	LEI <LEI>	[1..1]	IdentifierSet		359
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	359
Or	ClientIdentification <CIntId>	[1..1]	Text		360
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		360

#### 11.1.9.2.4 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>

*Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* [Max35Text](#) on page 443

#### 11.1.9.2.5 CountryOfIncorporation <CtryOfIncorprtn>

*Presence:* [0..1]

*Definition:* Country in which the company is incorporated or legally registered.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode](#) on page 419

##### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

#### 11.1.9.3 PartyIdentification250

*Definition:* Identification of a party.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			345
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348
	EmailAddress <EmailAdr>	[0..1]	Text		349
	Identification <Id>	[0..1]	±		349
	Nationality <Ntlty>	[0..1]	CodeSet	C3	349
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		350
	CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>	[0..1]	Text		350

### 11.1.9.3.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		346
	FirstName <FrstNm>	[1..1]	Text		346
	Surname <SrnM>	[1..1]	Text		346
	Address <Adr>	[0..1]			347
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348

#### 11.1.9.3.1.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* [NamePrefix2Code on page 425](#)

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 11.1.9.3.1.2 FirstName <FrstNm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* [Max350Text on page 443](#)

#### 11.1.9.3.1.3 Surname <SrnM>

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* [Max350Text on page 443](#)

### 11.1.9.3.1.4 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		347
	AddressLine <AdrLine>	[0..5]	Text		347
	StreetName <StrtNm>	[0..1]	Text		347
	BuildingNumber <BldgNb>	[0..1]	Text		348
	PostBox <PstBx>	[0..1]	Text		348
	PostCode <PstCd>	[0..1]	Text		348
	TownName <TwnNm>	[0..1]	Text		348
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		348
	Country <Ctry>	[1..1]	CodeSet	C3	348

#### 11.1.9.3.1.4.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* [AddressType2Code on page 417](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.9.3.1.4.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.3.1.4.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.3.1.4.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.3.1.4.5 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.3.1.4.6 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.3.1.4.7 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.3.1.4.8 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.3.1.4.9 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**11.1.9.3.2 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max256Text on page 443](#)

**11.1.9.3.3 Identification <Id>**

*Presence:* [0..1]

*Definition:* Natural person local identification and type.

**Identification <Id>** contains the following elements (see [NaturalPersonIdentification1 on page 329](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		330
	IdentificationType <IdTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331

**11.1.9.3.4 Nationality <Ntily>**

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

**Constraints**

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004

– *Error Text:* Invalid Country Code.

### 11.1.9.3.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following elements (see [DateAndPlaceOfBirth2](#) on page 313 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		313
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		314
	CityOfBirth <CityOfBirth>	[0..1]	Text		314
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	314

### 11.1.9.3.6 CompanyRegisterShareholderIdentification <CpnyRegrShrhldrId>

*Presence:* [0..1]

*Definition:* Identification of the shareholder in the company share register.

*Datatype:* [Max35Text](#) on page 443

### 11.1.9.4 Proxy11

*Definition:* Identification of a proxy appointed to represent a party authorised to vote at a shareholders meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		350
	PersonDetails <PrsnDtls>	[0..1]			351
	PreassignedProxy <PrssgndPrxy>	[0..1]			351
{Or	LegalPerson <LglPrsn>	[1..1]	±		351
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		352
	EmployingParty <EmplngPty>	[0..1]	±		353

#### 11.1.9.4.1 ProxyType <PrxyTp>

*Presence:* [1..1]

*Definition:* Type of proxy.

*Datatype:* [ProxyType3Code](#) on page 428

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.

CodeName	Name	Definition
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

#### 11.1.9.4.2 PersonDetails <PrsnDtls>

*Presence:* [0..1]

*Definition:* Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

**PersonDetails <PrsnDtls>** contains the following **IndividualPerson43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			351
{Or	LegalPerson <LglPrsn>	[1..1]	±		351
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		352
	EmployingParty <EmplngPty>	[0..1]	±		353

##### 11.1.9.4.2.1 PreassignedProxy <PrssgndPrxy>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of the proxy.

**PreassignedProxy <PrssgndPrxy>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		351
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		352

##### 11.1.9.4.2.1.1 LegalPerson <LglPrsn>

*Presence:* [1..1]

*Definition:* Legal entity.

**LegalPerson <LgIPrsn>** contains the following elements (see [PartyIdentification221](#) on page 370 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

#### 11.1.9.4.2.1.2 NaturalPerson <NtrIPrsn>

*Presence:* [1..1]

*Definition:* Private person.



**NaturalPerson <NtrlPrsn>** contains the following elements (see [PartyIdentification238](#) on page 354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 11.1.9.4.2.2 EmployingParty <EmplngPty>

*Presence:* [0..1]

*Definition:* Organisation represented by the person, or for which the person works.

**EmployingParty <EmplngPty>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

### 11.1.9.5 PartyIdentification238

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			354
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357
	EmailAddress <EmailAdr>	[0..1]	Text		358
	Identification <Id>	[1..1]	±		358
	Nationality <Ntlty>	[0..1]	CodeSet	C3	358
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]	±		359

#### 11.1.9.5.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		355
	FirstName <FrstNm>	[1..1]	Text		355
	Surname <SrnM>	[1..1]	Text		355
	Address <Adr>	[0..1]			356
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357

#### 11.1.9.5.1.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Prefix, as a title before a person's name.

*Datatype:* [NamePrefix2Code on page 425](#)

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 11.1.9.5.1.2 FirstName <FrstNm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* [Max350Text on page 443](#)

#### 11.1.9.5.1.3 Surname <SrnM>

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* [Max350Text on page 443](#)

#### 11.1.9.5.1.4 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		356
	AddressLine <AdrLine>	[0..5]	Text		356
	StreetName <StrtNm>	[0..1]	Text		356
	BuildingNumber <BldgNb>	[0..1]	Text		357
	PostBox <PstBx>	[0..1]	Text		357
	PostCode <PstCd>	[0..1]	Text		357
	TownName <TwnNm>	[0..1]	Text		357
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		357
	Country <Ctry>	[1..1]	CodeSet	C3	357

##### 11.1.9.5.1.4.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* [AddressType2Code on page 417](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

##### 11.1.9.5.1.4.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* [Max70Text on page 444](#)

##### 11.1.9.5.1.4.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

---

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.5.1.4.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.5.1.4.5 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.5.1.4.6 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.5.1.4.7 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.5.1.4.8 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.5.1.4.9 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

**11.1.9.5.2 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max256Text on page 443](#)

**11.1.9.5.3 Identification <Id>**

*Presence:* [1..1]

*Definition:* Natural person local identification and type.

**Identification <Id>** contains the following elements (see [NaturalPersonIdentification1 on page 329](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		330
	IdentificationType <IdTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331

**11.1.9.5.4 Nationality <Ntily>**

*Presence:* [0..1]

*Definition:* Nationality of the person.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

**Constraints**

• **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004

– *Error Text:* Invalid Country Code.

### 11.1.9.5.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date on which and place at which a born person is born.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following elements (see [DateAndPlaceOfBirth2](#) on page 313 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		313
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		314
	CityOfBirth <CityOfBirth>	[0..1]	Text		314
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	314

### 11.1.9.6 PartyIdentification198Choice

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		359
Or	LEI <LEI>	[1..1]	IdentifierSet		359
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	359
Or	ClientIdentification <ClntId>	[1..1]	Text		360
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		360

#### 11.1.9.6.1 NationalRegistrationNumber <NtlRegnNb>

*Presence:* [1..1]

*Definition:* Number assigned by a national registration authority to an entity.

*Datatype:* [Max35Text](#) on page 443

#### 11.1.9.6.2 LEI <LEI>

*Presence:* [1..1]

*Definition:* Legal entity identifier of the party.

*Datatype:* [LEIIdentifier](#) on page 440

#### 11.1.9.6.3 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* [C1 AnyBIC](#)

*Datatype:* [AnyBICDec2014Identifier on page 438](#)

**Constraints**

- **AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

**11.1.9.6.4 ClientIdentification <CIntld>**

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of the client's counterparty.

*Datatype:* [Max50Text on page 444](#)

**11.1.9.6.5 ProprietaryIdentification <Prtryld>**

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <Prtryld>** contains the following elements (see [GenericIdentification36 on page 311](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		311
	Issuer <Issr>	[1..1]	Text		311
	SchemeName <SchmeNm>	[0..1]	Text		311

**11.1.9.7 PartyIdentification224**

*Definition:* Identification of a party.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			361
	Name <Nm>	[1..1]	Text		362
	Address <Adr>	[0..1]			362
	AddressType <AdrTp>	[0..1]	CodeSet		362
	AddressLine <AdrLine>	[0..5]	Text		362
	StreetName <StrtNm>	[0..1]	Text		363
	BuildingNumber <BldgNb>	[0..1]	Text		363
	PostBox <PstBx>	[0..1]	Text		363
	PostCode <PstCd>	[0..1]	Text		363
	TownName <TwnNm>	[0..1]	Text		363
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		363
	Country <Ctry>	[1..1]	CodeSet	C3	363
	EmailAddress <EmailAdr>	[0..1]	Text		364
	Identification <Id>	[0..1]	±		364

### 11.1.9.7.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		362
	Address <Adr>	[0..1]			362
	AddressType <AdrTp>	[0..1]	CodeSet		362
	AddressLine <AdrLine>	[0..5]	Text		362
	StreetName <StrtNm>	[0..1]	Text		363
	BuildingNumber <BldgNb>	[0..1]	Text		363
	PostBox <PstBx>	[0..1]	Text		363
	PostCode <PstCd>	[0..1]	Text		363
	TownName <TwnNm>	[0..1]	Text		363
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		363
	Country <Ctry>	[1..1]	CodeSet	C3	363

### 11.1.9.7.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* [Max350Text on page 443](#)

### 11.1.9.7.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		362
	AddressLine <AdrLine>	[0..5]	Text		362
	StreetName <StrtNm>	[0..1]	Text		363
	BuildingNumber <BldgNb>	[0..1]	Text		363
	PostBox <PstBx>	[0..1]	Text		363
	PostCode <PstCd>	[0..1]	Text		363
	TownName <TwnNm>	[0..1]	Text		363
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		363
	Country <Ctry>	[1..1]	CodeSet	C3	363

#### 11.1.9.7.1.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* [AddressType2Code on page 417](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.9.7.1.2.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.7.1.2.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.7.1.2.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.7.1.2.5 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.7.1.2.6 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.7.1.2.7 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.7.1.2.8 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.7.1.2.9 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**  
(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

### 11.1.9.7.2 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* Max256Text on page 443

### 11.1.9.7.3 Identification <Id>

*Presence:* [0..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see PartyIdentification198Choice on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		359
Or	LEI <LEI>	[1..1]	IdentifierSet		359
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	359
Or	ClientIdentification <ClntId>	[1..1]	Text		360
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		360

### 11.1.9.8 IssuerAgent3

*Definition:* Identification of the issuer and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		364
	Role <Role>	[0..1]	CodeSet		365

#### 11.1.9.8.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the issuer agent.

**Identification <Id>** contains one of the following elements (see [PartyIdentification129Choice](#) on page 375 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

### 11.1.9.8.2 Role <Role>

*Presence:* [0..1]

*Definition:* Role of the issuer agent.

*Datatype:* [AgentRole1Code](#) on page 417

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

### 11.1.9.9 PartyIdentification222

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			366
	FirstName <FrstNm>	[1..1]	Text		367
	Surname <SrnM>	[1..1]	Text		367
	Address <Adr>	[0..1]			367
	AddressType <AdrTp>	[0..1]	CodeSet		368
	AddressLine <AdrLine>	[0..5]	Text		368
	StreetName <StrtNm>	[0..1]	Text		368
	BuildingNumber <BldgNb>	[0..1]	Text		368
	PostBox <PstBx>	[0..1]	Text		369
	PostCode <PstCd>	[0..1]	Text		369
	TownName <TwnNm>	[0..1]	Text		369
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		369
	Country <Ctry>	[1..1]	CodeSet	C3	369
	EmailAddress <EmailAdr>	[0..1]	Text		370
	Identification <Id>	[1..1]	±		370

### 11.1.9.9.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		367
	Surname <SrnM>	[1..1]	Text		367
	Address <Adr>	[0..1]			367
	AddressType <AdrTp>	[0..1]	CodeSet		368
	AddressLine <AdrLine>	[0..5]	Text		368
	StreetName <StrtNm>	[0..1]	Text		368
	BuildingNumber <BldgNb>	[0..1]	Text		368
	PostBox <PstBx>	[0..1]	Text		369
	PostCode <PstCd>	[0..1]	Text		369
	TownName <TwnNm>	[0..1]	Text		369
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		369
	Country <Ctry>	[1..1]	CodeSet	C3	369

**11.1.9.9.1.1 FirstName <FrstNm>**

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* Max350Text on page 443

**11.1.9.9.1.2 Surname <SrnM>**

*Presence:* [1..1]

*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.

*Datatype:* Max350Text on page 443

**11.1.9.9.1.3 Address <Adr>**

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		368
	AddressLine <AdrLine>	[0..5]	Text		368
	StreetName <StrtNm>	[0..1]	Text		368
	BuildingNumber <BldgNb>	[0..1]	Text		368
	PostBox <PstBx>	[0..1]	Text		369
	PostCode <PstCd>	[0..1]	Text		369
	TownName <TwnNm>	[0..1]	Text		369
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		369
	Country <Ctry>	[1..1]	CodeSet	C3	369

#### 11.1.9.9.1.3.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* [AddressType2Code on page 417](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.9.9.1.3.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.9.1.3.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.9.1.3.4 BuildingNumber <BldgNb>

*Presence:* [0..1]



*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.9.1.3.5 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.9.1.3.6 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.9.1.3.7 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.9.1.3.8 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.9.1.3.9 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004

– *Error Text:* Invalid Country Code.

### 11.1.9.9.2 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max256Text on page 443](#)

### 11.1.9.9.3 Identification <Id>

*Presence:* [1..1]

*Definition:* Natural person local identification and type.

**Identification <Id>** contains the following elements (see [NaturalPersonIdentification1 on page 329](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		330
	IdentificationType <IdTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331

### 11.1.9.10 PartyIdentification221

*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			371
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373
	EmailAddress <EmailAdr>	[0..1]	Text		374
	Identification <Id>	[1..1]	±		374

### 11.1.9.10.1 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of the party.

**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		371
	Address <Adr>	[0..1]			371
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373

#### 11.1.9.10.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* First name(s) by which a natural person is known.

*Datatype:* Max350Text on page 443

#### 11.1.9.10.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		372
	AddressLine <AdrLine>	[0..5]	Text		372
	StreetName <StrtNm>	[0..1]	Text		372
	BuildingNumber <BldgNb>	[0..1]	Text		372
	PostBox <PstBx>	[0..1]	Text		373
	PostCode <PstCd>	[0..1]	Text		373
	TownName <TwnNm>	[0..1]	Text		373
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		373
	Country <Ctry>	[1..1]	CodeSet	C3	373

#### 11.1.9.10.1.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* [AddressType2Code on page 417](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.9.10.1.2.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.10.1.2.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.9.10.1.2.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.10.1.2.5 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.10.1.2.6 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.9.10.1.2.7 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.10.1.2.8 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.9.10.1.2.9 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004

– *Error Text:* Invalid Country Code.

### 11.1.9.10.2 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max256Text](#) on page 443

### 11.1.9.10.3 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of the party.

**Identification <Id>** contains one of the following elements (see [PartyIdentification198Choice](#) on page 359 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		359
Or	LEI <LEI>	[1..1]	IdentifierSet		359
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	359
Or	ClientIdentification <ClntId>	[1..1]	Text		360
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		360

### 11.1.9.11 Party38Choice

*Definition:* Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		374
Or}	PrivateIdentification <PrvtId>	[1..1]	±		375

#### 11.1.9.11.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see [OrganisationIdentification29](#) on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	335
	LEI <LEI>	[0..1]	IdentifierSet		336
	Other <Othr>	[0..*]			336
	Identification <Id>	[1..1]	Text		336
	SchemeName <SchmeNm>	[0..1]			336
{Or	Code <Cd>	[1..1]	CodeSet		336
Or}	Proprietary <Prtry>	[1..1]	Text		337
	Issuer <Issr>	[0..1]	Text		337

### 11.1.9.11.2 PrivateIdentification <PrvtId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person, for example a passport.

**PrivateIdentification <PrvtId>** contains the following elements (see [PersonIdentification13](#) on page 381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			382
	BirthDate <BirthDt>	[1..1]	Date		382
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		382
	CityOfBirth <CityOfBirth>	[1..1]	Text		382
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	383
	Other <Othr>	[0..*]			383
	Identification <Id>	[1..1]	Text		383
	SchemeName <SchmeNm>	[0..1]			383
{Or	Code <Cd>	[1..1]	CodeSet		384
Or}	Proprietary <Prtry>	[1..1]	Text		384
	Issuer <Issr>	[0..1]	Text		384

### 11.1.9.12 PartyIdentification129Choice

*Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	376
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		376
Or	NameAndAddress <NmAndAdr>	[1..1]	±		376
Or}	LEI <LEI>	[1..1]	IdentifierSet		377

### 11.1.9.12.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C1 AnyBIC

*Datatype:* AnyBICDec2014Identifier on page 438

#### Constraints

- **AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

### 11.1.9.12.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see GenericIdentification36 on page 311 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		311
	Issuer <Issr>	[1..1]	Text		311
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.9.12.3 NameAndAddress <NmAndAdr>

*Presence:* [1..1]



*Definition:* Name and address of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see [NameAndAddress5](#) on page 392 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		392
	Address <Adr>	[0..1]	±		392

#### 11.1.9.12.4 LEI <LEI>

*Presence:* [1..1]

*Definition:* Legal entity identification of the party.

*Datatype:* [LEIIdentifier](#) on page 440

## 11.1.10 Person Identification

### 11.1.10.1 ContactIdentification1

*Definition:* Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		377
	NamePrefix <NmPrfx>	[0..1]	CodeSet		377
	GivenName <GvnNm>	[0..1]	Text		378
	Role <Role>	[0..1]	Text		378
	PhoneNumber <PhneNb>	[0..1]	Text		378
	FaxNumber <FaxNb>	[0..1]	Text		378
	EmailAddress <EmailAdr>	[0..1]	Text		378

#### 11.1.10.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* [Max35Text](#) on page 443

#### 11.1.10.1.2 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* [NamePrefix1Code](#) on page 424

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.

CodeName	Name	Definition
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

#### 11.1.10.1.3 GivenName <GvnNm>

*Presence:* [0..1]

*Definition:* First name of a person.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.10.1.4 Role <Role>

*Presence:* [0..1]

*Definition:* Role of the party in the activity.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.10.1.5 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* [PhoneNumber on page 445](#)

#### 11.1.10.1.6 FaxNumber <FaxNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* [PhoneNumber on page 445](#)

#### 11.1.10.1.7 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max256Text on page 443](#)

#### 11.1.10.2 Contact4

*Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		379
	Name <Nm>	[0..1]	Text		379
	PhoneNumber <PhneNb>	[0..1]	Text		379
	MobileNumber <MobNb>	[0..1]	Text		380
	FaxNumber <FaxNb>	[0..1]	Text		380
	EmailAddress <EmailAdr>	[0..1]	Text		380
	EmailPurpose <EmailPurp>	[0..1]	Text		380
	JobTitle <JobTitl>	[0..1]	Text		380
	Responsibility <Rspnsblty>	[0..1]	Text		380
	Department <Dept>	[0..1]	Text		380
	Other <Othr>	[0..*]			380
	ChannelType <ChanITp>	[1..1]	Text		381
	Identification <Id>	[0..1]	Text		381
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		381

#### 11.1.10.2.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* [NamePrefix2Code](#) on page 425

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 11.1.10.2.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* [Max140Text](#) on page 442

#### 11.1.10.2.3 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* [PhoneNumber on page 445](#)

#### **11.1.10.2.4 MobileNumber <MobNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.

*Datatype:* [PhoneNumber on page 445](#)

#### **11.1.10.2.5 FaxNumber <FaxNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* [PhoneNumber on page 445](#)

#### **11.1.10.2.6 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* [Max2048Text on page 443](#)

#### **11.1.10.2.7 EmailPurpose <EmailPurp>**

*Presence:* [0..1]

*Definition:* Purpose for which an email address may be used.

*Datatype:* [Max35Text on page 443](#)

#### **11.1.10.2.8 JobTitle <JobTitl>**

*Presence:* [0..1]

*Definition:* Title of the function.

*Datatype:* [Max35Text on page 443](#)

#### **11.1.10.2.9 Responsibility <Rspnsblty>**

*Presence:* [0..1]

*Definition:* Role of a person in an organisation.

*Datatype:* [Max35Text on page 443](#)

#### **11.1.10.2.10 Department <Dept>**

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* [Max70Text on page 444](#)

#### **11.1.10.2.11 Other <Othr>**

*Presence:* [0..\*]

*Definition:* Contact details in another form.

**Other <Othr>** contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanITp>	[1..1]	Text		381
	Identification <Id>	[0..1]	Text		381

#### 11.1.10.2.11.1 ChannelType <ChanITp>

*Presence:* [1..1]

*Definition:* Method used to contact the financial institution's contact for the specific tax region.

*Datatype:* Max4Text on page 444

#### 11.1.10.2.11.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Communication value such as phone number or email address.

*Datatype:* Max128Text on page 442

#### 11.1.10.2.12 PreferredMethod <PrefrdMtd>

*Presence:* [0..1]

*Definition:* Preferred method used to reach the contact.

*Datatype:* PreferredContactMethod1Code on page 427

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

#### 11.1.10.3 PersonIdentification13

*Definition:* Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			382
	BirthDate <BirthDt>	[1..1]	Date		382
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		382
	CityOfBirth <CityOfBirth>	[1..1]	Text		382
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	383
	Other <Othr>	[0..*]			383
	Identification <Id>	[1..1]	Text		383
	SchemeName <SchmeNm>	[0..1]			383
{Or	Code <Cd>	[1..1]	CodeSet		384
Or}	Proprietary <Prtry>	[1..1]	Text		384
	Issuer <Issr>	[0..1]	Text		384

#### 11.1.10.3.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date and place of birth of a person.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		382
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		382
	CityOfBirth <CityOfBirth>	[1..1]	Text		382
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	383

##### 11.1.10.3.1.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date on which a person is born.

*Datatype:* ISODate on page 438

##### 11.1.10.3.1.2 ProvinceOfBirth <PrvcOfBirth>

*Presence:* [0..1]

*Definition:* Province where a person was born.

*Datatype:* Max35Text on page 443

##### 11.1.10.3.1.3 CityOfBirth <CityOfBirth>

*Presence:* [1..1]

*Definition:* City where a person was born.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.10.3.1.4 CountryOfBirth <CtryOfBirth>

*Presence:* [1..1]

*Definition:* Country where a person was born.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

##### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

#### 11.1.10.3.2 Other <Othr>

*Presence:* [0..\*]

*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		383
	SchemeName <SchmeNm>	[0..1]			383
{Or	Code <Cd>	[1..1]	CodeSet		384
Or}	Proprietary <Prtry>	[1..1]	Text		384
	Issuer <Issr>	[0..1]	Text		384

##### 11.1.10.3.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* [Max35Text on page 443](#)

##### 11.1.10.3.2.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemaName <SchmeNm>** contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		384
Or}	Proprietary <Prtry>	[1..1]	Text		384

#### 11.1.10.3.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* [ExternalPersonIdentification1Code on page 422](#)

#### 11.1.10.3.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.10.3.2.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* [Max35Text on page 443](#)

## 11.1.11 Postal Address

### 11.1.11.1 LongPostalAddress2Choice

*Definition:* Information that locates and identifies a specific address, as defined by postal services either in a structured or unstructured way.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		384
Or}	Structured <Strd>	[1..1]	±		385

#### Constraints

- **StructuredOrUnstructured1Guideline**

(Guideline)

It is recommended that Structured be used.

#### 11.1.11.1.1 Unstructured <Ustrd>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.



*Datatype:* [Max350Text](#) on page 443

### 11.1.11.1.2 Structured <Strd>

*Presence:* [1..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

**Structured <Strd>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

### 11.1.11.2 PostalAddress24

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			386
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
	Department <Dept>	[0..1]	Text		387
	SubDepartment <SubDept>	[0..1]	Text		387
	StreetName <StrtNm>	[0..1]	Text		387
	BuildingNumber <BldgNb>	[0..1]	Text		387
	BuildingName <BldgNm>	[0..1]	Text		388
	Floor <Flr>	[0..1]	Text		388
	PostBox <PstBx>	[0..1]	Text		388
	Room <Room>	[0..1]	Text		388
	PostCode <PstCd>	[0..1]	Text		388
	TownName <TwnNm>	[0..1]	Text		388
	TownLocationName <TwnLctnNm>	[0..1]	Text		388
	DistrictName <DstrctNm>	[0..1]	Text		388
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		389
	Country <Ctry>	[0..1]	CodeSet	C3	389
	AddressLine <AdrLine>	[0..7]	Text		389

### 11.1.11.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

**AddressType <AdrTp>** contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387

#### 11.1.11.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of address expressed as a code.

*Datatype:* [AddressType2Code](#) on page 417

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.

CodeName	Name	Definition
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 11.1.11.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of address expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.11.2.2 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* [Max70Text](#) on page 444

#### 11.1.11.2.3 SubDepartment <SubDept>

*Presence:* [0..1]

*Definition:* Identification of a sub-division of a large organisation or building.

*Datatype:* [Max70Text](#) on page 444

#### 11.1.11.2.4 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* [Max70Text](#) on page 444

#### 11.1.11.2.5 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text](#) on page 443

#### 11.1.11.2.6 BuildingName <BldgNm>

*Presence:* [0..1]

*Definition:* Name of the building or house.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.11.2.7 Floor <Flr>

*Presence:* [0..1]

*Definition:* Floor or storey within a building.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.11.2.8 PostBox <PstBx>

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.11.2.9 Room <Room>

*Presence:* [0..1]

*Definition:* Building room number.

*Datatype:* [Max70Text on page 444](#)

#### 11.1.11.2.10 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

#### 11.1.11.2.11 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.11.2.12 TownLocationName <TwnLctnNm>

*Presence:* [0..1]

*Definition:* Specific location name within the town.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.11.2.13 DistrictName <DstrctNm>

*Presence:* [0..1]

*Definition:* Identifies a subdivision within a country sub-division.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.11.2.14 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country such as state, region, county.

*Datatype:* Max35Text on page 443

#### 11.1.11.2.15 Country <Ctry>

*Presence:* [0..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 Country

*Datatype:* CountryCode on page 419

##### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

#### 11.1.11.2.16 AddressLine <AdrLine>

*Presence:* [0..7]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

*Datatype:* Max70Text on page 444

#### 11.1.11.3 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

### 11.1.11.3.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* [AddressType2Code on page 417](#)

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

### 11.1.11.3.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* [Max70Text on page 444](#)

### 11.1.11.3.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* [Max70Text on page 444](#)

### 11.1.11.3.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* [Max16Text on page 443](#)

### 11.1.11.3.5 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* [Max16Text on page 443](#)

### 11.1.11.3.6 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* [Max35Text on page 443](#)

### 11.1.11.3.7 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* [Max35Text on page 443](#)

### 11.1.11.3.8 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

### 11.1.11.4 NameAndAddress9

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		392
	Address <Adr>	[0..1]	±	C19	392

#### 11.1.11.4.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* [Max350Text on page 443](#)

#### 11.1.11.4.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of a party.

*Impacted by:* [C19 StructuredOrUnstructured1Guideline](#)

**Address <Adr>** contains one of the following elements (see [LongPostalAddress2Choice on page 384](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		384
Or}	Structured <Strd>	[1..1]	±		385

#### Constraints

- **StructuredOrUnstructured1Guideline**

(Guideline)

It is recommended that Structured be used.

#### 11.1.11.5 NameAndAddress5

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		392
	Address <Adr>	[0..1]	±		392

#### 11.1.11.5.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* [Max350Text on page 443](#)

#### 11.1.11.5.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.



**Address <Adr>** contains the following elements (see [PostalAddress1](#) on page 389 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		390
	AddressLine <AdrLine>	[0..5]	Text		390
	StreetName <StrtNm>	[0..1]	Text		390
	BuildingNumber <BldgNb>	[0..1]	Text		390
	PostCode <PstCd>	[0..1]	Text		391
	TownName <TwnNm>	[0..1]	Text		391
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		391
	Country <Ctry>	[1..1]	CodeSet	C3	391

## 11.1.12 Price

### 11.1.12.1 PriceRateOrAmount3Choice

*Definition:* Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		393
Or}	Amount <Amt>	[1..1]	Amount	C4	393

#### 11.1.12.1.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, that is percentage.

*Datatype:* [PercentageRate](#) on page 441

#### 11.1.12.1.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and value.

*Impacted by:* [C4 ActiveOrHistoricCurrency](#)

*Datatype:* [ActiveOrHistoricCurrencyAnd13DecimalAmount](#) on page 415

#### Constraints

- **ActiveOrHistoricCurrency**

(Rule)

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

## 11.1.13 Quantity

### 11.1.13.1 SignedQuantityFormat14

*Definition:* Signed quantity of a security for various quantity formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		394
	Quantity <Qty>	[1..1]		C9	394
{Or	Unit <Unit>	[1..1]	Quantity		395
Or	FaceAmount <FaceAmt>	[1..1]	Amount		395
Or}	DigitalTokenUnit <DgtITknUnit>	[1..1]	Quantity		395

#### 11.1.13.1.1 ShortLongPosition <ShrtLngPos>

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* [ShortLong1Code](#) on page 433

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

#### 11.1.13.1.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.

*Impacted by:* [C9 DigitalTokenUnitUsageRule](#)

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		395
Or	FaceAmount <FaceAmt>	[1..1]	Amount		395
Or}	DigitalTokenUnit <DgtITknUnit>	[1..1]	Quantity		395

**Constraints**

- **DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**11.1.13.1.2.1 Unit <Unit>**

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* [DecimalNumber on page 440](#)

**11.1.13.1.2.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* [ImpliedCurrencyAndAmount on page 416](#)

**11.1.13.1.2.3 DigitalTokenUnit <DgtlTknUnit>**

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* [Max30DecimalNumber on page 441](#)

**11.1.13.2 SignedQuantityFormat15**

*Definition:* Signed quantity of a security for various quantity formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		395
	Quantity <Qty>	[1..1]		C9	396
{Or	Unit <Unit>	[1..1]	Quantity		396
Or	FaceAmount <FaceAmt>	[1..1]	Amount		396
Or	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		396
Or}	Code <Cd>	[1..1]	CodeSet		396

**11.1.13.2.1 ShortLongPosition <ShrtLngPos>**

*Presence:* [1..1]

*Definition:* Sign of the quantity of securities.

*Datatype:* [ShortLong1Code on page 433](#)

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.

CodeName	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.

### 11.1.13.2.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of securities.

*Impacted by:* [C9 DigitalTokenUnitUsageRule](#)

**Quantity <Qty>** contains one of the following **FinancialInstrumentQuantity46Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		396
Or	FaceAmount <FaceAmt>	[1..1]	Amount		396
Or	DigitalTokenUnit <DgtITknUnit>	[1..1]	Quantity		396
Or}	Code <Cd>	[1..1]	CodeSet		396

#### Constraints

- **DigitalTokenUnitUsageRule**

(Rule)

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 11.1.13.2.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* [DecimalNumber on page 440](#)

#### 11.1.13.2.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* [ImpliedCurrencyAndAmount on page 416](#)

#### 11.1.13.2.2.3 DigitalTokenUnit <DgtITknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* [Max30DecimalNumber on page 441](#)

#### 11.1.13.2.2.4 Code <Cd>

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.

*Datatype:* [Quantity1Code](#) on page 428

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

## 11.1.14 Safekeeping Place

### 11.1.14.1 SafekeepingPlaceFormat28Choice

*Definition:* Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			397
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398
Or	Country <Ctry>	[1..1]	CodeSet	C3	398
Or	TypeAndIdentification <TpAndId>	[1..1]			398
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399
Or}	Proprietary <Prtry>	[1..1]	±		399

#### 11.1.14.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed as a code and a narrative description.

**Identification <Id>** contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		397
	Identification <Id>	[0..1]	Text		398

#### 11.1.14.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* [SafekeepingPlace2Code](#) on page 431

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

CodeName	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

#### 11.1.14.1.1.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Additional information about the place of safekeeping.

*Datatype:* [Max35Text on page 443](#)

#### 11.1.14.1.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a country code.

*Impacted by:* [C3 Country](#)

*Datatype:* [CountryCode on page 419](#)

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

#### 11.1.14.1.3 TypeAndIdentification <TpAndId>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a type and identification.

**TypeAndIdentification <TpAndId>** contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		398
	Identification <Id>	[1..1]	IdentifierSet	C1	399

#### 11.1.14.1.3.1 SafekeepingPlaceType <SfkpgPlcTp>

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* [SafekeepingPlace1Code on page 431](#)

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

#### 11.1.14.1.3.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Place of safekeeping.

*Impacted by:* C1 AnyBIC

*Datatype:* AnyBICDec2014Identifier on page 438

#### Constraints

- **AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

#### 11.1.14.1.4 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a propriety identification scheme.

**Proprietary <Prtry>** contains the following elements (see GenericIdentification78 on page 309 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		310
	Identification <Id>	[0..1]	Text		310

## 11.1.15 Securities Quantity

### 11.1.15.1 FinancialInstrumentQuantity18Choice

*Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 11.1.15.1.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* [DecimalNumber](#) on page 440

#### 11.1.15.1.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* [ImpliedCurrencyAndAmount](#) on page 416

### 11.1.15.2 QuantityOrCode1Choice

*Definition:* Choice between a quantity or a code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		400
Or}	Code <Cd>	[1..1]	CodeSet		400

#### 11.1.15.2.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number.

**Quantity <Qty>** contains one of the following elements (see [FinancialInstrumentQuantity18Choice](#) on page 400 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		400
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		400

#### 11.1.15.2.2 Code <Cd>

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.



*Datatype:* [Quantity1Code on page 428](#)

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

## 11.1.16 Status

### 11.1.16.1 PendingStatus55Choice

*Definition:* Choice between a reason and no reason for the general meeting instruction processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		401
Or}	Reason <Rsn>	[1..*]		C6	401
	ReasonCode <RsnCd>	[1..1]	±		402
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		402

#### 11.1.16.1.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Reason not specified.

*Datatype:* [NoReasonCode on page 425](#)

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 11.1.16.1.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason why the meeting instruction message or the individual meeting instruction is pending.

*Impacted by:* [C6 AdditionalReasonInformationRule](#)

**Reason <Rsn>** contains the following **PendingStatusReason16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]	±		402
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		402

#### Constraints

- **AdditionalReasonInformationRule**  
(Rule)

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

#### 11.1.16.1.2.1 ReasonCode <RsnCd>

*Presence:* [1..1]

*Definition:* Reason for the pending status.

**ReasonCode <RsnCd>** contains one of the following elements (see [PendingReason51Choice](#) on page 408 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		408
Or}	Proprietary <Prtry>	[1..1]	±		409

#### 11.1.16.1.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the pending status.

*Datatype:* [Max350Text](#) on page 443

#### 11.1.16.2 RejectedStatus44Choice

*Definition:* Choice between a reason and no reason for the general meeting instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		402
Or}	Reason <Rsn>	[1..*]		C5	402
	ReasonCode <RsnCd>	[1..1]			403
{Or	Code <Cd>	[1..1]	CodeSet		403
Or}	Proprietary <Prtry>	[1..1]	±		404
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		405

#### 11.1.16.2.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Reason not specified.

*Datatype:* [NoReasonCode](#) on page 425

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

#### 11.1.16.2.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason why the meeting instruction message or the individual meeting instruction is rejected.

*Impacted by:* [C5 AdditionalReasonInformationRule](#)

**Reason <Rsn>** contains the following **RejectedStatusReason42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			403
{Or	Code <Cd>	[1..1]	CodeSet		403
Or}	Proprietary <Prtry>	[1..1]	±		404
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		405

**Constraints**

- **AdditionalReasonInformationRule**

(Rule)

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

**11.1.16.2.2.1 ReasonCode <RsnCd>**

*Presence:* [1..1]

*Definition:* Reason for the rejected status.

**ReasonCode <RsnCd>** contains one of the following **RejectedReason44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		403
Or}	Proprietary <Prtry>	[1..1]	±		404

**11.1.16.2.2.1.1 Code <Cd>**

*Presence:* [1..1]

*Definition:* Rejection reason specified as a code.

*Datatype:* [RejectionReason65Code](#) on page 429

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.

CodeName	Name	Definition
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.

#### 11.1.16.2.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Rejection reason specified as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.16.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the rejection status.

*Datatype:* [Max350Text](#) on page 443

#### 11.1.16.3 CancellationStatus27Choice

*Definition:* Status applying to the instruction cancellation request received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GblCxlSts>	[1..1]	±		405
Or}	DetailedCancellationStatus <DtldCxlSts>	[1..*]		C22	405
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		406
	AccountIdentification <AcctId>	[0..1]	Text		406
	SubAccountIdentification <SubAcctId>	[0..1]	Text		406
	InstructionCancellationStatus <InstrCxlSts>	[1..1]	±		407

#### 11.1.16.3.1 GlobalCancellationStatus <GblCxlSts>

*Presence:* [1..1]

*Definition:* Status applicable globally to the meeting instruction cancellation request message.

**GlobalCancellationStatus <GblCxlSts>** contains one of the following elements (see [CancellationStatus26Choice](#) on page 407 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		407
Or	Rejected <Rjctd>	[1..1]	±		407
Or}	PendingCancellation <PdgCxl>	[1..1]	±		408

#### 11.1.16.3.2 DetailedCancellationStatus <DtldCxlSts>

*Presence:* [1..\*]

*Definition:* Status of individual instruction cancellation request that were received within the instruction cancellation message identified in InstructionType/InstructionCancellationIdentification.

Impacted by: C22 SubAccount1Rule

**DetailedCancellationStatus <DtIdCxlSts>** contains the following  
**DetailedInstructionCancellationStatus14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		406
	AccountIdentification <AcctId>	[0..1]	Text		406
	SubAccountIdentification <SubAcctId>	[0..1]	Text		406
	InstructionCancellationStatus <InstrCxlSts>	[1..1]	±		407

**Constraints**

• **SubAccount1Rule**

(Rule)

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* X00088
- *Error Text:* Invalid message content for SubAccountIdentification

**11.1.16.3.2.1 SingleInstructionCancellationIdentification <SnglInstrCxlId>**

*Presence:* [1..1]

*Definition:* Identification of the specific individual instruction cancellation request from the original meeting instruction cancellation request message identified in InstructionType/ InstructionCancellationIdentification, for which the status is provided.

*Datatype:* Max35Text on page 443

**11.1.16.3.2.2 AccountIdentification <AcctId>**

*Presence:* [0..1]

*Definition:* Identification of the securities account.

*Datatype:* Max35Text on page 443

**11.1.16.3.2.3 SubAccountIdentification <SubAcctId>**

*Presence:* [0..1]

*Definition:* Identification of the subaccount within the safekeeping account.

*Datatype:* Max35Text on page 443

### 11.1.16.3.2.4 InstructionCancellationStatus <InstrCxlSts>

*Presence:* [1..1]

*Definition:* Status of an individual meeting instruction cancellation request.

**InstructionCancellationStatus <InstrCxlSts>** contains one of the following elements (see [CancellationStatus26Choice](#) on page 407 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		407
Or	Rejected <Rjctd>	[1..1]	±		407
Or}	PendingCancellation <PdgCxl>	[1..1]	±		408

### 11.1.16.4 CancellationStatus26Choice

*Definition:* Status applying to the instruction cancellation request received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		407
Or	Rejected <Rjctd>	[1..1]	±		407
Or}	PendingCancellation <PdgCxl>	[1..1]	±		408

### 11.1.16.4.1 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Status advising on the processing of the instruction cancellation request.

**ProcessingStatus <PrcgSts>** contains the following elements (see [CancellationProcessingStatus2](#) on page 414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		414
	AdditionalInformation <AddtlInf>	[0..1]	Text		414

### 11.1.16.4.2 Rejected <Rjctd>

*Presence:* [1..1]

*Definition:* Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

**Rejected <Rjctd>** contains one of the following elements (see [RejectedStatus31Choice](#) on page 411 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		412
Or}	Reason <Rsn>	[1..*]		C7	412
	ReasonCode <RsnCd>	[1..1]			412
{Or	Code <Cd>	[1..1]	CodeSet		413
Or}	Proprietary <Prtry>	[1..1]	±		413
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		414

#### 11.1.16.4.3 PendingCancellation <PdgCxl>

*Presence:* [1..1]

*Definition:* Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

**PendingCancellation <PdgCxl>** contains one of the following elements (see [PendingCancellationStatus10Choice](#) on page 409 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		410
Or}	Reason <Rsn>	[1..*]		C4, C8	410
	ReasonCode <RsnCd>	[1..1]			411
{Or	Code <Cd>	[1..1]	CodeSet		411
Or}	Proprietary <Prtry>	[1..1]	±		411
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		411

#### 11.1.16.5 PendingReason51Choice

*Definition:* Choice between a standard code and a proprietary code to specify the reason why the instruction/event has a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		408
Or}	Proprietary <Prtry>	[1..1]	±		409

##### 11.1.16.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Pending reason specified as a code.

*Datatype:* [PendingReason16Code](#) on page 426



CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.

### 11.1.16.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Pending reason specified as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

### 11.1.16.6 PendingCancellationStatus10Choice

*Definition:* Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		410
Or}	Reason <Rsn>	[1..*]		C4, C8	410
	ReasonCode <RsnCd>	[1..1]			411
{Or	Code <Cd>	[1..1]	CodeSet		411
Or}	Proprietary <Prtry>	[1..1]	±		411
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		411

### 11.1.16.6.1 NotSpecifiedReason <NotSpcfdRsn>

*Presence:* [1..1]

*Definition:* Reason not specified.

*Datatype:* NoReasonCode on page 425

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 11.1.16.6.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is pending.

*Impacted by:* [C4 AccountServicerDeadlineMissedGuideline](#), [C8 AdditionalReasonInformationRule](#)

**Reason <Rsn>** contains the following **PendingCancellationStatusReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			411
{Or	Code <Cd>	[1..1]	CodeSet		411
Or}	Proprietary <Prtry>	[1..1]	±		411
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		411

#### Constraints

- **AccountServicerDeadlineMissedGuideline**

(Rule)

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

(Rule)

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

### 11.1.16.6.2.1 ReasonCode <RsnCd>

*Presence:* [1..1]

*Definition:* Reason for the pending status.

**ReasonCode <RsnCd>** contains one of the following **PendingCancellationReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		411
Or}	Proprietary <Prtry>	[1..1]	±		411

#### 11.1.16.6.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Pending reason specified as a code.

*Datatype:* [PendingCancellationReason6Code on page 426](#)

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

#### 11.1.16.6.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Pending reason specified as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30 on page 310](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.16.6.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the pending status.

*Datatype:* [Max350Text on page 443](#)

### 11.1.16.7 RejectedStatus31Choice

*Definition:* Choice between a reason or no reason for the corporate action instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		412
Or}	Reason <Rsn>	[1..*]		C7	412
	ReasonCode <RsnCd>	[1..1]			412
{Or	Code <Cd>	[1..1]	CodeSet		413
Or}	Proprietary <Prtry>	[1..1]	±		413
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		414

### 11.1.16.7.1 NoSpecifiedReason <NoSpcfdRsn>

*Presence:* [1..1]

*Definition:* Reason not specified.

*Datatype:* NoReasonCode on page 425

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 11.1.16.7.2 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is rejected.

*Impacted by:* C7 AdditionalReasonInformationRule

**Reason <Rsn>** contains the following **RejectedStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			412
{Or	Code <Cd>	[1..1]	CodeSet		413
Or}	Proprietary <Prtry>	[1..1]	±		413
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		414

#### Constraints

- **AdditionalReasonInformationRule**

(Rule)

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

### 11.1.16.7.2.1 ReasonCode <RsnCd>

*Presence:* [1..1]

*Definition:* Reason for the rejected status.

**ReasonCode <RsnCd>** contains one of the following **RejectedReason29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		413
Or}	Proprietary <Prtry>	[1..1]	±		413

#### 11.1.16.7.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason why the instruction/cancellation request has a rejected status.

*Datatype:* [RejectionReason51Code](#) on page 428

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

#### 11.1.16.7.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

**Proprietary <Prtry>** contains the following elements (see [GenericIdentification30](#) on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		310
	Issuer <Issr>	[1..1]	Text		310
	SchemeName <SchmeNm>	[0..1]	Text		311

#### 11.1.16.7.2.2 AdditionalReasonInformation <AddtlRsnInf>

*Presence:* [0..1]

*Definition:* Additional information about the rejection status.

*Datatype:* [Max350Text](#) on page 443

#### 11.1.16.8 CancellationProcessingStatus2

*Definition:* Status advising on the processing of the cancellation request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		414
	AdditionalInformation <AddtlInf>	[0..1]	Text		414

##### 11.1.16.8.1 Status <Sts>

*Presence:* [1..1]

*Definition:* Status code.

*Datatype:* [CancellationStatus6Code](#) on page 418

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

##### 11.1.16.8.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* [Max350Text](#) on page 443

## 11.1.17 System Identification

### 11.1.17.1 ClearingSystemIdentification2Choice

*Definition:* Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	Text		415

#### 11.1.17.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Identification of a clearing system, in a coded form as published in an external list.

*Datatype:* [ExternalClearingSystemIdentification1Code on page 421](#)

#### 11.1.17.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

*Datatype:* [Max35Text on page 443](#)

## 11.2 Message Datatypes

### 11.2.1 Amount

#### 11.2.1.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

*Definition:* A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	ActiveOrHistoricCurrencyCode on page 416

#### Format

minInclusive	0
totalDigits	18
fractionDigits	13

## Constraints

- **ActiveOrHistoricCurrency**

(Rule)

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

### 11.2.1.2 ImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

#### Format

minInclusive	0
totalDigits	18
fractionDigits	5

## 11.2.2 CodeSet

### 11.2.2.1 ActiveOrHistoricCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

#### Format

pattern	[A-Z]{3,3}
---------	------------

## Constraints

- **ActiveOrHistoricCurrency**

(Rule)

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.



*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

### 11.2.2.2 AdditionalRight1Code

*Definition:* Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

*Type:* CodeSet

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

### 11.2.2.3 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

### 11.2.2.4 AgentRole1Code

*Definition:* Specifies the role of the Issuer agent.

*Type:* CodeSet

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

### 11.2.2.5 AttendanceAdmissionConditions2Code

*Definition:* Specifies the conditions for physical admittance to a general meeting.

*Type:* CodeSet

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.

### 11.2.2.6 BusinessMessagePriorityCode

*Definition:* Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

*Type:* CodeSet

#### Constraints

- **ValidationByTable**  
(Rule)

### 11.2.2.7 CancellationStatus6Code

*Definition:* Specifies the cancellation status.

*Type:* CodeSet

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.

CodeName	Name	Definition
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

### 11.2.2.8 CopyDuplicate1Code

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Type:* CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### 11.2.2.9 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

#### Format

pattern [A-Z]{2,2}

#### Constraints

- **Country**

(Rule)

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

### 11.2.2.10 DateMode1Code

*Definition:* Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: CodeSet

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

### 11.2.2.11 DateType10Code

*Definition:* Date is specified as a fixed code value.

Type: CodeSet

CodeName	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
UKWN	Unknown	Date is unknown by the sender or has not been established.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.

### 11.2.2.12 DateType1Code

*Definition:* Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

### 11.2.2.13 DeliveryPlace3Code

*Definition:* Specifies the place of delivery.

Type: CodeSet

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

### 11.2.2.14 EventCompletenessStatus1Code

*Definition:* Indicates whether the details provided about an event are complete or incomplete.

*Type:* CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

### 11.2.2.15 EventConfirmationStatus1Code

*Definition:* Indicates the status of the occurrence of an event.

*Type:* CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

### 11.2.2.16 ExternalClearingSystemIdentification1Code

*Definition:* Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	5

### 11.2.2.17 ExternalFinancialInstitutionIdentification1Code

*Definition:* Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 11.2.2.18 ExternalFinancialInstrumentIdentificationType1Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 11.2.2.19 ExternalOrganisationIdentification1Code

*Definition:* Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 11.2.2.20 ExternalPersonIdentification1Code

*Definition:* Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

#### Format

minLength	1
maxLength	4

### 11.2.2.21 ISO2ALanguageCode

*Definition:* Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

*Type:* CodeSet

**Format**

pattern [a-z]{2,2}

**11.2.2.22 MeetingCancellationReason2Code**

*Definition:* Specifies the reason for cancelling a meeting.

*Type:* CodeSet

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

**11.2.2.23 MeetingDateStatus2Code**

*Definition:* Specifies a status for a meeting date.

*Type:* CodeSet

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

**11.2.2.24 MeetingType4Code**

*Definition:* Specifies a type of security holders meeting.

*Type:* CodeSet

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.

CodeName	Name	Definition
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

### 11.2.2.25 MeetingTypeClassification2Code

*Definition:* Classifies the meeting type.

*Type:* CodeSet

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

### 11.2.2.26 ModalityOfCounting1Code

*Definition:* Specifies the modality through which the votes that have been recorded and counted.

*Type:* CodeSet

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

### 11.2.2.27 NamePrefix1Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.



CodeName	Name	Definition
MADM	Madam	Title of the person is Madam.

### 11.2.2.28 NamePrefix2Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

### 11.2.2.29 NoReasonCode

*Definition:* Specifies that there is no reason available.

*Type:* CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

### 11.2.2.30 NotificationType2Code

*Definition:* Specifies a type of notification.

*Type:* CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

### 11.2.2.31 NotificationType3Code

*Definition:* Specifies a type of notification.

*Type:* CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

### 11.2.2.32 PartyRole3Code

*Definition:* Specifies the role of the party in the transaction.

*Type:* CodeSet

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

### 11.2.2.33 PendingCancellationReason6Code

*Definition:* Specifies a reason why the cancellation request has a pending status.

*Type:* CodeSet

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

### 11.2.2.34 PendingReason16Code

*Definition:* Specifies a reason why the instruction is pending.

*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.

CodeName	Name	Definition
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.

### 11.2.2.35 PlaceType1Code

*Definition:* Type of date.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

### 11.2.2.36 PowerOfAttorneyLegalisation1Code

*Definition:* Indicates the legal constraint on the Power of Attorney.

*Type:* CodeSet

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

### 11.2.2.37 PreferredContactMethod1Code

*Definition:* Preferred method used to reach the individual contact within an organisation.

*Type:* CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

### 11.2.2.38 ProcessingPosition3Code

*Definition:* Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

### 11.2.2.39 ProxyNotAllowed1Code

*Definition:* Indicates that the assignment of a proxy is not allowed for the meeting.

Type: CodeSet

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

### 11.2.2.40 ProxyType3Code

*Definition:* Specifies the type of proxy person.

Type: CodeSet

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
NEPR	NeutralProxy	Neutral proxy nominated by the issuer to execute votes on behalf of investors at the meeting.
HLDR	SecurityHolder	Proxy can only be a security holder.

### 11.2.2.41 Quantity1Code

*Definition:* Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

### 11.2.2.42 RejectionReason51Code

*Definition:* Specifies a reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

### 11.2.2.43 RejectionReason65Code

*Definition:* Specifies a reason why the instruction has a rejected status.

*Type:* CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.

CodeName	Name	Definition
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.
PMNS	ParticipationMethodNotSupported	Instruction rejected due to the method of participation not supported by the account servicer.

#### 11.2.2.44 ResolutionStatus1Code

*Definition:* Specifies whether a resolution in an agenda is still active or has been deleted.

*Type:* CodeSet

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

#### 11.2.2.45 ResolutionStatus2Code

*Definition:* Specifies the status of a resolution in a meeting agenda.

*Type:* CodeSet

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.

CodeName	Name	Definition
WDRA	Withdrawn	Meeting resolution has been withdrawn.

### 11.2.2.46 ResolutionSubStatus1Code

*Definition:* Specifies the sub-status of a resolution.

*Type:* CodeSet

CodeName	Name	Definition
AMDR	AmendedResolution	Resolution voted at the meeting was amended.
NEWR	NewResolution	Resolution voted at the meeting is new.

### 11.2.2.47 ResolutionType2Code

*Definition:* Specifies the type of resolution.

*Type:* CodeSet

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

### 11.2.2.48 SafekeepingPlace1Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

### 11.2.2.49 SafekeepingPlace2Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

### 11.2.2.50 SecuritiesEntryType2Code

*Definition:* Specifies the type of securities entry on an account.

*Type:* CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.



CodeName	Name	Definition
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

### 11.2.2.51 SecuritiesEntryType3Code

*Definition:* Specifies the type of securities entry on an account.

*Type:* CodeSet

CodeName	Name	Definition
ELIG	Eligible	Total number of securities eligible for this event.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.

### 11.2.2.52 ShortLong1Code

*Definition:* Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

*Type:* CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

### 11.2.2.53 Status9Code

*Definition:* Specifies the status of an instruction.

*Type:* CodeSet

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.

CodeName	Name	Definition
STIN	StandingInstruction	Standing instruction is applied.

### 11.2.2.54 ThresholdBasis1Code

*Definition:* Nature of the quantity used as a basis to set a threshold for voting on resolutions at general meetings.

*Type:* CodeSet

CodeName	Name	Definition
ALSH	AllIssuedShares	Basis is the total number of shares issued.
ALSM	AllSharesRepresentedAtMeeting	Basis is the total number of shares represented at the meeting by attendees.
ALVO	AllVoteCast	Basis is the total number of vote cast for a resolution.

### 11.2.2.55 TypeOfIdentification4Code

*Definition:* Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

*Type:* CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

### 11.2.2.56 UnicodeChartsCode

*Definition:* codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

*Type:* CodeSet

**Constraints**

- **ValidationByTable**  
 (Rule)

**11.2.2.57 VoteChannel1Code**

*Definition:* Specifies the network channel through which the vote should be sent.

*Type:* CodeSet

CodeName	Name	Definition
VOPI	VoteAsPerIntermediaryInformation	Vote is as per described in the processing text for next intermediary information field.
VOCI	VoteThroughChain	Vote is via the chain of intermediaries.

**11.2.2.58 VoteInstruction5Code**

*Definition:* Specifies a type of voting instruction that can be part of a recommendation to vote.

*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

**11.2.2.59 VoteInstruction6Code**

*Definition:* Specifies a type of voting instructions.

*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

### 11.2.2.60 VoteInstruction7Code

*Definition:* Specifies a type of voting instructions.

*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.

CodeName	Name	Definition
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

### 11.2.2.61 VoteType1Code

*Definition:* Specifies the impact of a vote on an agenda resolution in general meetings.

*Type:* CodeSet

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

### 11.2.2.62 VotingParticipationMethod2Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

### 11.2.2.63 VotingParticipationMethod3Code

*Definition:* Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

*Type:* CodeSet

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
EVOT	ElectronicVoting	Vote participation is through electronic means like dedicated standards messaging or web based voting applications.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.

CodeName	Name	Definition
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.

## 11.2.3 Date

### 11.2.3.1 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

## 11.2.4 DateTime

### 11.2.4.1 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

## 11.2.5 IdentifierSet

### 11.2.5.1 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

#### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

#### Constraints

- **AnyBIC**

(Rule)

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

### 11.2.5.2 BICFIDec2014Identifier

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; BICIdentifier

#### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

#### Constraints

- **BICFI**

(Rule)

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

*Error handling:*

- *Error severity:* Fatal
- *Error Code:* D00001
- *Error Text:* Invalid FI BIC.

### 11.2.5.3 ISINOct2015Identifier

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

*Type:* IdentifierSet

*Identification scheme:* ANNA; ISINIdentifier

**Format**

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

### 11.2.5.4 LEIIdentifier

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

*Identification scheme:* Global LEI System; LEIIdentifier

**Format**

pattern [A-Z0-9]{18,18}[0-9]{2,2}

### 11.2.5.5 MICIdentifier

*Definition:* Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

*Type:* IdentifierSet

*Identification scheme:* SWIFT; MICIdentifier

**Format**

pattern [A-Z0-9]{4,4}

## 11.2.6 Indicator

### 11.2.6.1 YesNoIndicator

*Definition:* Indicates a "Yes" or "No" type of answer for an element.

*Type:* Indicator

*Meaning When True:* Yes

*Meaning When False:* No

## 11.2.7 Quantity

### 11.2.7.1 DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6.

*Type:* Quantity

**Format**

totalDigits 18

fractionDigits 17



### 11.2.7.2 Max30DecimalNumber

*Definition:* Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

*Type:* Quantity

#### Format

totalDigits	30
fractionDigits	29

### 11.2.7.3 Number

*Definition:* Number of objects represented as an integer.

*Type:* Quantity

#### Format

totalDigits	18
fractionDigits	0

## 11.2.8 Rate

### 11.2.8.1 PercentageRate

*Definition:* Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

*Type:* Rate

#### Format

totalDigits	11
fractionDigits	10
baseValue	100.0

## 11.2.9 Text

### 11.2.9.1 Exact3NumericText

*Definition:* Specifies a numeric string with an exact length of 3 digits.

*Type:* Text

#### Format

pattern	[0-9]{3}
---------	----------

### 11.2.9.2 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

**Format**

pattern [a-zA-Z0-9]{4}

**11.2.9.3 ISO20022MessageIdentificationText**

*Definition:* ISO 20022 Message identifier of an MX message.

*Type:* Text

**Format**

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

**11.2.9.4 Max1025Text**

*Definition:* Specifies a character string with a maximum length of 1025 characters.

*Type:* Text

**Format**

minLength 1  
maxLength 1025

**11.2.9.5 Max105Text**

*Definition:* Specifies a character string with a maximum length of 105 characters.

*Type:* Text

**Format**

minLength 1  
maxLength 105

**11.2.9.6 Max128Text**

*Definition:* Specifies a character string with a maximum length of 128 characters.

*Type:* Text

**Format**

minLength 1  
maxLength 128

**11.2.9.7 Max140Text**

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

**Format**

minLength 1

---

maxLength	140
-----------	-----

### 11.2.9.8 Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

#### Format

minLength	1
maxLength	16

### 11.2.9.9 Max2048Text

*Definition:* Specifies a character string with a maximum length of 2048 characters.

*Type:* Text

#### Format

minLength	1
maxLength	2048

### 11.2.9.10 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.

*Type:* Text

#### Format

minLength	1
maxLength	256

### 11.2.9.11 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

#### Format

minLength	1
maxLength	350

### 11.2.9.12 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

#### Format

minLength	1
-----------	---

---

---

maxLength	35
-----------	----

### 11.2.9.13 Max4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

#### Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

### 11.2.9.14 Max4Text

*Definition:* Specifies a character string with a maximum length of 4 characters.

*Type:* Text

#### Format

minLength	1
maxLength	4

### 11.2.9.15 Max50Text

*Definition:* Specifies a character string with a maximum length of 50 characters.

*Type:* Text

#### Format

minLength	1
maxLength	50

### 11.2.9.16 Max5NumericText

*Definition:* Specifies a numeric string with a maximum length of 5 digits.

*Type:* Text

#### Format

pattern	[0-9]{1,5}
---------	------------

### 11.2.9.17 Max70Text

*Definition:* Specifies a character string with a maximum length of 70 characters.

*Type:* Text

#### Format

minLength	1
-----------	---

---

maxLength	70
-----------	----

### 11.2.9.18 Max8000Text

*Definition:* Specifies a character string with a maximum length of 8000 characters.

*Type:* Text

#### Format

minLength	1
maxLength	8000

### 11.2.9.19 PhoneNumber

*Definition:* The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+", and "-" (up to 30 characters).

*Type:* Text

#### Format

pattern	\+[0-9]{1,3}-[0-9()+-]{1,30}
---------	------------------------------

## Legal Notices

### Copyright

SWIFT © 2023. All rights reserved.

### Disclaimer

The information in this publication may change from time to time. You must always refer to the latest available version.

### SWIFT Standards Intellectual Property Rights (IPR) Policy - End-User License Agreement

SWIFT Standards are licensed subject to the terms and conditions of the *SWIFT Standards IPR Policy - End-User License Agreement* available at [www.swift.com](http://www.swift.com) > About Us > Legal > IPR Policies > SWIFT Standards IPR Policy.

### Translations

The English version of SWIFT documentation is the only official and binding version.

### Trademarks

SWIFT is the trade name of S.W.I.F.T. SCRL. The following are registered trademarks of SWIFT: 3SKey, Innotribe, MyStandards, Sibos, SWIFT, SWIFTNet, SWIFT Institute, the Standards Forum logo, the SWIFT logo and UETR. Other product, service, or company names in this publication are trade names, trademarks, or registered trademarks of their respective owners.